

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
TRICORD HOLDINGS, LLC	08/15/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	TRICORD HOLDINGS II, LLC
<b>Street Address:</b>	6420 BAYVIEW PLACE
<b>City:</b>	EXCELSIOR
<b>State/Country:</b>	MINNESOTA
<b>Postal Code:</b>	55331
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14764830
<b>Application Number:</b>	61832131
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(631)393-6271
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(631) 393-6270
<b>Email:</b>	nyoffice@rml-law.com
<b>Correspondent Name:</b>	RYAN, MASON & LEWIS, LLP
<b>Address Line 1:</b>	48 SOUTH SERVICE ROAD
<b>Address Line 2:</b>	SUITE 100
<b>Address Line 4:</b>	MELVILLE, NEW YORK 11747
<b>ATTORNEY DOCKET NUMBER:</b>	1155-9
<b>NAME OF SUBMITTER:</b>	WILLIAM E. LEWIS
<b>SIGNATURE:</b>	/William E. Lewis/
<b>DATE SIGNED:</b>	06/07/2017
<b>Total Attachments: 2</b>	
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ASSIGNMENT

WHEREAS, Tricord Holdings, LLC, a Delaware limited liability company, having its principal offices at 6420 Bayview Place, Excelsior, MN 55331, owns all right, title and interest to discoveries, ideas, concepts, drawings, designs, specifications, know-how, trade secrets, prototypes, techniques, processes and other intellectual property as listed in Schedule A attached hereto (collectively, the "IP Rights"); and

WHEREAS, Tricord Holdings II, LLC, a Delaware limited liability company, having its principal offices at 6420 Bayview Place, Excelsior, MN 55331, is desirous of acquiring the entire right, title and interest in and to said IP Rights.

NOW, THEREFORE, for good and valuable consideration paid by said Tricord Holdings II, LLC to Tricord Holdings, LLC, the receipt of which is hereby acknowledged, Tricord Holdings, LLC has sold, assigned and transferred, and by these presents does hereby sell, assign and transfer unto Tricord Holdings II, LLC, and its successors and assigns, its entire right, title and interest in and to the IP Rights.

Upon said consideration, Tricord Holdings, LLC hereby covenants and agrees with Tricord Holdings II, LLC, and its successors and assigns, that Tricord Holdings, LLC will not execute any writing or do any act whatsoever conflicting with these presents, and that Tricord Holdings, LLC or its successors or assigns will at any time upon request, without further or additional consideration, but at the expense of Tricord Holdings II, LLC as assignee, and its successors and assigns, execute such additional writings and do such additional acts as said assignee, its successors and assigns, may deem necessary or desirable to perfect the assignee's enjoyment of this grant and assignment, and render all necessary assistance in making application for and obtaining original, divisional, continuation, reissued or extended Letters Patent of any and all countries, whether domestic or foreign, on said IP Rights, and in enforcing any rights, occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such IP Rights, including any applications or patents arising therefrom.

IN WITNESS WHEREOF, the undersigned has executed this Assignment as of the date set forth below.

Date: August 15, 2014

TRICORD HOLDINGS, LLC

By:   
Robert Schwartz, M.D.

Its: President

**SCHEDULE A**

All right, title and interest in and to the following IP Rights (as defined above), including patent applications, outside of the scope of the license from the Tricord Holdings, LLC to Autonomix for "non-invasive sensing of sympathetic and parasympathetic tone or closely coupled surrogates of sympathetic and parasympathetic tone for diagnostic, patient selection, procedural feedback, and follow-up assessment applications in autonomic neural ablation procedures."

Filing Date	Serial No	Title
06/06/2013	61/832,131	Modular Physiologic Monitoring Systems, Kits, and Methods
06/06/2014	PCT/US2014/041339 (Priority to 61/832,131, filed 6/6/2013)	Modular Physiologic Monitoring Systems, Kits, and Methods
06/13/2014	US29/493,825 (Priority to PCT/US14/41339 and to 61/832,131, filed 6/6/2013)	Wearable Computing Device
8/1/2014	62/032,515	Modular Physiologic Monitoring Systems, Kits, and Methods
8/2/2014	62/032,565	Modular Physiologic Monitoring Systems, Kits, and Methods
*TBA	*TBA	ANS assessment systems, kits, and methods

\*TBA – Filing is in process and the filing date / serial No. will be added upon receipt

All right, title and interest in and to the following IP Rights, including patent applications:

Filing Date	Serial No	Title
11/07/2011	61/556,502	Metabolic and Cardiopulmonary Monitor
11/05/2012	PCT/US2012/063544 (Priority to 61/556,502, filed 11/7/2011)	Metabolic and Cardiopulmonary Monitor
05/02/2014	US14/356,083 EP12848306.2 (Priority to PCT/US12/63544 filed 11/05/2012 which claims priority to 61/556,502, filed 11/7/2011)	Metabolic and Cardiopulmonary Monitor