## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4449885

| SUBMISSION TYPE:      | NEW ASSIGNMENT                                  |
|-----------------------|---|
| NATURE OF CONVEYANCE: | NOTICE OF GRANT OF SECURITY INTEREST IN PATENTS |

## **CONVEYING PARTY DATA**

| Name                      | Execution Date |
|---------------------------|----------------|
| AMSTED RAIL COMPANY, INC. | 05/31/2017     |

## **RECEIVING PARTY DATA**

| Name:             | BANK OF AMERICA, N.A., AS ADMINISTRATIVE AGENT |  |
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#### **PROPERTY NUMBERS Total: 51**

| Property Type  | Number  |
|----------------|---------|
| Patent Number: | 9555818 |
| Patent Number: | 9540020 |
| Patent Number: | 9511782 |
| Patent Number: | 9488252 |
| Patent Number: | 9446774 |
| Patent Number: | 9150226 |
| Patent Number: | 9016746 |
| Patent Number: | 8869872 |
| Patent Number: | 8857573 |
| Patent Number: | 8845036 |
| Patent Number: | 8839844 |
| Patent Number: | 8839843 |
| Patent Number: | 8662557 |
| Patent Number: | 8657299 |
| Patent Number: | 8607431 |
| Patent Number: | 8522823 |
| Patent Number: | 8317202 |
| Patent Number: | 8172045 |
| Patent Number: | 8033236 |
|                |         |

PATENT REEL: 042732 FRAME: 0579

504403188

| Property Type       | Number   |
|---------------------|----------|
| Patent Number:      | 7878309  |
| Patent Number:      | 7757825  |
| Patent Number:      | 7563050  |
| Patent Number:      | 7559411  |
| Patent Number:      | 6112860  |
| Application Number: | 15463739 |
| Application Number: | 15454475 |
| Application Number: | 15453546 |
| Application Number: | 15453515 |
| Application Number: | 15453489 |
| Application Number: | 15375887 |
| Application Number: | 15255440 |
| Application Number: | 15208200 |
| Application Number: | 15166933 |
| Application Number: | 15149904 |
| Application Number: | 29563884 |
| Application Number: | 14966032 |
| Application Number: | 14958320 |
| Application Number: | 14958210 |
| Application Number: | 14862347 |
| Application Number: | 15158018 |
| Application Number: | 15039706 |
| Application Number: | 14807371 |
| Application Number: | 14793768 |
| Application Number: | 14738321 |
| Application Number: | 15108065 |
| Application Number: | 14682219 |
| Application Number: | 14619772 |
| Application Number: | 14576881 |
| Application Number: | 14447706 |
| Application Number: | 14684994 |
| Application Number: | 13760653 |

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| DATE SIGNED:            | 06/08/2017       |

### **Total Attachments: 5**

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#### NOTICE

OF

#### GRANT OF SECURITY INTEREST

IN

#### **PATENTS**

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Fourth Amended and Restated Security and Pledge Agreement dated as of May 31, 2017 (as the same may be amended, modified, extended or restated from time to time, the "Agreement") by and among the Obligors party thereto (each an "Obligor" and collectively, the "Obligors") and Bank of America, N.A., as administrative agent (the "Administrative Agent") for the holders of the Obligations referenced therein, the undersigned Obligor has granted a continuing security interest in any and all right, title and interest of such Obligor in and to the patents and patent applications set forth on Schedule 1 hereto to the Administrative Agent for the ratable benefit of the holders of the Obligations.

The undersigned Obligor and the Administrative Agent, on behalf of the holders of the Obligations, hereby acknowledge and agree that the security interest in the foregoing patents and patent applications (i) may only be terminated in accordance with the terms of the Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

[Signature Pages Follow]

Very truly yours,

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AMSTED INDUSTRIES INCORPORATED NOTICE OF GRANT OF SECURITY INTERESTS IN PATENTS

Acknowledged and Accepted:

BANK OF AMERICA, N.A., as Administrative Agent

By:\_\_( Name Title:

