

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4490457

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	PROSONIX LIMITED	03/03/2017
RECEIVING PARTY DATA		
Name:	CIRCASSIA PHARMACEUTICALS PLC	
Street Address:	NORTHBROOK HOUSE, ROBERT ROBINSON AVE.	
Internal Address:	OXFORD SCIENCE PARK	
City:	OXFORD	
State/Country:	ENGLAND	
Postal Code:	OX44GA	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	14854750
CORRESPONDENCE DATA		
Fax Number:	(713)622-0220	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	713-622-7005	
Email:	dmasters@tumeyllp.com	
Correspondent Name:	TOD TUMEY	
Address Line 1:	P.O BOX 22188	
Address Line 4:	HOUSTON, TEXAS 77227-2188	
ATTORNEY DOCKET NUMBER:	4080-00300	
NAME OF SUBMITTER:	TOD TUMEY	
SIGNATURE:	/ttumey/	
DATE SIGNED:	07/05/2017	
Total Attachments: 15		
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IP ASSIGNMENT AGREEMENT

This Intellectual Property Assignment Agreement (the "Assignment Agreement") is made on 3 March 2017.

THE PARTIES

1. **Prosonix Limited**, a private limited company incorporated in England under registration number 05679156 with registered office at The Magdalen Centre, 1 Robert Robinson Avenue, Oxford Science Park, Oxford, Oxon, OX4 4GA ("Assignor"); and
2. **Circassia Limited**, a private limited company incorporated in England under registration number 03689966 with registered office at The Magdalen Centre, Robert Robinson Avenue, Science Park, Oxford, OX4 4GA ("Assignee").

RECITALS

Whereas the Assignor is the legal and beneficial proprietor of valuable registered and unregistered intellectual property in jurisdictions throughout the world.

Whereas as Assignor and Assignee are both wholly and directly owned by Circassia Pharmaceuticals Plc, a public limited company incorporated in England under registration number 05822706 with main place of business at Northbrook House, Robert Robinson Avenue, Oxford Science Park, Oxford, OX4 4GA, England ("Circassia"). As such, Assignor and Assignee are both members of the same group of companies for the purpose of section 474 of the Companies Act 2006 (the "Circassia Group").

Whereas, the Assignor has agreed to sell and the Assignee has agreed to purchase the entire Business Intellectual Property Rights (as defined below) of the Assignor, pursuant to an Asset Purchase Agreement dated 3 March 2017 (the "Main Agreement"), effective as of 3 March 2017.

NOW THEREFORE, the Parties agree for and in consideration of the Purchase Consideration under the Main Agreement and subject to the terms and conditions thereof, as follows:

INTERPRETATION AND DEFINITIONS

Defined terms used in this Assignment Agreement shall have the meaning attributed to them in the Main Agreement unless otherwise defined herein or unless the context requires.

In the event of a conflict of interpretation or of definitions between the Main Agreement and this Assignment Agreement, the terms of this Assignment Agreement shall take precedence, strictly to the extent of the conflict.

"**Business Intellectual Property Rights**" means any and all Intellectual Property Rights owned, used and/or held for use by the Assignor as at Completion of the Main Agreement; including all the Assignor's Registered Business Intellectual Property Rights (as defined below).

"**Registered Business Intellectual Property Rights**" any and all of the Assignor's registered patents and registered trade-marks, and all applications relating thereto, short particulars of which are set forth in Schedule 1 to this Assignment Agreement for ease of reference.

"**Intellectual Property Rights**" means patents, utility models, rights to inventions, copyright and neighbouring and related rights, moral rights, trade-marks and service marks, business names and domain names, rights in get-up and trade dress, goodwill and the right to sue for passing off or unfair

IP ASSIGNMENT AGREEMENT

competition, rights in designs, rights in computer software, database rights, rights to use, and protect the confidentiality of, confidential information (including know-how and trade secrets) and all other intellectual property rights, in each case whether registered or unregistered and including all applications and rights to apply for and be granted, renewals or extensions of, and rights to claim priority from, such rights and all similar or equivalent rights or forms of protection which subsist or will subsist now or in the future in any part of the world.

