

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4471848

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GENE G. KINNEY	06/14/2017
THERESA ANNE NEUMANN	06/05/2017
DANIEL KEITH NESS	06/14/2017
RECEIVING PARTY DATA	
Name:	PROTHENA BIOSCIENCES INC
Street Address:	331 OYSTER POINT BOULEVARD
City:	SOUTH SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94080
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15037978
CORRESPONDENCE DATA	
Fax Number:	(650)838-2001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-838-2000
Email:	tami.tucker@alston.com
Correspondent Name:	ROSEMARIE L. CELLI
Address Line 1:	ALSTON & BIRD LLP
Address Line 2:	101 SOUTH TRYON STREET, SUITE 4000
Address Line 4:	CHARLOTTE, NORTH CAROLINA 28280-4000
ATTORNEY DOCKET NUMBER:	057450-477900
NAME OF SUBMITTER:	ROSEMARIE L. CELLI
SIGNATURE:	/Rosemarie L. Celli/
DATE SIGNED:	06/21/2017
Total Attachments: 7	
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ASSIGNMENT

WHEREAS, Gene G. Kinney of 1600 Forest View Avenue, Burlingame, CA 94010, Teresa Anne Neumann of 7 Campo Bello Lane, Menlo Park, CA 94025 and Daniel Keith Ness of 3100 Greenoak Court, San Mateo, CA 94403; hereinafter referred to as "Assignors," are inventors of the invention described and set forth in the below-identified patent application:

Title of Invention: Monitoring Immunotherapy Of Lewy Body Disease From Constipation Symptoms

US Application No.: 15/037,978

Filing Date: May 19, 2016

WHEREAS, Prothena Biosciences Inc, a corporation duly organized under and pursuant to the laws of the state of Delaware, and having a principal place of business at 331 Oyster Point Blvd., South San Francisco, CA 94080, hereinafter referred to as "Assignee," is desirous of acquiring Assignor's right, title and interest in and to the above-mentioned invention and application, the right to file applications on said invention, and the right, title and interest in and to any applications, including provisional applications, on said invention for Letters Patent of the United States or other countries, and any applications claiming priority to said applications, and in and to any Letters Patent or Patents of any country, to be obtained therefor and thereon;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors has assigned, transferred and set over, and by these presents does assign, transfer and set over unto Assignee, and Assignee's successors, legal representatives, and assigns, all of Assignor's right, title and interest in and to the above-mentioned invention and application, the right to file applications on said invention, and the right, title and interest in and to any such applications, including provisional applications, and any applications claiming priority from said applications for Letters Patent of the United States or other countries (including patent, utility model and industrial designs), and in and to any Letters Patent or Patents of the United States of America and all foreign countries that have been or may be granted therefor and thereon, and in and to any and all divisions, renewals, substitutions, conversions, continuations, and continuations-in-part of said applications, and reissues, prolongations and extensions of said Letters Patent or Patents, and all rights under the Paris Convention for the Protection of Industrial Property, including the right to claim priority, the same to be held and enjoyed by Assignee, for its own use and behalf and the use and behalf of Assignee's successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by Assignors had this Assignment not been made;

AND for the same consideration, Assignors hereby covenants and agrees to and with Assignee, its successors, legal representatives, and assigns, that Assignors shall, whenever counsel of Assignee, or the counsel of its successors, legal representatives, and assigns, advises that any proceeding in connection with said invention or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said invention in any

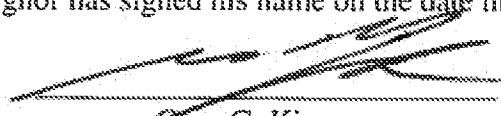
country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, continuation-in-part, substitution or conversion of any applications for Letters Patent or Patents, or any reissue, prolongation or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said invention, without charge to Assignee, its successors, legal representatives, and assigns, but at the cost and expense of Assignee, its successors, legal representatives, and assigns;

AND Assignors hereby requests the Commissioner of Patents to issue any and all said Letters Patent or Patents of the United States to Assignee as the assignee of said invention and the Letters Patent or Patents issued and to be issued thereon for the use and behalf of Assignee, its successors, legal representatives, and assigns;

AND the undersigned hereby grant(s) an authorized representative of Assignee the power to insert in this Assignment the application number and filing date and any further identification that may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.


IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Dated: 14-JUN-2017


Gene G. Kinney

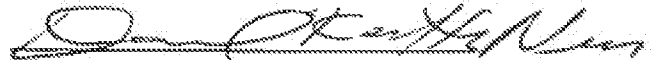
IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Dated: 6/5/2017


Teresa Anne Neumann

IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Dated: 14 Jun 17


Daniel Keith Ness

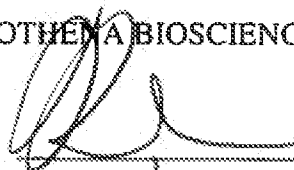
EXECUTION IN THE PRESENCE OF A NOTARY IS PREFERRED

PLEASE SEE
ACKNOWLEDGMENT
FROM NOTARY

IN TESTIMONY WHEREOF, a duly authorized representative of Assignee has signed on the date indicated.

Date: 6/19/17

PROTHEMA BIOSCIENCES INC

By: 

Printed Name: Karin Walker

Title: CA

EXECUTION IN THE PRESENCE OF A NOTARY IS PREFERRED

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of San Mateo

On June 5th, 2017 before me, Corrine Marie Colhour, Notary Public
(insert name and title of the officer)

personally appeared Theresa Anne Neumann
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Corrine Marie Colhour (Seal)



ATTACHED
INSTRUMENT/JURAT
BY PUBLIC

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

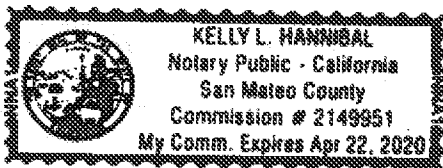
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
 County of San Mateo)
 On 6/14/17 before me, Kelly L Hannibal
 Date Here Insert Name and Title of the Officer
 personally appeared Gene Kinney
 Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]
 Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Assignment 15/051,978 Document Date: _____
 Number of Pages: _____ Signer(s) Other Than Named Above: Theresa, Daniel, PBI

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

CIVIL CODE § 1189

State of California)
County of San Mateo)
On 6/19/17 before me, Kelly L. Hannibal
Date Here Insert Name and Title of the Officer
personally appeared Daniel Keith Ness
Name(s) of Signer(s)

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Signature _____
Signature of Notary Public



OPTIONAL

Title or Type of Document: Assignment 15/037,978 Document Date: _____
Number of Pages: _____ Signer(s) Other Than Named Above: Gene, Theresa DBI

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

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 Signer Is Representing: _____

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County of San Mateo)

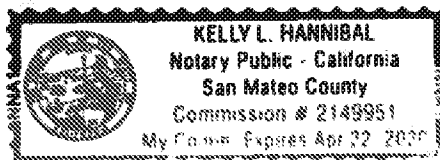
On 6/19/17 before me, Kelly L. Hannibal
Date Here Insert Name and Title of the Officer

personally appeared Karin Walker
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]
Signature of Notary Public

Place Notary Seal Above

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Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — Limited General

☐ Individual Attorney in Fact

☐ Trustee Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — Limited General

☐ Individual Attorney in Fact

☐ Trustee Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____