Denise Jonés/ Vice President

AMSTED INDUSTRIES INCORPORATED NOTICE OF GRANT OF SECURITY INTERESTS IN PATENTS

## Schedule 1

# Amsted Rail Company, Inc. (Delaware Corporation)

#### **U.S. Patents**

## **Issued Patents**

| TaP/d                                     |            |            |
|---|------------|------------|
| Title                                     | Patent No. | Issue Date |
| SIDE BEARING FOR RAILWAY CAR TRUCK        | 9555818    | 01/31/17   |
| BRAKING SYSTEM FOR A RAILWAY CAR          | 9540020    | 01/10/17   |
| BRAKING SYSTEMS FOR RAILWAY CARS          | 9511782    | 12/06/16   |
| HAND BRAKE ASSEMBLY                       | 9488252    | 11/08/16   |
| RAILWAY CAR TRUCK WITH FRICTION DAMPING   | 9446774    | 09/20/16   |
| MOUNTING RING                             | 9150226    | 10/06/15   |
| TRAIN AXLE ASSEMBLY                       | 9016746    | 04/28/15   |
| FORMING A MOLD FOR STEEL CASTING          | 8869872    | 10/28/14   |
| CHAIN LOCATOR                             | 8857573    | 10/14/14   |
| SIGNAL CONTROL DEVICE                     | 8845036    | 09/30/14   |
| FORMING A MOLD FOR STEEL CASTING          | 8839844    | 09/23/14   |
| FORMING A MOLD FOR STEEL CASTING          | 8839843    | 09/23/14   |
| TRAIN AXLE ASSEMBLY                       | 8662557    | 03/04/14   |
| MOUNTING RINGS FOR SHAFTS                 | 8657299    | 02/25/14   |
| RING FOR MOUNTING A STRUCTURE TO A SHAFT  | 8607431    | 12/17/13   |
| ONE-WAY CHECK VALVE                       | 8522823    | 09/03/13   |
| METHOD OF MOUNTING A STRUCTURE TO A SHAFT | 8317202    | 11/27/12   |
| REMOTE HAND BRAKE                         | 8172045    | 05/08/12   |
| HANDBRAKE LOAD INDICATOR                  | 8033236    | 10/11/11   |
| QUICK RELEASE HAND BRAKE                  | 7878309    | 02/01/11   |
| QUICK RELEASE HAND BRAKE                  | 7757825    | 07/20/10   |
| RINGS FOR MOUNTING STRUCTURES TO SHAFTS   | 7563050    | 07/21/09   |
| AND METHODS OF USING SUCH RINGS           |            |            |
| IMPROVED CLUTCH MECHANISM (HAYRAKE        | 7559411    | 07/14/09   |
| CLUTCH)                                   |            |            |
| VEHICLE BRAKE STATUS INDICATING APPARATUS | 6112860    | 09/05/00   |

## **Patent Applications**

| Title  | Appl. No. | Filing Date |
|--|-----------|-------------|
| RAILWAY CAR TRUCK WITH FRICTION SHOES            | 15463739  | 03/20/17    |
| PASSIVE STEERING FOR A THREE PIECE RAILWAY TRUCK | 15454475  | 03/09/17    |
| RAILWAY CAR TRUCK FRICTION SHOE SPRING GROUP     | 15453546  | 03/08/17    |
| RAILWAY CAR TRUCK FRICTION SHOE                  | 15453515  | 03/08/17    |
| RAILWAY CAR TRUCK FRICTION SHOE                  | 15453489  | 03/08/17    |
| RAILWAY TRUCK FRICTION SHOE                      | 15375887  | 12/12/16    |
| RAILWAY FREIGHT CAR DRAFT GEAR                   | 15255440  | 09/02/16    |

| RAILWAY FREIGHT CAR TRUCK PEDESTAL PAD   | 15208200    | 07/12/16 |
|--|-------------|----------|
| TRAIN AND RAIL YARD COMMUNICATION SYSTEM | 15166933    | 05/27/16 |
| DRAFT GEAR YOKE                          | 15149904    | 05/09/16 |
| DRAFT GEAR YOKE                          | 29563884    | 05/09/16 |
| RAILWAY TRUCK BOLSTER WEAR LINER         | 14966032    | 12/11/15 |
| STABILIZED RAILWAY FREIGHT CAR TRUCK     | 14958320    | 12/03/15 |
| VERTICAL TRAVEL BUMPER                   | 14958210    | 12/03/15 |
| BRAKE CYLINDER PRESSURE MAINTENANCE      | 14862347    | 09/23/15 |
| VALVES FOR FREIGHT CAR BRAKE EQUIPMENT   | 20170080913 |          |
| SYSTEM AND METHOD FOR MONITORING RAILCAR | 15158018    | 05/18/16 |
| PERFORMANCE                              | 20170050651 |          |
| TRAIN AND RAIL YARD MANAGEMENT SYSTEM    | 15039706    | 05/26/16 |
|  | 20170021847 |          |
| RAILWAY FREIGHT CAR TRUCK WITH TRANSOM   | 14807371    | 07/23/15 |
|  | 20170021843 |          |
| SLACK ADJUSTER FOR RAILCAR BRAKE         | 14793768    | 07/08/15 |
|  | 20170008539 |          |
| RAILROAD CAR DRAFT GEAR                  | 14738321    | 06/12/15 |
|  | 20160362121 |          |
| SYSTEM AND METHOD FOR DETECTING          | 15108065    | 06/24/16 |
| OPERATIONAL ANOMALIES IN TRAIN CONSISTS  | 20160325767 |          |
| AND RAILCARS                             |             |          |
| TRACK-MOUNTING ASSEMBLY                  | 14682219    | 04/09/15 |
|  | 20160298298 |          |
| TRAIN AND RAIL YARD COMMUNICATION SYSTEM | 15166933    | 05/27/16 |
|  | 20160272228 |          |
| BRAKING SYSTEMS FOR RAILWAY CARS         | 14619772    | 02/11/15 |
|  | 20160229429 |          |
| CAST STEEL RAILWAY WHEEL                 | 14576881    | 12/19/14 |
|  | 20160175924 |          |
| RAILROAD TRAIN MONITORING SYSTEM         | 14447706    | 07/31/14 |
|  | 20150083869 |          |
| HAND BRAKE SENSOR                        | 14684994    | 04/13/15 |
|  | 20150232079 |          |
| VALVE                                    | 13760653    | 02/06/13 |
|  | 20140217319 |          |

PATENT REEL: 042732 FRAME: 0586

**RECORDED: 06/08/2017**