ASSIGNMENT

To all whom it may concern be it known that **WE PROSONIX LIMITED** having received good and valuable consideration, the receipt and sufficiency of which is acknowledged hereby assign and transfer to **CIRCASSIA LIMITED** our whole right, title and interest (legal and beneficial) in and to the Business Intellectual Property Rights and to any priority rights for all territories of the world in respect of the Business Intellectual Property Rights, including any patent application that may be filed in any and all territories of the world relating to the Business Intellectual Property Rights, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension, or re-issue thereof, or Supplementary Protection Certificate based thereon, and including the right to sue for past infringement;

AND WE PROSONIX LIMITED agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in **CIRCASSIA LIMITED**, its successors and assigns, our whole right, title and interest (legal and beneficial) in and to the Business Intellectual Property Rights.

This Agreement shall be governed and construed in accordance with the laws of England and Wales and the Parties hereto hereby irrevocably agree to submit to the exclusive jurisdiction of England and Wales.

This Agreement does not and is not intended to confer any rights on any third parties. The Contracts (Rights of Third Parties) Act 1999 is hereby expressly excluded to the fullest extent permitted by applicable law.

NOW THEN in witness whereof and with effect from 3 March 2017, **PROSONIX LIMITED** and **CIRCASSIA LIMITED** through their duly authorised signatories have hereunto set their respective hands.

PROSONIX LIMITED

Signature:



Name: JULIEN COTTA

Title: CFO

CIRCASSIA LIMITED

Signature:



Name: MATTHEW FRANKEL

Title: GENERAL COUNSEL

PATENT

REEL: 042911 FRAME: 0397

IP ASSIGNMENT AGREEMENT

SCHEDULE 1 – REGISTERED BUSINESS INTELLECTUAL PROPERTY RIGHTS

PART A – REGISTERED PATENTS

Case Ref.	Client's Reference	Country	Application No.	Title	Case Status	Publication No.	Registration No.	Applicant/ Proprietor
N408520CN	Triple Combinations	China	201480006981.90	Pharmaceutical Compositions Comprising Multi-Component Crystalline Particles Suitable for use in Inhalation Therapy	Pending			Prosonix Limited
N408520EP	Triple Combinations	European Patent Office	14702913.6	Pharmaceutical Compositions Comprising Multi-Component Crystalline Particles Suitable for use in Inhalation Therapy	Pending	2950792		Prosonix Limited
N408520HK	Triple Combinations	Hong Kong	16103560.6	Pharmaceutical Compositions Comprising Multi-Component Crystalline Particles Suitable for use in Inhalation Therapy	Pending, published	1215545A		Prosonix Limited
N408520JP	Triple Combinations	Japan	2015-555796	Pharmaceutical Compositions Comprising Multi-Component Crystalline Particles Suitable for use in Inhalation Therapy	Pending	2016-506947		Prosonix Limited
N408520US	Triple Combinations	United States of America	14/762884	Pharmaceutical Compositions Comprising Multi-Component Crystalline Particles Suitable for use in Inhalation Therapy	Pending	US 2015/0366804		Prosonix Limited
N408533EP-(BE)	Crystalline particles using immiscible anti-solvent: solvent	Belgium	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(CH)	Crystalline particles using immiscible anti-solvent: solvent	Switzerland	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(CZ)	Crystalline particles using immiscible anti-solvent: solvent	Czech Republic	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(DE)	Crystalline particles using immiscible anti-solvent: solvent	Germany	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(DK)	Crystalline particles using immiscible anti-solvent: solvent	Denmark	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(ES)	Crystalline particles using immiscible anti-solvent: solvent	Spain	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited

Case Ref.	Client's Reference	Country	Application No.	Title	Case Status	Publication No.	Registration No.	Applicant/ Proprietor
N408533EP-(FR)	Crystalline particles using immiscible anti-solvent: solvent	France	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(GB)	Crystalline particles using immiscible anti-solvent: solvent	United Kingdom	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(HR)	Crystalline particles using immiscible anti-solvent: solvent	Croatia	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(HU)	Crystalline particles using immiscible anti-solvent: solvent	Hungary	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(IE)	Crystalline particles using immiscible anti-solvent: solvent	Ireland	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(IT)	Crystalline particles using immiscible anti-solvent: solvent	Italy	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(LU)	Crystalline particles using immiscible anti-solvent: solvent	Luxembourg	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(MT)	Crystalline particles using immiscible anti-solvent: solvent	Malta	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(NL)	Crystalline particles using immiscible anti-solvent: solvent	Netherlands	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(PL)	Crystalline particles using immiscible anti-solvent: solvent	Poland	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(PT)	Crystalline particles using immiscible anti-solvent: solvent	Portugal	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(SE)	Crystalline particles using immiscible anti-solvent: solvent	Sweden	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(SK)	Crystalline particles using immiscible anti-solvent: solvent	Slovakia	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533EP-(TR)	Crystalline particles using immiscible anti-solvent: solvent	Turkey	08762562.0	PROCESS FOR MAKING CRYSTALS	Validated		2160225	Prosonix Limited
N408533GB	Crystalline particles using immiscible anti-solvent: solvent	United Kingdom	811007.4	PROCESS FOR MAKING CRYSTALS	Granted/ Registered	2461028	2461028	Prosonix Limited

