

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4458398

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	NOTICE OF LIEN RE: OEX	
CONVEYING PARTY DATA		
Name		Execution Date
UBIQUITY, INC.		03/11/2017
RECEIVING PARTY DATA		
Name:	GERALD NORTH C/O BINDER & MALTER, LLP	
Street Address:	2775 PARK AVENUE	
City:	SANTA CLARA	
State/Country:	CALIFORNIA	
Postal Code:	95050	
PROPERTY NUMBERS Total: 31		
Property Type	Number	
Patent Number:	7464344	
Patent Number:	7588180	
Patent Number:	7590556	
Patent Number:	7913919	
Patent Number:	7953452	
Patent Number:	7996788	
Patent Number:	8032113	
Patent Number:	8040216	
Patent Number:	8155947	
Patent Number:	8170239	
Patent Number:	8265707	
Patent Number:	8311901	
Patent Number:	8401083	
Patent Number:	8467775	
Patent Number:	8479981	
Patent Number:	8527906	
Patent Number:	8533632	
Patent Number:	8677280	
Patent Number:	8929434	
Patent Number:	8983535	

PATENT

Property Type	Number
Patent Number:	9106925
Patent Number:	9213976
Patent Number:	9438962
Patent Number:	9648114
Application Number:	11959076
Application Number:	12652585
Application Number:	12753895
Application Number:	13176313
Application Number:	13529735
Application Number:	13890075
Application Number:	14215670

CORRESPONDENCE DATA

Fax Number: (408)295-1531

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 4082951700

Email: sara@binderalter.com

Correspondent Name: GERALD NORTH C/O BINDER & MALTER, LLP

Address Line 1: 2775 PARK AVENUE

Address Line 4: SANTA CLARA, CALIFORNIA 95050

ATTORNEY DOCKET NUMBER:	NORTH- UBIQUITY
NAME OF SUBMITTER:	CHRISTIAN P. BINDER
SIGNATURE:	/Christian P. Binder/
DATE SIGNED:	06/13/2017

Total Attachments: 13

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**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Ubiquity, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 3/11/2017

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Notice of Lien re: OEX

2. Name and address of receiving party(ies)

Name: Gerald North C/O Binder & Malter, LLP

Internal Address: _____

Street Address: 2775 Park Avenue

City: Santa Clara

State: California

Country: USA Zip: 95050

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

11/959076; 12/652585; 12/753895

7,464,344 ; 7,588,180 ; 7,590,556

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Gerald North C/O Binder & Malter, LLP

Internal Address: _____

Street Address: 2775 Park Avenue

City: Santa Clara

State: CA Zip: 95050

Phone Number: 408-295-1700

Docket Number: _____

Email Address: chris@bindermalter.com

6. Total number of applications and patents involved: 31

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Christian Binder
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **13**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Patent Cover Sheet Attachment #1

Additional Patent Application and Patent Numbers

U.S. Patent Numbers:	U.S. Patent Application Numbers:
7,913,919 - Smart Card Currency Exchange March 2011	13/176313
7,953,452 - Cellular Multiscreen (Bailey) May 31, 2011	13/529735
7,996,788 - Method For Navigating August 9, 2011	13/890075
8,032,113 - Value Added Gateway (Bailey) Oct. 4, 2011	14/215670
8,040,216 - Virtual Entry Assistant (Bailey) Oct. 18, 2011	
8,155,947 - Multilingual Translation System (Bailey) April 10, 2012	
8,170,239 - Virtual Recording Studio (Bailey) May 1, 2012	
8,265,707 - Cellular Multiscreen (Bailey) Sept. 11, 2012	
8,311,901 - System For Distributing Products Nov. 13, 2012	
8,401,083 - Extreme Video Compression Mar. 19, 2013	
8,467,775 - Digital Data Compression (Bailey) Jun. 18, 2013	
8,479,981 - Smart Card Enhanced July 2013	
8,527,906 - System For Immersive Advertising Sept. 2013	
8,533,632 - System For Immersive Advertising Sept 2013	
8,677,280 - Sproket Shaped Interface Mar. 18, 2014	
8,929,434	
8,983,535 - Medical Scan Clip Mar. 17, 2015	
9,106,925 - WEAV Video Compression System	
9,213,976 Smartcard	
9,438,962 - Video Over Cellular (Bailey) Sept. 6, 2016	
9,648,114 Data Feeds Social Networks	

NOTIFICATION OF LIEN UNDER CALIFORNIA CODE OF CIVIL PROCEDURE
SECTION 708.110(d)

In re: Ubiquity Inc.

