504406245 06/09/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4452942

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
REBECCA LOUISE DAVIE	06/30/2015
HANNAH JOY EDWARDS	06/30/2015
DAVID MICHAEL EVANS	06/30/2015

RECEIVING PARTY DATA

Name:	KALVISTA PHARMACEUTICALS LIMITED	
Street Address:	BUILDING 227	
Internal Address:	TETRICUS SCIENCE PARK, PORTON DOWN	
City:	SALISBURY	
State/Country:	GREAT BRITAIN	
Postal Code:	SP4 0JQ	

PROPERTY NUMBERS Total: 1

Property Type	Number		
Application Number:	15526421		

CORRESPONDENCE DATA

Fax Number: (215)568-3439

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: assignments@woodcock.com
Correspondent Name: BAKER & HOSTETLER LLP

Address Line 1: 2929 ARCH STREET, CIRA CENTRE

Address Line 2: 12TH FLOOR

Address Line 4: PHILADELPHIA, PENNSYLVANIA 19104

ATTORNEY DOCKET NUMBER: 102064.000230	
NAME OF SUBMITTER:	VALERIE CHICCHI
SIGNATURE:	/Valerie Chicchi/
DATE SIGNED:	06/09/2017

Total Attachments: 3

source=SIGNED_CONFIRMATORY_ASSIGNMENT#page1.tif source=SIGNED_CONFIRMATORY_ASSIGNMENT#page2.tif source=SIGNED_CONFIRMATORY_ASSIGNMENT#page3.tif

PATENT 504406245 REEL: 042660 FRAME: 0695

CONFIRMATORY ASSIGNMENT

PARTIES:

- (1) REBECCA LOUISE DAVIE of c/o KALVISTA PHARMACEUTICALS LIMITED, Building 227 Tetricus Science Park, Porton Down, Salisbury SP4 0JQ, United Kingdom ("DAVIE")
- (2) HANNAH JOY EDWARDS of c/o KALVISTA PHARMACEUTICALS LIMITED, Building 227 Tetricus Science Park, Porton Down, Salisbury SP4 0JQ, United Kingdom ("EDWARDS")
- (3) DAVID MICHAEL EVANS of of c/o KALVISTA PHARMACEUTICALS LIMITED, Building 227 Tetricus Science Park, Porton Down, Salisbury SP4 0JQ, United Kingdom ("EVANS")
- (4) KALVISTA PHARMACEUTICALS LIMITED (registered in the UK with no. 07543947) of Building 227 Tetricus Science Park, Porton Down, Salisbury SP4 0JO United Kingdom ("ASSIGNEE")

RECITALS:

- A. The ASSIGNEE is the applicant in respect of the United Kingdom patent applications summarised in the Schedule (the "APPLICATIONS").
- B. DAVIE, EDWARDS and EVANS (together the "ASSIGNORS") are the inventors in respect of an inventions the subject of the APPLICATIONS (the "INVENTIONS").
- C. The ASSIGNORS' contributions to the INVENTIONS were made during the course of and as part of their normal duties as employees of the ASSIGNEE and in circumstances such that the ASSIGNEE became legally and beneficially entitled to and owner of all the ASSIGNORS' rights in the INVENTIONS (including the APPLICATIONS, the right to file the APPLICATIONS in the ASSIGNEE's name and all other intellectual property rights).
- D. The parties have agreed to execute this Confirmatory Assignment for the purposes of confirming the ASSIGNEE's title to all the ASSIGNORS' rights in the INVENTIONS (including the APPLICATIONS, the right to file the APPLICATIONS in the ASSIGNEE's name and all other related intellectual property rights).

OPERATIVE TERMS:

In consideration of the sum of £1 (one pound sterling) paid by the ASSIGNEE to the ASSIGNORS (the receipt and sufficiency of which are hereby acknowledged) IT IS AGREED as follows:

1. Assignment

The ASSIGNORS hereby confirm the ASSIGNEE's ownership of and insofar as necessary hereby assign with full title guarantee to the ASSIGNEE absolutely and free from all liens charges licences and encumbrances and the ASSIGNEE hereby confirms its acceptance of and hereby accepts all the ASSIGNORS' property right title and interest anywhere in the world in and to the INVENTIONS including without limitation:

- (a) the APPLICATIONS and all patent applications and patents deriving or claiming priority from any thereof or otherwise relating to the INVENTIONS including all divisionals continuations reissues extensions supplementary protection certificates renewals and registrations in relation to any thereof (the "PATENT RIGHTS"), including the right to apply for any of the same;
- (b) the right to file the APPLICATIONS in the ASSIGNEE's name;
- (c) the benefit of all priority rights;
- (d) the right to seek and obtain registrations in other countries;
- (e) all other intellectual property rights and know-how including without limitation any copyrights and design rights (whether or not registrable) relating in any way to the INVENTIONS;
- (f) the right to sue for and obtain injunctive relief, damages and all other relief in respect of any infringement or misuse (whether past present or future) of any of the rights hereby transferred.

2. <u>Covenants</u>

Each of the ASSIGNORS covenants with the ASSIGNEE as follows:

Davie, Edwards, Evans - Confirmatory Assignment

- (a) that he has not done or omitted to do any act or thing whatsoever whereby any patents or other intellectual property rights relating to the INVENTIONS might be invalidated or otherwise prejudicially affected or the due performance of this Agreement hindered or prevented;
- to keep the INVENTIONS confidential and not to disclose it to any person without the prior written consent of the ASSIGNEE;
- (c) that he has not given any permission to any third party to use the INVENTIONS and has not otherwise assigned or granted to any third party any rights in or under the INVENTIONS (including the PATENT RIGHTS).

Further Assurance

- 3.1 The ASSIGNORS agree at the ASSIGNEE's expense to execute any further documents and do all things which the ASSIGNEE may reasonably require in order to ensure the vesting in the ASSIGNEE (or the ASSIGNEE's successors in title or nominees as the case may be) of all property rights title and interests intended to be assigned transferred or granted to the ASSIGNEE hereunder (including the PATENT RIGHTS) and to give the ASSIGNEE the full benefit of this Agreement including doing all acts which may be necessary or desirable in connection with:
 - (a) the prosecution of the APPLICATIONS and the filing and prosecution of any further patent applications anywhere in the world and obtaining grant in relation thereto;
 - (b) protecting and enforcing the APPLICATIONS and any patents or patent applications and other intellectual property rights relating to the INVENTIONS;
 - (c) recording any such patent applications, patents and other rights in the name of the ASSIGNEE or the ASSIGNEE's successors in title or nominees as sole applicant or registered proprietor as the case may be;
 - (d) assisting in the resolution of any question concerning the INVENTIONS or any of the PATENT RIGHTS.
- 3.2 The ASSIGNORS hereby authorise the officers from time to time of the ASSIGNEE and the ASSIGNEE's agents to do or authorise the doing of all such acts (including the signing of all such documents) on behalf of the ASSIGNORS as the ASSIGNEE may consider appropriate for the purpose of giving effect to the provisions of clause 3.1.

4. Counterparts

This agreement may be executed in any number of counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

5. Law

This agreement shall be governed by and construed and take effect in accordance with English law and the parties hereby submit to the exclusive jurisdiction of the Courts of England and Wales.

SCHEDULE

NUMBER	FILING DATE	TITLE	REFERENCES
GB 1421083.5	27 Nov 2014	ENZYME INHIBITORS	P065120GB
GB 1421085.0	27 Nov 2014	NEW ENZYME INHIBITORS	P065121GB
GB 1421088.4	27 Nov 2014	NEW ENZYME INHIBITORS	P065142GB

Davie, Edwards, Evans - Confirmatory Assignment

G057932PA

IN WITNESS of which the parties have executed this Confirmatory Assignment in accordance with their statutes by duly authorised officers effective as of the date of the last signature.

EXECUTED by MS. REBECCA LOUISE DAVIE

Signature: 30/06/15

EXECUTED by MS. HANNAH JOY EDWARDS

Signature: Herlesteds.

EXECUTED by MR. DAVID MICHAEL EVANS

Signature: P.M. E.

Date: 3074 Tops 2015

EXECUTED by the ASSIGNEE KALVISTA PHARMACEUTICALS LIMITED

Signature: KW. NOCOLO

Name: ROSERT HAIGH

Title: COO

Date: <u>30 June 2015</u>

G057932PA

RECORDED: 06/09/2017

Davie, Edwards, Evans - Confirmatory Assignment

PATENT REEL: 042660 FRAME: 0698