

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4467031

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SZE CHENG YANG	08/27/2001
RICHARD BROWN	08/28/2001
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	COUNCIL ON POSTSECONDARY EDUCATION
<b>Street Address:</b>	560 JEFFERSON BOULEVARD
<b>Internal Address:</b>	SUITE 100
<b>City:</b>	WARWICK
<b>State/Country:</b>	RHODE ISLAND
<b>Postal Code:</b>	02886
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Patent Number:	6762238
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(617)350-6878
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	617-350-6800
<b>Email:</b>	kimberly.mason@gesmer.com
<b>Correspondent Name:</b>	GESMER UPDEGROVE
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<b>ATTORNEY DOCKET NUMBER:</b>	URI.4394
<b>NAME OF SUBMITTER:</b>	WILLIAM E. HILTON
<b>SIGNATURE:</b>	/William E. Hilton/
<b>DATE SIGNED:</b>	06/19/2017
<b>Total Attachments: 11</b>	
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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Sze Cheng Yang, Richard Brown

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 08/27/2001, 08/28/2001

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other Corrective Assignment - City & State of Receiving Party

**2. Name and address of receiving party(ies)**

Name: Council on Postsecondary Education

Internal Address: \_\_\_\_\_

Street Address: 560 Jefferson Boulevard, Suite 100

City: Warwick

State: Rhode Island

Country: United States Zip: 02886

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

09/856,935

B. Patent No.(s)

6,762,238

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Gesmer Updegrove LLP

Internal Address: \_\_\_\_\_

Street Address: 40 Broad Street

City: Boston

State: Massachusetts Zip: 02109

Phone Number: 617-350-6800

Docket Number: URI.4394

Email Address: kimberly.mason@gesmer.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00**

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 190079

Authorized User Name William E. Hilton

**9. Signature: /William E. Hilton/**

June 19, 2017

Signature

Date

William E. Hilton

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

10

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**ASSIGNMENT**

Know all men by these presents that:

WHEREAS we,       Sze Cheng Yang  
                    989 J Mooresfield Road  
                    Wakefield, Rhode Island 02879

and               Richard Brown  
                    21 Old Post Road  
                    Wakefield, Rhode Island 02879

have made an invention for

**WATER-BORNE POLYMERIC COMPLEX AND ANTI-CORROSIVE COMPOSITION**

described in the application filed in the United States Patent Office on May 30, 2001, as Serial No. 09/856,935 and/or Express Mail No. EL821866605US.

WHEREAS The Board of Governors for Higher Education, State of Rhode Island and Providence Plantations having a place of business at 301 Promenade Street, Providence, Rhode Island 02908, for the benefit of itself, its successors and assigns, all inclusively hereinafter referred to as the Assignee, is desirous of acquiring the entire right, title and interest in and to the said invention, the said application, all inventions disclosed in said application and any and all Letters Patent of the United States and of all other countries which may be granted for the said invention or inventions, or any of them;

NOW, THEREFORE, for good and valuable consideration provided by said Assignee, the receipt whereof is hereby acknowledged, we do hereby sell, assign and transfer to the said Assignee the entire right, title and interest in and to the said invention, inventions and application, including all priority rights arising therefrom, all inventions disclosed in said application, and any and all Letters Patent of the United States, and of all other countries, together with the right to apply for such Letters Patent, which may be granted for the said invention, inventions or any of them,

TO HAVE, HOLD AND ENJOY the said invention, the said application, and the said Letters Patent, to said

**The Board of Governors for Higher Education,  
State of Rhode Island and Providence Plantations**

its successors and assigns, to its and their own use and behoof to the full end of the term or terms for which the said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

AND we hereby authorize and request the Commissioner of Patents of the United States and the appropriate officers of all foreign patent offices to issue any and all Letters Patent which may be granted on the said application or applications above referred to, or for the said invention, or any of them, to the said Assignee in accordance with the terms of this instrument.

AND we hereby agree to execute and sign without further consideration any other legal document and any other assignments and any divisional, continuing, renewal, reissue or other application in and for all patents that may be appropriate and may be deemed necessary by the Assignee fully to secure to said Assignee its interests as aforesaid in and to the said invention or any part thereof and in and to the said patents or any of them.

AND we further covenant and agree that we will at any time upon request communicate to the said Assignee, its successors, assigns or other legal representatives, any facts known to us relating to the said invention and any patent that may be granted thereon, and will testify as to the same in any interference or litigation when requested to do so.

AND we hereby covenant for ourselves and our legal representatives that we have not hitherto assigned or granted any license to make, use or sell said invention, and that we will not henceforth purport to assign, license or execute any instrument to that effect in conflict with this assignment.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on the dates below.