U.S. Patent Numbers:	U.S. Patent Application Numbers:
7,464,344 - Immersive Advertising Dec. 9, 2008	11/959076 - Cellular Avatar (Bailey) Sept. 4, 2008
7,588,180 - Smart Card Currency Exchange Sept. 15, 2009	12/652585
7,590,556 - Lifestyle Information Sept. 15, 2009	12/753895 - Patented Case Medical Clip
7,913,919 - Smart Card Currency Exchange March 2011	13/176313
7,953,452 - Cellular Multiscreen (Bailey) May 31, 2011	13/529735
7,996,788 - Method For Navigating August 9, 2011	13/890075
8,032,113 - Value Added Gateway (Bailey) Oct. 4, 2011	14/215670
8,040,216 - Virtual Entry Assistant (Bailey) Oct. 18, 2011	
8,155,947 - Multilingual Translation System (Bailey) April 10, 2012	
8,170,239 - Virtual Recording Studio (Bailey) May 1, 2012	
8,265,707 - Cellular Multiscreen (Bailey) Sept. 11, 2012	
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8,401,083 - Extreme Video Compression Mar. 19, 2013	
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8,677,280 - Sproket Shaped Interface Mar. 18, 2014	
8,929,434	
8,983,535 - Medical Scan Clip Mar. 17, 2015	
9,106,925 - WEAV Video Compression System	
9,213,976 Smartcard	

9,438,962 - Video Over Cellular (Bailey) Sept. 6, 2016	
9,648,114 Data Feeds Social Networks	

TO WHOM IT MAY CONCERN:

The undersigned attorney provides notification of a lien under the California Code of Civil Procedure §708.110(d) in favor of Mr. Gerald D. North (hereinafter "Judgment Creditor") and against Ubiquity, Inc. (hereinafter "Judgment Debtor") on the personal property of the Judgment Debtor as of March 11, 2017, or at the latest, March 28, 2017. On, October 28, 2016, Mr. North obtained a judgment against Ubiquity, Inc. (a Nevada Corporation) in the United States District Court for the Northern District of Illinois Eastern Division (Case No. 16-CV-05698) in the amount of Seven Million, Seven Hundred Twenty Two Thousand, Two Hundred Fourteen Dollars and Ten Cents (\$7,722,214.10). This judgment was registered in the United States District Court for the Central District of California (Southern Division-Santa Ana) (Case No. 8:17-cv-00134-VAP-JCG) on January 10, 2017. On February 6, 2017, Magistrate Judge Jay C. Ghandi granted an order for appearance and examination of Ubiquity Inc.

On March 11, 2017 and pursuant to California Code of Civil Procedure §708.110(d), 415.10 and 416.10, the order was personally served on Brenden Garrison, Secretary and Treasurer of Ubiquity, Inc.. On March 28, 2017 and pursuant to California Code of Civil Procedure §708.110(d), 415.10 and 416.10, the order was personally served on Melanie Galero, Intake Clerk at Incorp Services, Inc. the designated agent for service of process with the Nevada Secretary of State. Under California Code of Civil Procedure §708.110(d), "Service of the order creates a lien on the personal property of the judgment debtor for a period of one year from the date of the order unless extended or sooner terminated by the court." California Code of Civil Procedure §680.290 defines personal property as including both tangible and intangible personal property. A patent is a type of personal property. See, 35 USCS § 261. Therefore, on March 11, 2017, or at the latest, March 28, 2017, Mr. Gerald D. North perfected a lien against all personal property (including patents) of the Judgment Debtor, Ubiquity Inc.

This notification of lien under California code of civil procedure Section 708.110(d) is intended to notify all potential purchasers, assignees, licensees and others that to the extent Ubiquity, Inc. holds any interest in the above-referenced patents, Mr. North's lien is attached thereto as of March 11, 2017, or at the latest, March 28, 2017. Mr. North is not claiming a lien against the above-referenced patents to the extent that Ubiquity, Inc. does not hold any interest in the above-referenced patents.

Date:

6/13/17

Signature

Christian Binder

AO 451 (Rev. 12/12) Clerk's Certification of a Judgment to be Registered in Another District

UNITED STATES DISTRICT COURT

for the

Northern District of Illinois

Gerald D.W. North

Plaintiff

v.

Ubiquity, Inc., et al.

Defendant

Civil Action No. 16-CV-05698

CLERK'S CERTIFICATION OF A JUDGMENT TO BE REGISTERED IN ANOTHER DISTRICT

I certify that the attached judgment is a copy of a judgment entered by this court on (date) 10/28/2016.

I also certify that, as appears from this court's records, no motion listed in Fed. R. App. P. 4(a)(4)(A) is pending before this court, the time for appeal has expired, and no appeal has been filed or, if one was filed, it is no longer pending.