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Case Ref.	Client's Reference	Country	Application No.	Title	Case Status	Publication No.	Registration No.	Applicant/ Proprietor
N408533IL	Crystalline particles using immiscible anti-solvent: solvent	Israel	202643	PROCESS FOR MAKING CRYSTALS	Granted/ Registered	2008/155570	202643	Prosonix Limited
N408533IN	Crystalline particles using immiscible anti-solvent: solvent	India	7380/CHENP/2009	PROCESS FOR MAKING CRYSTALS	Pending			Prosonix Limited
N408533US	Crystalline particles using immiscible anti-solvent: solvent	United States of America	12/665019	PROCESS FOR MAKING CRYSTALS	Granted/ Registered	2010-0190760	9278323	Prosonix Limited
N408547CN	Multicomponent Particles	China	201480007019.70	Multi-Component Crystalline Particles for Inhalation Therapy	Pending			Prosonix Limited
N408547EP	Multicomponent Particles	European Patent Office	14702912.8	MULTI-COMPONENT CRYSTALLINE PARTICLES FOR INHALATION THERAPY	Pending	2950791		Prosonix Limited
N408547HK	Multicomponent Particles	Hong Kong	16103557.1	Multi-Component Crystalline Particles for Inhalation Therapy	Pending, published	1215543A		Prosonix Limited
N408547JP	Multicomponent Particles	Japan	2015-555795	Multi-Component Crystalline Particles for Inhalation Therapy	Pending	2016-506946		Prosonix Limited
N408547US	Multicomponent Particles	United States of America	14/764479	Multi-Component Crystalline Particles for Inhalation Therapy	Pending	2015/0352077		Prosonix Limited
N408565AU	Oxide purification with solvent recirculation	Australia	2005-219639	REMOVAL OF SODIUM OXALATE FROM A BAYER LIQUOR	Granted/ Registered	2005-085136	2005219639	Prosonix Limited
N408566EP-(CH)	Vortex mixer with ultrasound	Switzerland	02769152.6	FORMATION OF SMALL CRYSTALS	Validated		1409101	Prosonix Limited
N408566EP-(GB)	Vortex mixer with ultrasound	United Kingdom	02769152.6	FORMATION OF SMALL CRYSTALS	Validated		1409101	Prosonix Limited
N408566US	Vortex mixer with ultrasound	United States of America	10/476156	FORMATION OF SMALL CRYSTALS	Granted/ Registered	2004-0139908	6960256	Prosonix Limited
N408568IL	Aspartame Crystal Production	Israel	164854	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	03/101577	164854	Prosonix Limited
N408568IN	Aspartame Crystal Production	India	2693/CHENP/2004	PRODUCTION OF CRYSTALLINE MATERIALS USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	03/101577	252728	Prosonix Limited
N408568JP	Aspartame Crystal Production	Japan	2004-508924	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	2005-527367	4336644	Prosonix Limited
N408568MX	Aspartame Crystal Production	Mexico	PA/A/2004/01191 1	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	03/101577	255856	Prosonix Limited