08/27/2001

Date

Sze Cheng Yang

Sze Cheng Yang

8/28/2001

Date

Richard Brown

Richard Brown

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3356925

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the CITY AND STATE OF RECEIVING PARTIES previously recorded on Reel 035646 Frame 0313. Assignor(s) hereby confirms the NAME CHANGE OF THE RECEIVING PARTY..

## CONVEYING PARTY DATA

Name	Execution Date
SZE CHENG YANG	08/27/2001
RICHARD BROWN	08/28/2001

## RECEIVING PARTY DATA

<b>Name:</b>	RHODE ISLAND BOARD OF EDUCATION, STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
<b>Street Address:</b>	80 WASHINGTON STREET
<b>City:</b>	PROVIDENCE
<b>State/Country:</b>	RHODE ISLAND
<b>Postal Code:</b>	02903

## PROPERTY NUMBERS Total: 1

Property Type	Number
<b>Application Number:</b>	09856935

## CORRESPONDENCE DATA

**Fax Number:** (617)350-6878

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 617-350-6800

**Email:** kimberly.mason@gesmer.com

**Correspondent Name:** GESMER UPDEGROVE LLP

**Address Line 1:** 40 BROAD STREET

**Address Line 4:** BOSTON, MASSACHUSETTS 02109

<b>ATTORNEY DOCKET NUMBER:</b>	URI.4394
<b>NAME OF SUBMITTER:</b>	WILLIAM E. HILTON
<b>SIGNATURE:</b>	/William E. Hilton/
<b>DATE SIGNED:</b>	05/18/2015

## Total Attachments: 3

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 27, 2015

PTAS

GESMER UPDEGROVE LLP  
40 BROAD STREET  
BOSTON, MA 02109

**503310306**

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/18/2015

REEL/FRAME: 035706/0372  
NUMBER OF PAGES: 3

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE CITY AND STATE OF RECEIVING PARTY PREVIOUSLY RECORDED AT REEL: 035646 FRAME: 0313. ASSIGNOR(S) HEREBY CONFIRMS THE CHANGE OF NAME.

DOCKET NUMBER: URI.4394

ASSIGNOR:  
YANG, SZE CHENG

DOC DATE: 08/27/2001

ASSIGNOR:  
BROWN, RICHARD

DOC DATE: 08/28/2001

ASSIGNEE:  
RHODE ISLAND BOARD OF EDUCATION,  
STATE OF RHODE ISLAND AND  
PROVIDENCE PLANTATIONS  
80 WASHINGTON STREET  
PROVIDENCE, RHODE ISLAND 02903

APPLICATION NUMBER: 09856935

FILING DATE: 08/31/2001

PATENT NUMBER: 6762238

ISSUE DATE: 07/13/2004

TITLE: WATER-BORNE POLYMERIC COMPLEX AND ANTI-CORROSIVE COMPOSITION

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION



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Island General Laws

# **2014 Rhode Island General Laws**

## **Title 16 - Education**

### **Chapter 16-59 - Board of Governors for Higher Education [See Title 16 Chapter 97 – The Rhode Island Board of Education Act]**

#### **Section 16-59-1 - Council on Postsecondary Education established.**

**Universal Citation:** RI Gen L § 16-59-1 (2014)

**§ 16-59-1 Council on Postsecondary Education established.** – (a) There is created a council on postsecondary education, sometimes referred to as the "council", which shall be and is constituted a public corporation, empowered to sue and be sued in its own name, and to exercise all the powers, in addition to those specifically enumerated in this chapter, usually appertaining to public corporations entrusted with control of postsecondary educational institutions and functions. Upon its organization the council shall be invested with the legal title (in trust for the state) to all property, real and personal, now owned by and/or under the control or in custody of the board of regents for education for the use of the University of Rhode Island, Rhode Island College, Community College of Rhode Island and the system of community colleges of Rhode Island including all departments, divisions, and branches of these.

(b) The council is empowered to hold and operate the property in trust for the state; to acquire, hold, and dispose of the property and other like property as

deemed necessary for the execution of its corporate purposes. The council is made successor to all powers, rights, duties, and privileges formerly belonging to the board of regents for education pertaining to postsecondary education and the board of governors for higher education.

(c) The council shall be the employer of record for higher education and the office of postsecondary education. It shall retain all authority formerly vested in the board of education regarding the employment of faculty and staff at the public higher education institutions.

**History of Section.**

(P.L. 1981, ch. 32, § 3; P.L. 1987, ch. 596, § 3; P.L. 1993, ch. 178, § 1; P.L. 1999, ch. 48, § 1; P.L. 1999, ch. 124, § 1; P.L. 2005, ch. 117, art. 21, § 17; P.L. 2006, ch. 8, § 1; P.L. 2006, ch. 28, § 1; P.L. 2014, ch. 145, art. 20, § 5.)