Date: 01/09/2017

CLERK OF COURT

Yulonda Thomas
Signature of Clerk or Deputy Clerk

CERTIFIED COPY (Rev. 7/2013)

United States District Court
Northern District of Illinois
Eastern Division

I, Thomas G. Bruton, Clerk of the United States District Court for the Northern District of Illinois, do hereby attest and certify that the annexed documents(s) is (are) a full, true, and correct copy of the original(s) on file in my office and in my legal custody.

IN TESTIMONY WHEREOF:

I have hereunto subscribed my name and affixed the seal of the
foresaid court at Chicago, Illinois, on JAN 09 2017.

THOMAS G. BRUTON, CLERK

By:

Yulonda Thomas
Deputy Clerk

UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

GERALD D. W. NORTH)

Plaintiff)

v.)

UBIQUITY, INC., a Nevada)
Corporation, f/k/a UBIQUITY)
BROADCAST CORPORATION,)
a Delaware Corporation, f/k/a)
UBIQUITY HOLDING INC., a)
Delaware Corporation,)

Defendant.)

Case No. 16-CV-05698

Judge: Hon. Elaine E. Bucklo

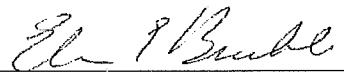
Magistrate Judge: Non. Maria Valdez

JUDGMENT ORDER

This matter coming before the Court on Plaintiff's Motion for Entry of a Default Judgment and the supporting declarations of Gerald D. W. North and Mark S. Savage; the Court being advised in the premises;

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Plaintiff's Motion for Entry of a Default Judgment is granted and that judgment be and hereby is entered in favor of Plaintiff Gerald D. W. North and against Defendant Ubiquity Inc. in the amount of Seven Million, Seven Hundred Twenty Two Thousand, Two Hundred Fourteen Dollars and Ten Cents (\$7,722,214.10).

Dated: October 28, 2016


United States District Judge

**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

Gerald D.W. North

Plaintiff(s)

v.

Ubiquity, Inc.

Defendant(s)

CASE NUMBER

8:17-cv-00134 VAP

ORDER TO APPEAR FOR EXAMINATION RE:

☒ ENFORCEMENT OF JUDGMENT

☐ ATTACHMENT(Third Person)

☒ Judgment Debtor ☐ Third Person

The Court, having considered the Application for Appearance and Examination Re: Enforcement of Judgment/Attachment, hereby **ORDERS** the Application ☒ **GRANTED** ☐ **DENIED.**

TO: One or more officers, directors, managing agents, or other persons who are familiar with Ubiquity, Inc.'s property and debts.

(Name of person to Appear)

YOU ARE ORDERED TO APPEAR personally before the Honorable Jay C. Gandhi,
to:

- ☒ furnish information to aid in enforcement of a money judgment against you.
- ☐ answer concerning property of the judgment debtor in your possession or control or concerning a debt you owe the judgment debtor.
- ☐ answer concerning property of the defendant in your possession or control concerning a debt you owe the defendant that is subject to attachment.

****After April 15, 2017****

Date of appearance: April 27, 2017 **Courtroom:** 6A **Time:** 10:00 a.m.

Location of Appearance: ☐ Spring Street Courthouse, 312 N. Spring Street, Los Angeles, CA
☐ Roybal Courthouse, 255 E. Temple, Los Angeles, CA
☒ Santa Ana Courthouse, 411 W. Fourth Street, Santa Ana, CA
☐ Riverside Courthouse, 3470 Twelfth Street, Riverside, CA

This Order may be served by a Marshal, sheriff, registered process server, or the following, specially appointed person: _____

(Name of appointed process server)

Date: February 06, 2017


Jay C. Gandhi, U.S. Magistrate Judge

APPEARANCE OF JUDGMENT DEBTOR (ENFORCEMENT OF JUDGMENT)

NOTICE TO JUDGMENT DEBTOR: If you fail to appear at the time and place specified in this order, you may be subject to arrest and punishment for contempt of court and the court may make an order requiring you to pay the reasonable attorney fees incurred by the judgment creditor in this proceeding.

**APPEARANCE OF A THIRD PERSON
(ENFORCEMENT OF JUDGMENT)**

(1) NOTICE TO PERSON SERVED: If you fail to appear at the time and place specified in this order, you may be subject to arrest and punishment for contempt of court and the court may make an order requiring you to pay the reasonable attorney fees incurred by the judgment creditor in this proceeding.

(2) NOTICE TO JUDGMENT DEBTOR: The person in whose favor the judgment was entered in this action claims that the person to be examined pursuant to this order has possession or control of property which is yours or owes you a debt. This property or debt is as follows: *(Clearly describe the property or debt. Print or type the description. Use an additional sheet of paper, if necessary.)*

If you claim that all or any portion of this property or debt is exempt from enforcement of the money judgment, you MUST file your exemption claim *in writing* with the court and have a copy personally served on the judgment creditor not later than three (3) days before the date set for the examination.

