#### 504406869 06/09/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4453566

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
VINCENZO GIRLANDO	06/05/2017
DARIO BERGAMO	06/05/2017

### **RECEIVING PARTY DATA**

Name:	ALSTOM TECHNOLOGY LTD	
Street Address: BROWN BOVERI STRASSE 7		
City:	BADEN	
State/Country:	SWITZERLAND	
Postal Code:	5400	

## **PROPERTY NUMBERS Total: 2**

Property Type	Number	
Application Number:	15534843	
PCT Number:	EP2014077045	

### CORRESPONDENCE DATA

Fax Number: (203)840-6495

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

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GENERAL ELECTRIC COMPANY GE GLOBAL PATEN **Correspondent Name:** 

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ATTORNEY DOCKET NUMBER:	286765-1 & -3
NAME OF SUBMITTER:	MAUREEN SILVEIRA
SIGNATURE:	/Maureen Silveira/
DATE SIGNED:	06/09/2017

### **Total Attachments: 4**

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### COMBINED DECLARATION AND GLOBAL ASSIGNMENT

### FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

# DEVICE FOR ACTUATING A ROTATING SHAFT SELECTED FROM A PLURALITY OF PARALLEL ROTATING SHAFTS

	the specification of which is atta	ached hereto OR			
$\boxtimes$	United States application or PCT international application number				
	15/534843	filed on	June 9, 2017	, as	
	amended on [date] (if applicabl (We hereby authorize and reques insert above the application number	st the Company or it			

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we.

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Vincenzo		GIRLANDO	of	Padova		IT
Dario		BERGAMO	of	San Dona' Di Piave		IT

hereby sell and assign to:

	Name of Company: ALSTOM Technology Ltd	
Entity Type (optional):		
	Address: Brown Boveri Strasse 7, 5400 Baden Switzerland	

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title
WO	PCT	PCT/EP2014/077045	December 9, 2014	DEVICE FOR ACTUATING A
770	101	1 G1/L1 2014/01/040	December 5, 2014	ROTATING SHAFT
				SELECTED FROM A
				PLURALITY OF PARALLEL
				ROTATING SHAFTS

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1	
Signature:	Date:
Witnessed by: Signature	Date:01\06\70/1\
SETANIA 2006 Printed Name of Witness	a a
Witnessed by: Line Control Signature	Date: <u>05.06.12</u>
<u> </u>	
SECTION BELOW IS FOR USE ONLY IF SIGNING	G IN PRESENCE OF A NOTARY
Date:	
STATE OF	
COUNTY OF	
This day of be /incenzo GIRLANDO, to me personally known as assignment, who acknowledged to me that he/she ex he purposes therein set forth.	fore me personally came the above-named the individual who executed the foregoing ecuted the same of his/her own free will for
Seal	
	(Notary Public)

GE Docket No. 286765

**INVENTOR 2** Date: <u>05,06,</u>20/} Signature: \_ Dario BERGAMO Date: 05.06.2019-Witnessed by: MARCA NOSILATI Printed Name of Witness Date: <u>0\$ 06 20/1</u>k Printed Name of Witness SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY Date: Dario BERGAMO STATE OF **COUNTY OF** This \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_\_ before me personally came the above-named \_\_\_\_\_\_\_ Dario \_\_\_\_\_\_\_\_, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth. Seal

> PATENT REEL: 042663 FRAME: 0804

(Notary Public)

RECORDED: 06/09/2017

GE Docket No. 286765