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Case Ref.	Client's Reference	Country	Application No.	Title	Case Status	Publication No.	Registration No.	Applicant/ Proprietor
N4085685G	Aspartame Crystal Production	Singapore	200406984-5	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	108425	200406984-5	Prosonix Limited
N408568US	Aspartame Crystal Production	United States of America	10/513154	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	2005/0163683	7244307	Prosonix Limited
N408577EP-(DE)	Drying of small crystals	Germany	03720684.4	PREPARATION OF SMALL CRYSTALS	Validated	60326037.308	1499410	Prosonix Limited
N408577EP-(FR)	Drying of small crystals	France	03720684.4	PREPARATION OF SMALL CRYSTALS	Validated	1499410	1499410	Prosonix Limited
N408577EP-(GB)	Drying of small crystals	United Kingdom	03720684.4	PREPARATION OF SMALL CRYSTALS	Validated	1499410	1499410	Prosonix Limited
N408577US	Drying of small crystals	United States of America	10/513153	PREPARATION OF SMALL CRYSTALS	Granted/ Registered	2005-0155541	7326294	Prosonix Limited
N407223EP	DISCUS	European Patent Office	08719036.9	PROCESS FOR MAKING CRYSTALS	Pending	2134457		Prosonix Limited
N408582BR	DISCUS	Brazil	PI-0809275-3	PROCESS FOR MAKING CRYSTALS	Pending			Prosonix Limited
N408582CA	DISCUS	Canada	2680105	PROCESS FOR MAKING CRYSTALS	Granted/ Registered			Prosonix Limited
N408582CN	DISCUS	China	2008-80008925.3	PROCESS FOR MAKING CRYSTALS	Granted/ Registered	101636223	ZL200880008925.3	Prosonix Limited
N408582GB	DISCUS	United Kingdom	804995.9	PROCESS FOR MAKING CRYSTALS	Granted/ Registered	2447761	2447761	Prosonix Limited
N408582IL	DISCUS	Israel	200802	PROCESS FOR MAKING CRYSTALS	Granted/ Registered		200802	Prosonix Limited
N408582IN	DISCUS	India	54971/CHENP/2009	PROCESS FOR MAKING CRYSTALS	Pending			Prosonix Limited
N408582JP	DISCUS	Japan	2014-016565	PROCESS FOR MAKING CRYSTALS	Granted/ Registered	2014-094965	5808830	Prosonix Limited
N408582RU	DISCUS	Russian Federation	2009138325	PROCESS FOR MAKING CRYSTALS	Granted/ Registered		2456066	Prosonix Limited
N408582US	DISCUS	United States of America	12/531322	PROCESS FOR MAKING CRYSTALS	Granted/ Registered	2010-0018853	9162160	Prosonix Limited
N408582US-A	DISCUS	United States of America	14/854750	PROCESS FOR MAKING CRYSTALS	Pending, published	US-2016-0001252-A1		Prosonix Limited
N408585CN	Crystallisation - Frequency sweeping	China	3812160.3	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	1655857	03812160-3	Prosonix Limited
N408585EP-(DE)	Crystallisation - Frequency sweeping	Germany	03722846.7	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated	1509301		Prosonix Limited

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Case Ref.	Client's Reference	Country	Application No.	Title	Case Status	Publication No.	Registration No.	Applicant/ Proprietor
N408585EP-(FR)	Crystallisation - Frequency sweeping	France	03722846.7	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated	1509301		Prosonix Limited
N408585EP-(GB)	Crystallisation - Frequency sweeping	United Kingdom	03722846.7	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509301	Prosonix Limited
N408585IL	Crystallisation - Frequency sweeping	Israel	165135	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	03/101578	165135	Prosonix Limited
N408585IN	Crystallisation - Frequency sweeping	India	2684/CHENP/2004	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	03/101578	255435	Prosonix Limited
N408585JP	Crystallisation - Frequency sweeping	Japan	2004-508925	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	2005-527368	4329936	Prosonix Limited
N408585MX	Crystallisation - Frequency sweeping	Mexico	PA/A/2004/01150 ₈	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	03/101578	248355	Prosonix Limited
N408585US	Crystallisation - Frequency sweeping	United States of America	10/514883	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Granted/ Registered	2005/0189913	7357835	Prosonix Limited
N408788GB	Power Fluidics	United Kingdom	9819225.5	Controlling uniformity of crystalline precipitates	Granted/ Registered	2341120	2341120	Prosonix Limited
N408788US	Power Fluidics	United States of America	09/374762	Controlling uniformity of crystalline precipitates	Granted/ Registered		6,589,500	Prosonix Limited
N408796EP-(AT)	Aspartame Crystal Production	Austria	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(BE)	Aspartame Crystal Production	Belgium	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(CH)	Aspartame Crystal Production	Switzerland	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(DE)	Aspartame Crystal Production	Germany	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(DK)	Aspartame Crystal Production	Denmark	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(ES)	Aspartame Crystal Production	Spain	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited

Case Ref.	Client's Reference	Country	Application No.	Title	Case Status	Publication No.	Registration No.	Applicant/ Proprietor
N408796EP-(FI)	Aspartame Crystal Production	Finland	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(FR)	Aspartame Crystal Production	France	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(GB)	Aspartame Crystal Production	United Kingdom	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(IE)	Aspartame Crystal Production	Ireland	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(IT)	Aspartame Crystal Production	Italy	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(NL)	Aspartame Crystal Production	Netherlands	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(PT)	Aspartame Crystal Production	Portugal	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408796EP-(SE)	Aspartame Crystal Production	Sweden	03722816.0	PRODUCTION OF CRYSTALLINE MATERIALS BY USING HIGH INTENSITY ULTRASOUND	Validated		1509300	Prosonix Limited
N408799EP-(AT)	Sonochemical Irradiator	Austria	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(BE)	Sonochemical Irradiator	Belgium	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(CH)	Sonochemical Irradiator	Switzerland	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(DE)	Sonochemical Irradiator	Germany	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(DK)	Sonochemical Irradiator	Denmark	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(FI)	Sonochemical Irradiator	Finland	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(FR)	Sonochemical Irradiator	France	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(GB)	Sonochemical Irradiator	United Kingdom	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(IE)	Sonochemical Irradiator	Ireland	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(IT)	Sonochemical Irradiator	Italy	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799EP-(PT)	Sonochemical Irradiator	Portugal	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited

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Case Ref.	Client's Reference	Country	Application No.	Title	Case Status	Publication No.	Registration No.	Applicant/ Proprietor
N408799EP-(SE)	Sonochemical Irradiator	Sweden	99973393.4	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Validated		1148943	Prosonix Limited
N408799US-A	Sonochemical Irradiator	United States of America	10/7722494	PROCESS AND APPARATUS FOR IRRADIATING FLUIDS	Granted/ Registered	7,354,556		Prosonix Limited
N408800EP-(GB)	Butul Lithium	United Kingdom	01963247.0	CHEMICAL PROCESS AND PLANT	Validated		1320413	Prosonix Limited
N408802GB	Super-critical crystallisation with ultrasound	United Kingdom	0810663.5	CRYSTALLISATION APPARATUS AND PROCESS WITH SUPERCRITICAL FLUID	Granted/ Registered	2446352	2446352	Prosonix Limited

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GILL JENNINGS PATENT ATTORNEYS _ PROSONIX LIMITED_ PATENT SCHEDULE AS AT 15 DECEMBER 2016

Prosonix Ref.	IGE Ref.	Country	Title	Application No.	Application Date	Publication No.	Publication Date	Registration No.	Registration Date
Dry UMAX	APC00097EP	European Patent Office	PROCESS FOR IMPROVING CRYSTALLINITY	10770869.5	2010/2010	2490779	29/08/2012	2490779	02/12/2015
Dry UMAX	APC00097EP/DE	Germany	PROCESS FOR IMPROVING CRYSTALLINITY	10770869.5	2010/2010	2490779			02/12/2015
Dry UMAX	APC00097EP/FR	France	PROCESS FOR IMPROVING CRYSTALLINITY	10770869.5	2010/2010	2490779		2490779	02/12/2015
Dry UMAX	APC00097EP/GB	United Kingdom	PROCESS FOR IMPROVING CRYSTALLINITY	10770869.5	2010/2010	2490779		2490779	02/12/2015
Dry UMAX	APC00097EP/CH	Switzerland	PROCESS FOR IMPROVING CRYSTALLINITY	10770869.5	2010/2010	2490779		2490779	02/12/2015
Dry UMAX	APC00097EP/IT	Italy	PROCESS FOR IMPROVING CRYSTALLINITY	10770869.5	2010/2010	2490779		2490779	02/12/2015
Dry UMAX	APC00097US	United States of America	PROCESS FOR IMPROVING CRYSTALLINITY	13508120	2010/2010	2012-0308729	06/12/2012	8771744	08/07/2014
Eutectics	APC000284CN	China	EUTECTIC MIXTURE FOR PULMONARY ADMINISTRATION	201280045905.1	09/08/2012	103930095	16/07/2014		
Eutectics	APC000284EP	European Patent Office	EUTECTIC MIXTURE FOR PULMONARY ADMINISTRATION	12753216.6	09/08/2012	2741739	18/06/2014		
Eutectics	APC000284JP	Japan	EUTECTIC MIXTURE FOR PULMONARY ADMINISTRATION	14953247	09/08/2012				
Eutectics	APC000284US	United States of America	EUTECTIC MIXTURE FOR PULMONARY ADMINISTRATION	2014-524444	09/08/2012			5893735	04/03/2016
Eutectics - Concentrated Solutions	HM005125WO	World Intellectual Property Organisation	METHOD OF FORMING CONCENTRATED SOLUTION	14237085	08/08/2012	2014-0228330	14/08/2014	9220708	28/12/2015
FP Specific UMAX	APC000120EP	European Patent Office	PROCESS FOR IMPROVING CRYSTALLINITY OF FLUTICASONE PARTICLES	PCT/GB2015/052763	24/09/2015	WO2016/046553			
FP Specific UMAX	APC000120EP/DE	Germany	PROCESS FOR IMPROVING CRYSTALLINITY OF FLUTICASONE PARTICLES	08785359.2	2007/2009	2321023	18/05/2011	2321023	15/06/2016
FP Specific UMAX	APC000120EP/FR	France	PROCESS FOR IMPROVING CRYSTALLINITY OF FLUTICASONE PARTICLES	08785359.2	2007/2009	2321023		2321023	15/06/2016
FP Specific UMAX	APC000120EP/GB	United Kingdom	PROCESS FOR IMPROVING CRYSTALLINITY OF FLUTICASONE PARTICLES	08785359.2	2007/2009	2321023		2321023	15/06/2016
FP Specific UMAX	APC000120CN	China	PROCESS FOR IMPROVING CRYSTALLINITY OF FLUTICASONE PARTICLES	08785359.2	2007/2009	2321023		2321023	15/06/2016
FP Specific UMAX	APC000120JP	Japan	PROCESS FOR IMPROVING CRYSTALLINITY OF FLUTICASONE PARTICLES	200980135564.3	2007/2009	CN102149437A	10/08/2011		
Generic UMAX	APC000103BR	Brazil	PROCESS FOR IMPROVING CRYSTALLINITY	2011-518013	2007/2009	2011-528342	17/11/2011	5936353	20/05/2016
Generic UMAX	APC000103CN1	China	PROCESS FOR IMPROVING CRYSTALLINITY	PI0915783-2	2007/2009				
Generic UMAX	APC000103IN	India	PROCESS FOR IMPROVING CRYSTALLINITY	201610701170.1	2007/2009				
Generic UMAX	APC000103IN1	India	PROCESS FOR IMPROVING CRYSTALLINITY	122/DELNP/2011	2007/2009				
Generic UMAX	APC000103EP	European Patent Office	PROCESS FOR IMPROVING CRYSTALLINITY	201618001244	2007/2009	2321022	18/05/2011	2321022	02/11/2016
Generic UMAX	APC000103CA	Canada	PROCESS FOR IMPROVING CRYSTALLINITY	08785360.0	2007/2009				
Generic UMAX	APC000103IL	Israel	PROCESS FOR IMPROVING CRYSTALLINITY	2728644	2007/2009				
Generic UMAX	APC000103US	United States of America	PROCESS FOR IMPROVING CRYSTALLINITY	210729	2007/2009				
Generic UMAX	APC000103AU	Australia	PROCESS FOR IMPROVING CRYSTALLINITY	13054532	2007/2009	2011-0146678	23/06/2011		
Generic UMAX	APC000103CN	China	PROCESS FOR IMPROVING CRYSTALLINITY	2009272445	2007/2009			2009272445	07/03/2013
Generic UMAX	APC000103JP	Japan	PROCESS FOR IMPROVING CRYSTALLINITY	200980135973.3	2007/2009	CN102149438A	10/08/2011	2L200980135973.3	21/09/2016
Generic UMAX	APC000103MX	Mexico	PROCESS FOR IMPROVING CRYSTALLINITY	2011-518014	2007/2009	2011-528343	17/11/2011	5947543	10/06/2016
Generic UMAX	APC000103SG	Singapore	PROCESS FOR IMPROVING CRYSTALLINITY	MX/02/2011/000405	2007/2009			335754	18/12/2015
Generic UMAX	APC000103ZA	South Africa	PROCESS FOR IMPROVING CRYSTALLINITY	20100989-9	2007/2009			167623	24/12/2015
Generic UMAX	APC000103EP1	European Patent Office	PROCESS FOR IMPROVING CRYSTALLINITY	2011/001118	2007/2009			2011/001118	28/10/2011
Generic UMAX	APC000103JP1	Japan	PROCESS FOR IMPROVING CRYSTALLINITY	16169807.1	2007/2009	5090792	09/11/2016		
				2016-044931	2007/2009	2016-166202	15/09/2016		

