

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT4456646

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HUI TIAN	05/20/2010
EDWARD HARTLEY SARGENT	05/21/2010
RECEIVING PARTY DATA	
Name:	INVISAGE TECHNOLOGIES, INC.
Street Address:	7979 GATEWAY BOULEVARD, SUITE 240
City:	NEWARK
State/Country:	CALIFORNIA
Postal Code:	94560
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13217125
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	3154.007U13
NAME OF SUBMITTER:	CHRISTY KRANIG
SIGNATURE:	/Christy Kranig/
DATE SIGNED:	06/13/2017
Total Attachments: 5	
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source=3154007U13AssnInventorstolnVisage#page2.tif	
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RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 3154.007U13

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hui Tian, Edward Hartley Sargent

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: May 20, 2010, May 21, 2010

2. Name and address of receiving party(ies):

Name: InVisage Technologies, Inc.

Street Address: 7979 Gateway Boulevard, Suite 240

City: Newark State: CA Zip: 94560

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 13/217,125

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bradley W. Scheer

Address:

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account 19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bradley W. Scheer/Reg. No. 47,059

Name of Person Signing


Signature

June 13, 2017

Date

Total number of pages including cover sheet: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
REEL: 042688 FRAME: 0471

Attorney Docket No. 3154.007US1

ASSIGNMENT

WHEREAS, We, Hui Tian, residing at 10231 Western Drive, Cupertino, CA 95014, and Edward Hartley Sargent, residing at 1 Warren Road, Toronto, Ontario M4V 2R4, Canada, made certain new and useful inventions and improvements which are described in an application for U.S. Letters Patent on April 18, 2008, which application was assigned U.S. patent application serial number 12/106,256, and is entitled MATERIALS, SYSTEMS AND METHODS FOR OPTOELECTRONIC DEVICES;

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned do hereby:

SELL, ASSIGN AND TRANSFER to InVisage Technologies, Inc. (the "Assignee"), a corporation of the State of Delaware, having a place of business at 978 Hamilton Court, Menlo Park, CA 94025, the entire right, title and interest for the United States and all foreign countries in and to: any and all inventions and improvements which are disclosed in the identified application for U.S. Letters Patent, and which may be further identified below; such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries on any of such inventions and/or improvements (including any applications for utility models); all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such inventions and/or improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and to claim priority based on the identified application;

AUTHORIZE AND REQUEST that any attorney associated with U.S. PTO Customer No. 21186 may (directly or through his/her designee) delete, insert, or alter any information identifying this application, or any related application, below, after execution of this Assignment;

Additional Application Information

U.S. Provisional Application Serial No. 60/912,581, filed on April 18, 2007.

U.S. Provisional Application Serial No. 60/958,846, filed on July 9, 2007.

U.S. Provisional Application Serial No. 60/970,211, filed on September 5, 2007.

U.S. Provisional Application Serial No. 61/026,440, filed on February 5, 2008.

U.S. Provisional Application Serial No. 61/026,650, filed on February 6, 2008.

Assignment

Assignors: Hui Tian et al.

Title: MATERIALS, SYSTEMS AND METHODS FOR OPTOELECTRONIC DEVICES

Page 2 of 4

Docket No: 3154.007US1

Serial Number: 12/106,256

U.S. Provisional Application Serial No. 61/028,481, filed on February 13, 2008.

U.S. Provisional Application Serial No. 61/046,379, filed on April 18, 2008.

No International or foreign claim for priority is being made at this time.

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and/or property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the undersigned will (1) execute all provisional, non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers; (3) communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for such improvements; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such improvements in the Assignee, or for securing, maintaining and enforcing proper patent protection for such improvements;

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned, and is to extend to the benefit of the successors, assigns and nominees of the Assignee.

AGREED as of the date of my signature below:

Assignment

Assignors: Hui Tian et al.

Title: MATERIALS, SYSTEMS AND METHODS FOR OPTOELECTRONIC DEVICES

Page 3 of 4

Docket No: 3154.007US1

Serial Number: 12/106,256

Inventor: 1:

(Signature):

Name: Hui Tian

Date:

05/20/2010

Witness:

ARTHUR AGHAJANIAN

Full Name (Please Print)

AAG

05/20/2010

Signature / Date

PATENT

REEL: 048688 FRAME: 0430

Assignment
Assignor: Hui-Tsun et al.
Title: MATERIALS, SYSTEMS AND METHODS FOR OPTOELECTRONIC DEVICES
Page 2 of 4

DocId: No. 3123 031081
Serial Number: 12706229

Inventor: Z:

(Signature):

Name: Edward Hartley Sargent

Date:

May 21, 2010

Witness:

JEANNIE ING

Full Name (Please Print)

Jeannie Ing
Signature / Date

MAY 21, 2010