You MUST appear at the time and place set for the examination to establish your claim of exemption or your exemption may be waived.

APPEARANCE OF A THIRD PERSON (ATTACHMENT)

NOTICE TO PERSON SERVED: If you fail to appear at the time and place specified in this order, you may be subject to arrest and punishment for contempt of court and the court may make an order requiring you to pay the reasonable attorney fees incurred by the plaintiff in this proceeding.

**APPEARANCE OF A CORPORATION, PARTNERSHIP,
ASSOCIATION, TRUST, OR OTHER ORGANIZATION**

It is your duty to designate one or more of the following to appear and be examined: officers, directors, managing agents, or other persons who are familiar with your property and debts.

CHRISTIAN P. BINDER, ESQ. BINDER & MALTER 2775 PARK AVENUE SANTA CLARA, CA 95050 ATTORNEY FOR: PLAINTIFF		(408) 295-1700	FOR COURT USE ONLY
UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA			
PLAINTIFF: GERALD D.W. NORTH			
DEFENDANT: UBIQUITY, INC.			
REFERENCE NO.: 3177055719	PROOF OF SERVICE		CASE NUMBER: 8:17-CV-00134 VAP

At the time of service I was at least eighteen years of age and not a party to this action, and I served copies of the:

ORDER TO APPEAR FOR EXAMINATION RE: ENFORCEMENT OF JUDGMENT - JUDGMENT DEBTOR; SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION

in the within action by personally delivering true copies thereof to the person served as follows:

Witness : UBIQUITY, INC.
 By serving : MELANIE GALERO, INTAKE CLERK
 Address : INCORP SERVICES, INC.
 3773 HOWARD HUGHES PARKWAY, SUITE 500S
 LAS VEGAS, NV 89169
 Date of Service : MARCH 27, 2017
 Time of Service : 3:34PM
 Witness fees : WERE OFFERED OR DEMANDED AND PAID.
 AMOUNT: \$ 67.82



COUNTY LEGAL
& NOTARY SERVICE

County Legal & Notary Service
 111 North Market Street, Suite 116
 San Jose, CA 95113
 Telephone: (408) 564-7360
 Registered in Santa Clara County
 Registered California Process Server No. 1410
 Registered California Professional Photocopier No. 071

Person serving: MICHELLE ELY

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: MARCH 28, 2017

Signature: _____

MICHELLE ELY

PATENT
 REEL: 042803 FRAME: 0876

Civil Action No. 8:17-cv-00134-VAP (JcGx)

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

I received this subpoena for *(name of individual and title, if any)* Ubiquity, Inc.
on *(date)* 03/09/2017

☒ I served the subpoena by delivering a copy to the named person as follows: Brenden Garrison, Treasurer
on *(date)* 03/11/2017 ; or

☐ I returned the subpoena unexecuted because: _____

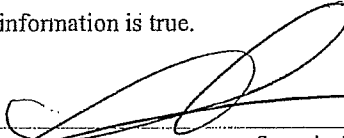
Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also
tendered to the witness the fees for one day's attendance, and the mileage allowed by law, in the amount of

\$ 63.54

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00

I declare under penalty of perjury that this information is true.

Date: 03/13/2017



Server's signature

Amber Thorne, Process Server Registration #2789 , Ornage County

Printed name and title

County Legal & Notary Service
111 North Market Street, Suite 116
San Jose, CA 95113

Server's address

Additional information regarding attempted service, etc.:
Additional documents served: Order to Appear for Examination re: Enforcement of Judgment - Judgment Debtor

Civil Action No. 8:17-cv-00134-VAP (JcGx)

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

I received this subpoena for (name of individual and title, if any)
on (date) 03/09/2017

Ubiquity, Inc., by and through the
person designated by Ubiquity, Inc.,
as most knowledgeable to produce
documents

☒ I served the subpoena by delivering a copy to the named person as follows: Brenden Garrison, Treasurer

on (date) 03/11/2017 ; or

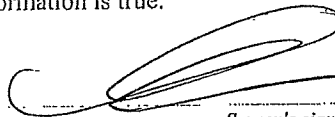
☐ I returned the subpoena unexecuted because:

Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also
tendered to the witness the fees for one day's attendance, and the mileage allowed by law, in the amount of
\$ 63.54

My fees are \$ for travel and \$ for services, for a total of \$ 0.00

I declare under penalty of perjury that this information is true.

Date: 03/13/2017



Server's signature

Amber Thorne, Process Server Registration #2789, Ornage County

Printed name and title

County Legal & Notary Service
111 North Market Street, Suite 116
San Jose, CA 95113

Server's address

Additional information regarding attempted service, etc.:

Additional documents served: Order to Appear for Examination re: Enforcement of Judgment - Judgment Debtor