IP ASSIGNMENT AGREEMENT

PART B – REGISTERED TRADE-MARKS

J A • KEMP

Prosonix Limited
Trade Mark Schedule
Our Ref: Z400240XX

J A Kemp Case Ref.	Trade Mark	Case Status	Country	Local Classes	Application No.	Registration No.	Applicant/Proprietor
TM403130BR	PROSONIX	Pending	Brazil	05	908018878	Not yet registered	Prosonix Limited
TM403131BR	PROSONIX	Pending	Brazil	42	908018800	Not yet registered	Prosonix Limited
TM403132CA	PROSONIX	Pending	Canada	-	1686526	Not yet registered	Prosonix Limited
TM403133GB	PROSONIX	Granted/Registered	United Kingdom	05, 42, 45	00003039309	00003039309	Prosonix Limited
TM403134MAP(AU)	PROSONIX	Granted/Registered	Australia	05, 42	N/A	1230086	Prosonix Limited
TM403134MAP(CN)	PROSONIX	Pending	China	05, 42	N/A	1230086	Prosonix Limited
TM403134MAP(EM)	PROSONIX	Granted/Registered	European Union	05, 42	N/A	1230086	Prosonix Limited
TM403134MAP(IN)	PROSONIX	Pending	India	05, 42	2913969	1230086	Prosonix Limited
TM403134MAP(JP)	PROSONIX	Granted/Registered	Japan	05, 42	N/A	1230086	Prosonix Limited
TM403134MAP(SG)	PROSONIX	Granted/Registered	Singapore	05, 42	N/A	1230086	Prosonix Limited
TM403134MAP(US)	PROSONIX	Granted/Registered	United States of America	05, 42	N/A	1230086	Prosonix Limited
TM403135GB	Fliveo FLIVEO (Series of 2)	Granted/Registered	United Kingdom	05, 10	00003046314	00003046314	Prosonix Limited
TM403136BR	FLIVEO	Pending	Brazil	05	9083271700	Not yet registered	Prosonix Limited
TM403137BR	FLIVEO	Pending	Brazil	10	908271794	Not yet registered	Prosonix Limited
TM403138CA	FLIVEO	Pending	Canada	-	1692919	Not yet registered	Prosonix Limited
TM403139MAP(AU)	FLIVEO	Granted/Registered	Australia	05, 10	N/A	1219896	Prosonix Limited
TM403139MAP(CN)	FLIVEO	Granted/Registered	China	05, 10	N/A	1219896	Prosonix Limited
TM403139MAP(EM)	FLIVEO	Granted/Registered	European Union	05, 10	N/A	1219896	Prosonix Limited
TM403139MAP(IN)	FLIVEO	Pending	India	05, 10	N/A	1219896	Prosonix Limited
TM403139MAP(JP)	FLIVEO	Granted/Registered	Japan	05, 10	N/A	1219896	Prosonix Limited
TM403139MAP(SG)	FLIVEO	Granted/Registered	Singapore	05, 10	N/A	1219896	Prosonix Limited

