# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name               | Execution Date |
|--------------------|----------------|
| DAVID MOUNTAINLAND | 06/05/2017     |
| BRETT M. SILVERMAN | 06/05/2017     |
| MICHAEL RUETER     | 06/02/2017     |
| LEE SMITH          | 06/05/2017     |

### **RECEIVING PARTY DATA**

| Name:           | HEADWATERS HEAVY OIL, LLC                  |  |
|-----------------|--|--|
| Street Address: | 10653 SOUTH RIVER FRONT PARKWAY, SUITE 300 |  |
| City:           | SOUTH JORDAN                               |  |
| State/Country:  | UTAH                                       |  |
| Postal Code:    | 84095                                      |  |

#### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |  |
|---------------------|----------|--|
| Application Number: | 15615574 |  |

### **CORRESPONDENCE DATA**

**Fax Number:** (801)328-1707

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Address Line 4: SALT LAKE CITY, UTAH 84111

| ATTORNEY DOCKET NUMBER:          | 15913.731.1  |  |
|----------------------------------|--|--|
| NAME OF SUBMITTER:               | JOHN M. GUYNN, REG. NO. 36153                              |  |
| SIGNATURE: /John M. GUYNN 36153/ |  |  |
| DATE SIGNED:                     | 06/06/2017   |  |
|                                  | This document serves as an Oath/Declaration (37 CFR 1.63). |  |
|                                  |  |  |

**Total Attachments: 3** 

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## **COMBINED DECLARATION and ASSIGNMENT**

(Utility, Design, National Stage of PCT)

**TITLE OF INVENTION:** DUAL CATALYST SYSTEM FOR EBULLATED BED UPGRADING TO PRODUCE IMPROVED QUALITY VACUUM RESIDUE PRODUCT

As a below named inventor, I hereby declare that:

## SPECIFICATION IDENTIFICATION

This declaration and assignment is directed to:

|     |     | (complete (a), (b), or (c))  |
|-----|-----|--|
| (a) | [x] | The attached application (United States Application No. <u>15/615574</u> filed on <u>June 6, 2017</u> ); |
| (b) | []  | Previously filed United States Application No; or  |
| (c) | []  | PCT International Application No   |

I hereby authorize the patent attorneys and/or patent agents of Workman Nydegger to insert the above Application No(s). and filing date(s) when known.

### DECLARATION AND ACKNOWLEDGEMENT

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the above-identified application.

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claim(s).

I acknowledge the duty to disclose all information which is material to patentability as defined in

37 C.F.R. § 1.56, including for continuation-in-part applications, material information which

became available between the filing date of the prior application and the national or PCT

international filing date of the continuation-in-part application.

I hereby acknowledge that my willful false statement made in this declaration is punishable

under 18 U.S.C. § 1001 or by fine or imprisonment of not more than five (5) years, or both.

**ASSIGNMENT** 

The Assignee, Headwaters Heavy Oil, LLC, a corporation of the state of Delaware, having a

principal place of business at 10653 South River Front Parkway, Suite 300, South Jordan, Utah

84095, desires to secure the entire right, title and interest in the above-identified application and

in the invention disclosed therein.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to me by

the Assignee, the receipt and sufficiency of which is hereby acknowledge, I HEREBY ASSIGN

TO THE ASSIGNEE:

The entire right, title and interest in the above-identified application and in the invention disclosed therein and in all divisions, continuations and continuations-

in-part of said application and in all corresponding applications filed in countries

foreign to the United States and in all patents issuing thereon in the United States

and foreign countries and in all reissues or extensions of patents granted thereon.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the

priority to or the benefit of the above-identified application including under all

applicable treaties and conventions.

I hereby authorize and request the United States Commissioner of Patents and Trademarks, and

such Patent Office officials in foreign countries as are duly authorized by their patent laws to

issue patents, to issue any and all patents on said invention to the Assignee as the owner of the

entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal

representatives.

I hereby agree, without further consideration and without expense, to sign all lawful papers and to perform all other lawful acts which the Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, continuation, continuation-in-part, substitute, reissue, and other United States and foreign patent applications on the invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of my ability in: (1) proceedings relating to nullification, reissue, extension, post grant, inter partes, derivation, supplemental examination, and infringement involving the invention; (2) execution of all lawful documents involving the invention; and (3) the production of evidence involving the invention.

This assignment and agreement shall be binding upon my heirs and legal representatives.

# SIGNATURE(S)

| Full name of first i | nveutor                                   |                     |                       |
|----------------------|---|---------------------|-----------------------|
| David                |   |                     | Mountainland          |
| (GIVEN NAMI          | 4 1/1/1/11/11/11/11/11/11/11/11/11/11/11/ | LE INITIAL OR NAME) | FAMILY (OR LAST NAME) |
| Signature —          | LU WUR                                    | Date                | June 5,2017           |
| Full name of secon   | d joint inventor                          |                     |                       |
| Breit                | ×   | M.                  | Silverman             |
| (CIVEN NAM)          | 7 77 77 78 78 78 78 78 78 78 78 78 78 78  | UE INITIAL OK NAME: | FBMLF (OR LAST NAME)  |
| <i>f</i>             | '75eHe// YU                               |                     | 6/5/17                |
| Signature            |   | Date                | SISE 13               |
| Full name of third   | oint inventor                             |                     |                       |
| Michael              |   |                     | Rower                 |
| (GIVEN NAM)          | Lang Jayou                                | LE INTLAL ORNAME)   | FAMILY (OR LAST NAME) |
| Signature            | 1244       Juai                           | Date (              | June 2, 2017          |
|                      | <b>V</b>                                  |                     | ,                     |
| Full name of fourth  | i joint inventor                          |                     |                       |
| Lee                  | // //                                     |                     | Smith                 |
| IGWEN X4NIL          | 77 / / / / / / / / / / / / / / / / / /    | YE INITIAL OR HAME) | FAMILY (OR LAST NAME) |
| Signature 🛴          | La Jand                                   | – Date              | 95/2-17               |
|                      | /   |                     |                       |
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PATENT REEL: 042619 FRAME: 0745

**RECORDED: 06/06/2017**