504433036 06/27/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4479737

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
PAUL GREGORY ROTH	06/26/2017

RECEIVING PARTY DATA

Name:	GENERAL ELECTRIC COMPANY
Street Address:	1 RIVER ROAD
City:	SCHENECTADY
State/Country:	NEW YORK
Postal Code:	12345

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15634231

CORRESPONDENCE DATA

Fax Number: (203)373-7153

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 203-366-7473

Email: gpo.mail@ge.com,gpodckt.mail@ge.com,tsomers@dority-manning.com,docketindd@d

Correspondent Name: GENERAL ELECTRIC COMPANY GLOBAL PATENT O

Address Line 1: 901 MAIN AVENUE

Address Line 2: 3RD FLOOR

Address Line 4: NORWALK, CONNECTICUT 06851

ATTORNEY DOCKET NUMBER:	265178-1/GECV-467
NAME OF SUBMITTER:	ALAN R. MARSHALL
SIGNATURE:	/alan r. marshall 56,405/
DATE SIGNED:	06/27/2017

Total Attachments: 3

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PATENT 504433036 REEL: 042826 FRAME: 0688

COMBINED DECLARATION AND GLOBAL ASSIGNMENT

FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor, I hereby declare that:

This declaration and assignment are directed to:

APPARATUS AND METHODS FOR PARTICLE SEPARATION BY **FERROFLUID CONSTRICTION**

	the specification of which is attached here	to OR	
<u>[x]</u>	United States application or PCT internation 15/634,231 filed on June amended on [date] (if applicable). (I hereby authorize and request the Company insert above the application number and filing data.	27, 2017 or its delegated attorneys	as or agents to
The above-ic	dentified application was made or autho	rized to be made by m	e.
	at I am the original inventor or an ori the application.	ginal joint inventor o	f a claimed
	nowledge that any willful false statement m C § 1001 by fine or imprisonment of not mo		
	red and understand the contents of the abo s amended by any amendment referred to	* ***	, including
application as material infor	e the duty to disclose information which in a defined in 37 CFR § 1.56, including, to the mation which became available between the date of the continuation-in-part application	or continuation-in-part of the prior of the	applications,
Information A limited to, the	ce with my obligation(s) under an Em agreement or (as applicable) arising out of ne Services Agreement between Genera and my employer), and/or for other good a receipt, I,	other agreements (sucl al Electric Company, a	n as, but not i New York
Inventor	Inventor Inventor Last Reside	nce Residence	Residence

Town

Cincinnati

of

hereby sell and assign to:

Middle

Name

Gregory

Name

Roth

First Name

Paul

Country

USA

State

Ohio

Name of Company: General Electric Company											
Entity Ty	pe (option	al): Ne	w York corpo	ration							
Address:	1 River I	Road, S	Schenectady,	New \	ork	12345	USA			25 2	

herein referred to as "Company", its successors and assigns my entire rights, titles and interests in and to the invention and improvements made or conceived by me described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application	Filing Date	Title
		Number		

(I hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, I will communicate to the Company or its representatives or nominees any facts known to me respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor/assignor potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR	
Signature: Jal Gregory Roth	Date: <u> </u>
Witnessed by: Yelly Signature	Date:6/2-6/17
Tay P. Ke. Hy Printed Name of Witness	
Witnessed by: <u>毛ジーニーで</u> がし Signature	Date:6/26/2-017
RADIV SAMPATH	
Printed Name of Witness	
SECTION BELOW IS FOR USE ONLY IF SIGNING	3 IN PRESENCE OF A NOTARY
Date:	
Paul Gregory Roth	
STATE OF	
\$8.	
COUNTY OF	
This day of, be <u>Paul Gregory Roth</u> , to me personally known as assignment, who acknowledged to me that he/she ex the purposes therein set forth.	fore me personally came the above-named the individual who executed the foregoing secuted the same of his/her own free will for
Seal	
	(Notary Public)

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