

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4435985

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ROCHE R&D CENTER (CHINA) LTD.	01/24/2014
RECEIVING PARTY DATA	
Name:	F. HOFFMANN-LA ROCHE AG
Street Address:	GRENZACHERSTRASSE 124
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4070
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14947760
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	P31602-US
NAME OF SUBMITTER:	BRIAN L. BUCKWALTER
SIGNATURE:	/Brian L. Buckwalter/
DATE SIGNED:	05/30/2017
Total Attachments: 5	
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31602

(4535)

公 证 书

中华人民共和国上海市东方公证处

ASSIGNMENT AGREEMENT

Roche R&D Center (China) Ltd. (ASSIGNOR) a corporation duly organized under the laws of the People's Republic of China and having its principal place of business at Building No. 5, Lane 720 Cai Lun Road, Shanghai 201203, China

acknowledges the previous transfer to

F. Hoffmann-La Roche AG (ASSIGNEE) a corporation duly organized under the laws Switzerland, and having its principal place of business at Grenzacherstrasse 124, 4070 Basel, Switzerland

of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the whole world by operation of law, contract, or otherwise.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, ASSIGNOR hereby assigns to ASSIGNEE for the whole world all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below

Case No.	Country	Filing Date	Serial No.
31602	PCT	May 27, 2013	PCT/CN2013/076281

entitled:

New 3,4-dihydro-2H-isoquinoline-1-one and 2,3-dihydro-isoindol-1-one compounds

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon.

The ASSIGNOR obtained the rights in, to and deriving from the inventions disclosed in the Patent Application(s) set forth above as follows:

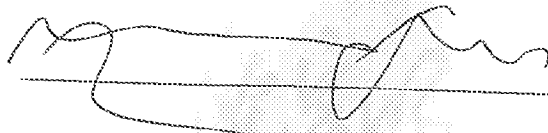
Inventor(s)	Date of Assignment	Assigned to
Wenming Chen	January 24, 2014	Roche R&D Center (China) Ltd.

ASSIGNOR

Shanghai;
1/24/2014

Place, Date

Roche R&D Center (China) Ltd.



ASSIGNEE

F. Hoffmann-La Roche AG

Basel, Switzerland,
Mar 09 19, 2014

Place, Date

Antonio Natoli
Holder of procuration

Petra Querfeld
Mandatory

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Petra Querfeld, German citizen, residing in Steinen (Germany),

both duly entitled also to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

Basel (Switzerland), this 19th (nineteenth) day of March 2014 (two thousand and fourteen)



BV 411/2014

公 证 书

(2014)沪东证外字第 4535 号

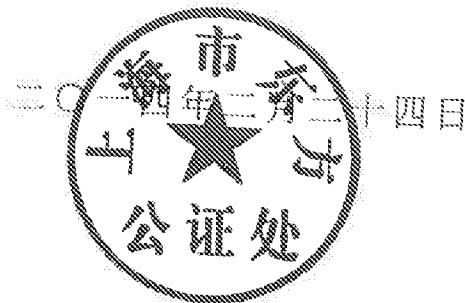
申请人：STELLA XIAOXING XU，女，一九七〇年四月二十八日出生
美国护照号码：488203880

公证事项：签名

兹证明 STELLA XIAOXING XU 于二〇一四年一月二十四日在上海市，在本公证员的面前，在前面的外文文件上签名。

中华人民共和国上海市东方公证处

公 证 员



149285039

Translation

NOTARIAL CERTIFICATE

(2014)H.D. Z.W.Z.No.4535

Applicant:

STELLA XIAOXING XU, female, born on April 28, 1970
American Passport No.: 488203880

Notarized Matter: Signature

This is to certify that STELLA XIAOXING XU affixed signature to
the foregoing foreign language document before the notary public in
Shanghai on January 24, 2014.

SHEN Yuming (Seal)

Notary Public

The People's Republic of China

Shanghai Oriental Notary Public Office

(Seal)

February 24, 2014

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