

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	RUI NUNO BATISTA	06/06/2017
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	PHILIP MORRIS PRODUCTS S.A.	
<b>Street Address:</b>	QUAI JEANRENAUD 3	
<b>City:</b>	NEUCHATEL	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	2000	
<b>PROPERTY NUMBERS Total: 1</b>		
<b>Property Type</b>	<b>Number</b>	
<b>Application Number:</b>	15526934	
<b>CORRESPONDENCE DATA</b>		
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<b>ATTORNEY DOCKET NUMBER:</b>	642.14330101	
<b>NAME OF SUBMITTER:</b>	BRIAN C. WHIPPS	
<b>SIGNATURE:</b>	/brian c. whipps/	
<b>DATE SIGNED:</b>	06/26/2017	
<b>Total Attachments: 3</b>		
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Docket No. 642.14330101

**ASSIGNMENT**

Whereas I, Rui Nuno Batista, with residence and citizenship as indicated below, have made an invention in

**HANDHELD AEROSOL-GENERATING DEVICE AND CARTRIDGE FOR USE WITH SUCH A DEVICE**

Filed: May 15, 2017

U.S. Application Serial No.: 15/526,934

and executed an application for Letters Patent of the United States of America based thereon and that claims priority and/or the benefit of the following application(s):

Filed: December 15, 2015

Serial No.: PCT/EP2015/079804

Filed: December 15, 2014

European Application No.: 14197851.0

Now, therefore, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto **PHILIP MORRIS PRODUCTS S.A.** ("Company"), having its principal office at Quai Jeanrenaud 3, CH-2000 Neuchatel, Switzerland, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by the Assignee, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent that may be granted upon any of said applications, to **PHILIP MORRIS PRODUCTS S.A.** as the assignee of the entire right, title, and interest therein.

*Assignment**Application No. 15/526,934**Filing Date: May 15, 2017**For: HANDHELD AEROSOL-GENERATING DEVICE AND CARTRIDGE FOR USE WITH SUCH A DEVICE*

Further, I covenant and agree with the Assignee, and its successors and assigns, that I have not assigned, granted, mortgaged, licensed, or executed any other agreement affecting the rights and property herein conveyed to others and that we possess the full right to convey these rights and property as herein expressed.

If the filing date and application number are not identified in this Assignment at the time of execution, I authorize the Assignee and its agents, legal representatives, successors and assigns, to insert such information into this Assignment and/or true and correct copies thereof at a later date.

In witness whereof, I have hereunto signed my name on the day and year set forth below.

*Rui Nuno Batista*

Name: Rui Nuno Batista  
Address: Avenue Alois Hugonnet 10  
1110 Morges, Switzerland  
Citizenship: Portugal

*06/06/2017*

Date

*Anna Sofia Barbosa Sousa*

Witness NAME: ANNA SOFIA BARBOSA SOUSA  
ADDRESS: AV. ALOIS HUGONNET 10  
1110 MORGES, SWITZERLAND

*Miguel Afonso Batista*

Witness NAME: MIGUEL AFONSO BATISTA  
ADDRESS: AV. ALOIS HUGONNET 10  
1110 MORGES, SWITZERLAND

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

<b>Title of Invention</b>	<b>HANDHELD AEROSOL-GENERATING DEVICE AND CARTRIDGE FOR USE WITH SUCH A DEVICE</b>
<p>As the below named inventor, I hereby declare that:</p> <p>This declaration is directed to: <input type="checkbox"/> The attached application, or <input checked="" type="checkbox"/> United States application or PCT international application number <u>15/526,934</u> filed on <u>May 15, 2017</u></p> <div style="border: 1px solid black; padding: 5px; margin-top: 10px; float: right; width: 30%;"> <p>I also declare that I have reviewed and understand the contents of the application, including the claims, and am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.</p> </div> <p>The above-identified application was made or authorized to be made by me.</p> <p>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p> <p style="text-align: center;"><b>WARNING:</b></p> <p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p>	
<p><b>LEGAL NAME OF INVENTOR</b></p> <p>Inventor: <u>Rui Nuno Batista</u> Date: <u>06/06/2017</u></p> <p>Signature: <u>R. Nuno Batista</u></p>	
<p><small>Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/D1 form for each additional inventor.</small></p>	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT**