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| <b>PATENT ASSIGNMENT COVER SHEET</b> |
|--------------------------------------|

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4466791

|                              |  |
|------------------------------|--|
| <b>SUBMISSION TYPE:</b>      | CORRECTIVE ASSIGNMENT  |
| <b>NATURE OF CONVEYANCE:</b> | Corrective Assignment to correct the EXECUTION DATE previously recorded on Reel 017014 Frame 0300. Assignor(s) hereby confirms the CORRECT THE EXECUTION DATE OF DUNCAN J. MAITLAND. |

**CONVEYING PARTY DATA**

| Name               | Execution Date |
|--------------------|----------------|
| DUNCAN MAITLAND    | 06/23/2005     |
| WILLIAM J. BENETT  | 06/02/2005     |
| JANE P. BEARINGER  | 06/02/2005     |
| THOMAS S. WILSON   | 06/17/2005     |
| WARD SMALL IV      | 06/06/2005     |
| DANIEL L. SCHUMANN | 06/22/2005     |
| WAYNE A. JENSEN    | 06/24/2005     |
| JASON M. ORTEGA    | 06/28/2005     |
| JOHN E. MARION III | 07/13/2015     |
| JEFFREY M. LOGE    | 07/02/2005     |

**RECEIVING PARTY DATA**

|                        |   |
|------------------------|---|
| <b>Name:</b>           | THE REGENTS OF THE UNIVERSITY OF CALIFORNIA |
| <b>Street Address:</b> | 1111 FRANKLIN STREET                        |
| <b>City:</b>           | OAKLAND                                     |
| <b>State/Country:</b>  | CALIFORNIA                                  |
| <b>Postal Code:</b>    | 94607-5200                                  |

**PROPERTY NUMBERS Total: 1**

| Property Type              | Number   |
|----------------------------|----------|
| <b>Application Number:</b> | 11172668 |

**CORRESPONDENCE DATA**

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**Address Line 2:** L-703, P.O. BOX 808

**Address Line 4:** LIVERMORE, CALIFORNIA 94551

**PATENT**

|                                |                 |
|--------------------------------|-----------------|
| <b>ATTORNEY DOCKET NUMBER:</b> | IL-11378        |
| <b>NAME OF SUBMITTER:</b>      | MARK H. LOUGH   |
| <b>SIGNATURE:</b>              | /MARK H. LOUGH/ |
| <b>DATE SIGNED:</b>            | 06/19/2017      |

