

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the RECEIVING PARTY DATA previously recorded on Reel 015882 Frame 0203. Assignor(s) hereby confirms the CORRECTED RECEIVING PARTY DATA.
RESUBMIT DOCUMENT ID:	504297738

CONVEYING PARTY DATA

Name	Execution Date
YONGXING QIU	10/05/2004
LYNN COOK WINTERTON	10/05/2004
JOHN MARTIN LALLY	10/05/2004
PAUL PASIC	12/11/2001
HANS GRIESSER	12/10/2001
PETER KAMBOURIS	12/13/2001
PETER CHABRECEK	12/24/2001

RECEIVING PARTY DATA

Name:	NOVARTIS AG
Street Address:	LICHTSTRASSE 35
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4056
Name:	COMMONWEATH SCIENTIFIC AND INDUSTIAL RESEARCH ORGANISATION
Street Address:	LIMESTONE AVENUE
City:	CAMPBELL
State/Country:	AUSTRALIA
Postal Code:	ACT 2602

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	09939145

CORRESPONDENCE DATA

Fax Number: (678)415-3068

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PATENT

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ATTORNEY DOCKET NUMBER: PAT031563-US-NP02

NAME OF SUBMITTER: MARTHA MARTIN

SIGNATURE: /Martha Martin/

DATE SIGNED: 06/07/2017

Total Attachments: 9

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10-18-2004

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of F

102861474

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Yongxing Qiu 10/05/2004
Lynn Cook Winterton 10/05/2004
John Martin Lally 10/05/2004
Paul Pasic 12/11/2001
Hans Griesser 12/10/2001
Peter Kambouris 12/13/2001
Peter Chabreck 12/24/2001

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: See Above 12/11/2001, 12/10/2001, 12/13/2001, 12/24/2001, 2/10/02/2002

2. Name and address of receiving party(ies)

Name: Novartis AG

Street Address: Lichtstrasse 35

City: Basel State: Switzerland ZIP: 4056

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/939,145

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Gorman, Jr.

Internal Address: CIBA Vision Corporation

Patent Department

Street Address: 11460 Johns Creek Parkway

City: Duluth State: GA ZIP: 30097-1556

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$

☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

50-2965 (in the name of Ciba Vision)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jian Zhou

Name of Person Signing

Reg. No. 41,422

09339145

Signature

Date

Oct. 6, 2004

☒ Certificate of Express Mail below

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Director of the US Patent and Trademark Office, PO Box 1450
Alexandria, VA 22313-1450PATENT
REEL: 042641 FRAME: 0280

ASSIGNMENT

We,

Yongxing Qiu	residing at	540 Stedford Lane Duluth, Georgia 30097
Lynn Cook Winterton	residing at	84 Locust Lane Alpharetta, Georgia 30201
John Martin Lally	residing at	1503 Hartman Drive Lilburn, Georgia 30047
Paul Pasic	residing at	8 Citrinus Court, Narre Warren Melbourne 3805, Victoria Australia
Hans Griesser	residing at	20 View Road The Patch, Victoria 3792 Australia
Peter Kambouris	residing at	24 Briarwood Street Carindale, Queensland 4122 Australia
Peter Chabreck	residing at	Grenzacherweg 150 4125 Riehen, Switzerland Switzerland,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a company organized under the laws of the Swiss Confederation, having a place of business at Lichtstrasse 35, 4056 Basel, Switzerland and Commonwealth Scientific and Industrial Research Organisation, a body corporate organized according to the laws of Australia, of Limestone Avenue, Campbell, ACT 2602, Australia**, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

Process for Surface Modifying Substrates and Modified Substrates Resulting Therefrom
invented by us and described in the application for United States Letters Patent

Application No. 09/939,145, filed August 24, 2001,

including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said **Novartis AG and Commonwealth Scientific and Industrial Research Organisation** and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 5 day of Oct, 2001 by

Yongxing Qiu

Signed this 5 day of Oct, 2001 by

Lynn Cook Winterton

Signed this 5 day of Oct, 2001 by

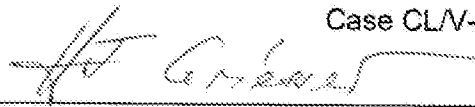
John Martin Lally

Signed this 11 day of December, 2001 by

Paul Pasic

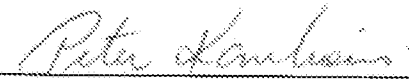
Signed this *10th* day of *December*, 2001

by


Hans Griesser

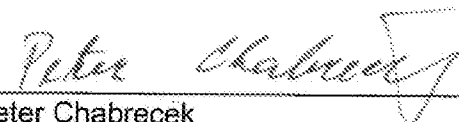
Signed this *13th* day of *December*, 2001

by


Peter Kambouris

Signed this *24th* day of *December*, 2001

by


Peter Chabreck