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# Overview



## About the Council on Postsecondary Education

The Council on Postsecondary Education is an independent public corporation vested with the responsibility of providing oversight for the system of public higher education in Rhode Island. This system consists of three public institutions of higher learning, the University of Rhode Island (URI), Rhode Island College (RIC), and the Community College of Rhode Island (CCRI), along with the Office of the Postsecondary Commissioner. The Office of the Postsecondary Commissioner ([../../../../page/OPC%20mission/](#)), which operates under the direction of the Commissioner of Postsecondary Education, is the administrative and research arm of the Rhode Island Council on Postsecondary Education.

The Council has eight voluntary members ([../../../../page/council%20members/](#)), along with the Chair of the Board of Education ex-officio and one non-voting student member. Council members are appointed by the Governor and serve three-year terms, except for the student member who serves a two-year term (see RIGL §16-59-2 (<http://webserver.rilin.state.ri.us/Statutes/TITLE16/16-59/16-59-2.HTM>)).

## History

In 2013, the Rhode Island General Assembly created the Board of Education to foster coordinated and innovative policymaking and planning across the entire PK-20 public education system in our state. Created together in 2014, the Council on Postsecondary Education and the Council on Elementary and Secondary Education comprise the Board of Education. This consolidated governance structure replaced the Board of Regents for Elementary and Secondary Education and the Board of Governors for Higher Education.

The Rhode Island Council on Postsecondary Education is the successor to the Rhode Island Board of Governors of Higher Education, which emerged from a previous reorganization of governance. In 1981, the General Assembly effectively divided the Board of Regents, then responsible for education from kindergarten through the graduate level, into two governing units -- the Board of Regents, which retained responsibility for Elementary/Secondary Education, and the Board of Governors, which was given responsibility for higher education.

## Mission

The mission of the Council on Postsecondary Education is to provide an excellent, efficient, accessible and affordable system of higher education designed to improve the overall educational attainment of Rhode Islanders and thereby enrich the intellectual, economic, social and cultural life of the state, its residents, and its communities.

## Duties of the Council on Postsecondary Education

The Council is the state's legal entity for public higher education, and as such, it has the capacity to sue and be sued, to employ personnel, to own real property, and to engage in collective bargaining. In addition, the Council has specific powers laid out in RIGL §16-59-4 (<http://webserver.rilin.state.ri.us/Statutes/TITLE16/16-59/16-59-4.HTM>):

### *Information and Planning*

- Approve a systematic program of information gathering, processing, and analysis addressed to every level of higher education in Rhode Island
- Develop and approve a strategic plan implementing broad goals and objectives for higher education in the state as established by the Board of Education, including a comprehensive capital development program
- Formulate broad policy to implement the goals and objectives of the Board of Education

### *Supervision*

- Adopt standards and require enforcement and exercise general supervision over all public higher education in the state and over independent higher education in the state
- Not engage in the operation or administration of any subordinate committee, university, junior college, or community college, except its own office of postsecondary education and except as specifically authorized by an act of the General Assembly, provided, the presidents of each institution of higher learning shall be the chief administrative and executive officers of that institution
- Communicate with and seek the advice of the Commissioner of Postsecondary Education, the presidents of the public higher education institutions and all those concerned with and affected by its determinations as a regular procedure in arriving at its conclusions and in setting precedent
- Govern the Division of Higher Education Assistance and exercise all powers and duties of the Division of Higher Education Assistance

### *Budget*

- Prepare and maintain a 5-year funding plan for higher education that implements the strategic financial recommendations of the Board of Education
- Prepare, with the assistance of the Commissioner of Postsecondary Education, and present annually to the State Budget Office a higher education budget – which shall include the budget of the Office of the Postsecondary Commissioner and of the state colleges. Prior to submitting the budget to the State Budget Office, the Council shall present the budget to the Board of Education for its review and approval.

### *Appointments and Staffing*

- Maintain an Office of Postsecondary Commissioner; provide for its staffing and organization; and manage and oversee a Commissioner of Postsecondary Education (pursuant to duties defined in §16-59-6)
- Appoint/dismiss presidents with assistance of the Commissioner of Postsecondary Education
- Approve/disapprove vice presidents of the public institutions of higher education with assistance of the Commissioner of Postsecondary Education
- Appoint, with approval of the Board, a Commissioner of Postsecondary Education to serve as the Chief Executive Officer of the Council, Chief Administrative Officer of the Office of the Postsecondary Commissioner, and Executive Director of the Division of Higher Education Assistance (the Governor also serves as an additional voting member of the Council for this appointment, pursuant to RIGL §16-59-6)
- Delegate to the presidents the authority and responsibility for operational and management decisions related to their institutions, consistent with the goals of the statewide strategic plan for postsecondary education,

provided that president may be required to provide information on updates to the Council

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(<http://www.riopc.edu>)

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(...)