**Total Attachments: 5**

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9/21/05

|   |  |                 |   |            |  |
|---|--|-----------------|---|------------|--|
| Form PTO-1595,<br>1/31/92   |  | RECOF           | 09-27-2005  |            | ARTMENT OF COMMERCE<br>Patent and Trademark Office |
|   |  |                 | 103088954   |            |  |
| To the Honorable Commissioner of Patents and T  |  |                 |   |            | documents or copy thereof.                         |
| 1. Name of conveying party(ies):  |  |                 |   |            | ing party(ies):                                    |
| 1. Inventor's Names:  |  |                 |   |            |  |
| Duncan Maitland<br>William J. Benett<br>Jane P. Bearinger<br>Thomas S. Wilson<br>Ward Small IV<br>Daniel L. Schumann<br>Wayne A. Jensen<br>Jason M. Ortega<br>John E. Marion III<br>Jeffrey M. Loge |  |                 | The Regents of the University of California<br>1111 Franklin Street<br>Oakland, CA 94612-3550 |            | RECEIVED<br>CENTRAL FAX CENTER<br>SEP 21 2005      |
| Attorney Docket No.: IL-11378   |  |                 |   |            |  |
| 3. Nature of conveyance:  |  |                 |   |            |  |
| <input checked="" type="checkbox"/> Assignment  |  | Execution Date: |   | 07/13/2005 |  |
| 4. Application number(s) or patent number(s): IL-11378  |  |                 |   |            |  |
| If this document is being filed together with a new application, the execution date of the application is:  |  |                 | 07/13/2005  |            |  |
| A. Patent Application No.(s)  |  |                 | B. Patent No.(s)  |            |  |
| 11/172,668 filed 06/30/2005   |  |                 |   |            |  |
| 5. Name and address of party to whom correspondence concerning document should be mailed:   |  |                 | 6. Total Number of applications and patents involved:   |            |  |
| Name: Eddie E. Scott  |  |                 | ONE   |            |  |
| Address: Lawrence Livermore Nat. Laboratory<br>Intellectual Property Law, L-703   |  |                 | 7. Total fee \$ 40.00   |            |  |
| 7000 East Avenue  |  |                 | <input type="checkbox"/> Enclosed   |            |  |
| Livermore, CA 94550   |  |                 | <input checked="" type="checkbox"/> Authorized charge deposit account                         |            |  |
|   |  |                 | 8. Deposit Account number:<br>12-0695   |            |  |
| DO NOT USE THIS SPACE   |  |                 |   |            |  |
| 09/26/2005 LBYRNE 00000218 120695 11172668<br>01 FC:8021 40.00 DA   |  |                 |   |            |  |
| 9. Statement and Signature  |  |                 |   |            |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  |  |                 |   |            |  |
| Kathy Raymond   |  | Kathy Raymond   |   | 9/21/05    |  |
| Name of Person Signing  |  | Signature       |   | Date       |  |
| Total number of pages comprising cover sheet  |  |                 |   |            | 1  |

SEND IN ORIGINAL AND DUPLICATE

**ASSIGNMENT**

LLNL Case No.: IL-11378

For good and valuable consideration the receipt of which is hereby acknowledged ASSIGNOR(s)

- 1. Duncan Maitland
- 2. William J. Benett
- 3. Jane P. Bearinger
- 4. Thomas S. Wilson
- 5. Ward Small IV
- 6. Daniel L. Schumann
- 7. Wayne A. Jensen
- 8. Jason M. Ortega
- 9. John E. Marion III
- 10. Jeffrey M. Loge

hereby sells, assigns and transfers to ASSIGNEE, the Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, Oakland, California 94607-5200, and the successors, assigns and legal representative of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SHAPE MEMORY POLYMER MEDICAL DEVICE

and which is found in

- U.S. patent application executed on \_\_\_\_\_, entitled as above and listing the above named persons as inventors
- U.S. patent application serial no. 11/172,668, filed on 06/30/2005
- U. S. patent no. \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, the Regents of the University of California.

executed this

6-23-05  
Date

Signature of Inventor(s)

  
Duncan Maitland

LLNL Case No.: IL-11378

executed this

6/02/05  
Date

Signature of Inventor(s)

  
William J. Bennett

executed this

6/02/05  
Date


Signature of Inventor(s)

  
Jane P. Bearinger

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6/17/05  
Date

Signature of Inventor(s)

  
Thomas S. Wilson

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6/6/05  
Date

Signature of Inventor(s)

  
Ward Small IV

executed this

6-22-05  
Date

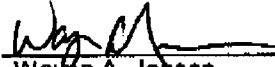
Signature of Inventor(s)

  
Daniel L. Schumann

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6-24-05  
Date


Signature of Inventor(s)

  
Wayne A. Jensen

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6/28/05  
Date

Signature of Inventor(s)

  
Jason M. Ortega

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\_\_\_\_\_  
Date

Signature of Inventor(s)

SEE NEXT PAGE  
John E. Marion III

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7/2/05  
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Signature of Inventor(s)

  
Jeffrey M. Loge

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Signature of Inventor(s)

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Date

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Jason M. Ortega

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Signature of Inventor(s)

7/13/05  
\_\_\_\_\_  
Date

\_\_\_\_\_  
John E. Marlon III

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Signature of Inventor(s)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Jeffrey M. Loge

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