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J A Kemp Case Ref.	Trade Mark	Case Status	Country	Local Classes	Application No.	Registration No.	Applicant/Proprietor
TM403139MAP(US)	FLIVEO	Granted/Registered	United States of America	05, 10	N/A	1219896	Prosonix Limited
TM403145GB	Fliveos FLIVEOS (Series of 2)	Granted/Registered	United Kingdom	05, 10	00003046318	00003046318	Prosonix Limited
TM403146BR	FLIVEOS	Pending	Brazil	05	908271476	Not yet registered	Prosonix Limited
TM403147BR	FLIVEOS	Pending	Brazil	10	908271620	Not yet registered	Prosonix Limited
TM403148MAP(AU)	FLIVEOS	Granted/Registered	Australia	05, 10	N/A	1220381	Prosonix Limited
TM403148MAP(CN)	FLIVEOS	Granted/Registered	China	05, 10	N/A	1220381	Prosonix Limited
TM403148MAP(EM)	FLIVEOS	Granted/Registered	European Union	05, 10	N/A	1220381	Prosonix Limited
TM403148MAP(IN)	FLIVEOS	Pending	India	05, 10	N/A	1220381	Prosonix Limited
TM403148MAP(JP)	FLIVEOS	Granted/Registered	Japan	05, 10	N/A	1220381	Prosonix Limited
TM403148MAP(SG)	FLIVEOS	Granted/Registered	Singapore	05, 10	N/A	1220381	Prosonix Limited
TM403149GB	SERIVEO	Granted/Registered	United Kingdom	05, 10	00003101830	00003101830	Prosonix Limited
TM403150BR	SERIVEO	Pending	Brazil	05	910068240	Not yet registered	Prosonix Limited
TM403151BR	SERIVEO	Pending	Brazil	10	910068453	Not yet registered	Prosonix Limited
TM403152CA	SERIVEO	Pending	Canada	-	1748104	Not yet registered	Prosonix Limited
TM403153MAP(AU)	SERIVEO	Granted/Registered	Australia	05, 10	N/A	1275694	Prosonix Limited
TM403153MAP(CN)	SERIVEO	Pending	China	05, 10	N/A	1275694	Prosonix Limited
TM403153MAP(EM)	SERIVEO	Pending	European Union	05, 10	N/A	1275694	Prosonix Limited
TM403153MAP(IN)	SERIVEO	Pending	India	05, 10	N/A	1275694	Prosonix Limited
TM403153MAP(JP)	SERIVEO	Granted/Registered	Japan	05, 10	N/A	1275694	Prosonix Limited
TM403153MAP(SG)	SERIVEO	Pending	Singapore	05, 10	N/A	1275694	Prosonix Limited
TM403153MAP(US)	SERIVEO	Granted/Registered	United States of America	05, 10	79177210	1275694	Prosonix Limited
TM403155GB	Multi-Bri MultiBri (Series of 2)	Granted/Registered	United Kingdom	05, 10	00003101834	00003101834	Prosonix Limited
TM403156BR	Multi-Bri	Pending	Brazil	05	910067902	Not yet registered	Prosonix Limited
TM403157BR	Multi-Bri	Pending	Brazil	10	910068062	Not yet registered	Prosonix Limited
TM403158CA	Multi-Bri	Pending	Canada	-	1748103	Not yet registered	Prosonix Limited

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J A Kemp Case Ref.	Trade Mark	Case Status	Country	Local Classes	Application No.	Registration No.	Applicant/Proprietor
TM403159MAP(AU)	Multi-Bri	Granted/Registered	Australia	05, 10	N/A	1280669	Prosonix Limited
TM403159MAP(CN)	Multi-Bri	Pending	China	05, 10	N/A	1280669	Prosonix Limited
TM403159MAP(EM)	Multi-Bri	Granted/Registered	European Union	05, 10	N/A	1280669	Prosonix Limited
TM403159MAP(IN)	Multi-Bri	Pending	India	05, 10	N/A	1280669	Prosonix Limited
TM403159MAP(JP)	Multi-Bri	Granted/Registered	Japan	05, 10	N/A	1280669	Prosonix Limited
TM403159MAP(SG)	Multi-Bri	Pending	Singapore	05, 10	40201522940P	1280669	Prosonix Limited
TM403159MAP(US)	Multi-Bri	Granted/Registered	United States of America	05, 10	79179338	1280669	Prosonix Limited
TM403160GB	Tri-Flite TriFlite (Series of 2)	Granted/Registered	United Kingdom	05, 10	00003101838	00003101838	Prosonix Limited
TM403161GB	XINIVEO	Granted/Registered	United Kingdom	05, 10	00003102007	00003102007	Prosonix Limited
TM403162BR	XINIVEO	Pending	Brazil	05	910067627	Not yet registered	Prosonix Limited
TM403163BR	XINIVEO	Pending	Brazil	10	910067708	Not yet registered	Prosonix Limited
TM403164CA	XINIVEO	Pending	Canada	-	1748102	Not yet registered	Prosonix Limited
TM403165MAP(AU)	XINIVEO	Granted/Registered	Australia	05, 10	N/A	1274737	Prosonix Limited
TM403165MAP(CN)	XINIVEO	Pending	China	05, 10	N/A	1274737	Prosonix Limited
TM403165MAP(EM)	XINIVEO	Granted/Registered	European Union	05, 10	N/A	1274737	Prosonix Limited
TM403165MAP(IN)	XINIVEO	Pending	India	05, 10	N/A	1274737	Prosonix Limited
TM403165MAP(JP)	XINIVEO	Granted/Registered	Japan	05, 10	N/A	1274737	Prosonix Limited
TM403165MAP(SG)	XINIVEO	Pending	Singapore	05, 10	N/A	1274737	Prosonix Limited
TM403165MAP(US)	XINIVEO	Granted/Registered	United States of America	05, 10	79176796	1274737	Prosonix Limited