

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JAVIER DE VICENTE FIDALGO	07/29/2015
CHENG HU	07/28/2015
XIAOLIN LI	07/28/2015
PEICHAO LU	07/28/2015
WOSENU MERGO	07/28/2015
DANIEL MUTNICK	07/28/2015
FOLKERT RECK	07/28/2015
ALEXEY RIVKIN	07/28/2015
COLIN KEITH SKEPPER	07/29/2015
XIAOJING MICHAEL WANG	07/28/2015
YONGJIN XU	07/28/2015
RECEIVING PARTY DATA	
Name:	NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.
Street Address:	250 MASSACHUSETTS AVENUE
City:	CAMBRIDGE
State/Country:	MASSACHUSETTS
Postal Code:	02139
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15500870
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(510) 879-9719
Email:	lisa.nash@novartis.com
Correspondent Name:	NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.
Address Line 1:	5300 CHIRON WAY
Address Line 4:	EMERYVILLE, CALIFORNIA 94608

ATTORNEY DOCKET NUMBER:	PAT056269-US-PCT02
NAME OF SUBMITTER:	LISA NASH
SIGNATURE:	/lisa nash/
DATE SIGNED:	07/06/2017

Total Attachments: 18

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ASSIGNMENT

This Assignment Agreement is entered into by and between

Javier de Vicente FIDALGO	citizen of United States of America	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Cheng HU	citizen of China	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Xiaolin LI	citizen of China	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Peichao LU	citizen of China	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Wosenu MERGO	citizen of United States of America	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Daniel MUTNICK	citizen of United States of America	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Folkert RECK	citizen of Germany and United States of America	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Alexey RIVKIN	citizen of United States of America	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America

Colin Keith SKEPPER	citizen of Australia	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Xiaojing Michael WANG	citizen of United States of America	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
Yongjin XU	citizen of United States of America	Novartis Vaccines and Diagnostics, Inc. 4560 Horton Street Emeryville, CA 94608-2916 USA

(hereinafter "Inventor(s)"), and **NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.**, 250 Massachusetts Ave, Cambridge, MA 02139, USA, a company organized under the laws of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to Novartis Institutes for Biomedical Research Inc. and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

QUINOLONE DERIVATIVES AS ANTIBACTERIALS

[Patent Application Reference No. PAT056269-WO-PCT02

and filed in the to be determined Office on to be determined and accorded Application Number to be determined and/or filed as a PCT International Application on August 6, 2014 and accorded International Patent Application Number PCT/CN2014/083811 and PCT International Application on August 3, 2015 and accorded International Patent Application Number PCT/IB2015/055899; and/or filed in the United States Patent and Trademark Office on _____, 2015 and accorded Application Number _____;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

(3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

(4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

(5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;

(6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;

(7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;

(8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE .

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of the state of Delaware.

This Assignment is effective as from the earliest priority date as stated above.

Executed this _____ day of _____, 2015

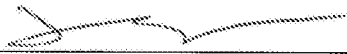
BY _____
Javier de Vicente FIDALGO

Witnessed by:

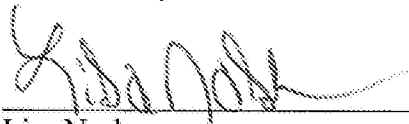
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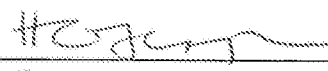
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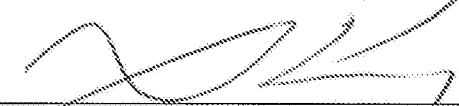
BY 
Cheng HU

Witnessed by:



Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

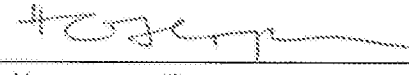

HELEN G. SERPA
5300 Chiron Way
Emeryville, CA 94608

Executed this 28 day of July, 2015

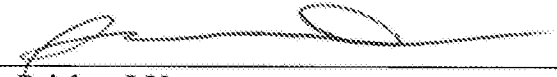
BY 
Xiaolin LI

Witnessed by:



Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

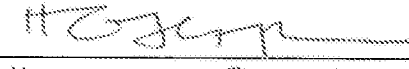

HEUNG G. SERPA
5300 Chiron Way
Emeryville, CA 94608

Executed this 28th day of July, 2015

BY 
Peichao LU

Witnessed by:



Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

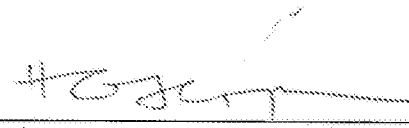

HEUNG G. SERPA
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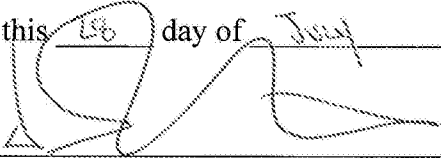
BY 
Wosenu MERGO

Witnessed by:



Lisa Nash
5300 Chiron Way
Emeryville, CA 94608


HEUNG G. SERPA
5300 Chiron Way
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Executed this 15 day of July, 2015

BY 
Daniel MUTNICK

Witnessed by:



Lisa Nash
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Emeryville, CA 94608

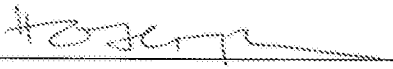

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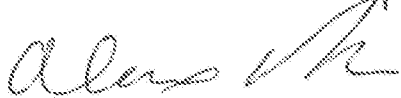
BY 
Folkert RECK

Witnessed by:



Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

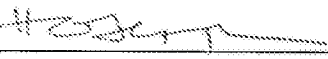

HELIO G. SERPA
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Executed this 28 day of July, 2015

BY 
Alexey RIVKIN

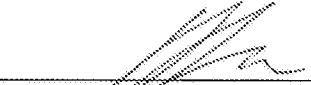
Witnessed by:


Lisa Nash
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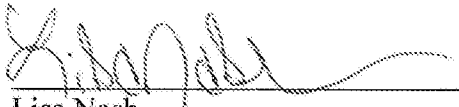

HELIO G. SERPA
5300 Chiron Way
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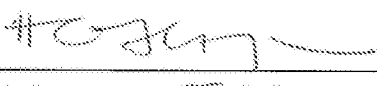
Executed this 29 day of JULY, 2015

BY


Colin Keith SKEPPER

Witnessed by:


Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

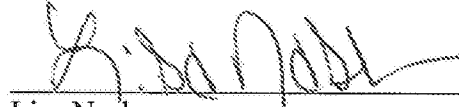

HELIO G. SERPA
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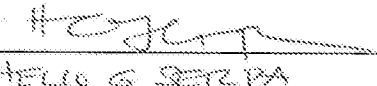
Executed this 28 day of July, 2015

BY


Xiaojing Michael WANG

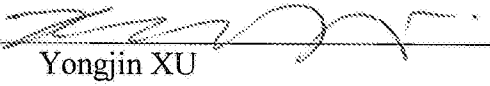
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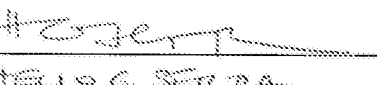
Executed this 28 day of July, 2015

BY


Yongjin XU

Witnessed by:


Lisa Nash
5300 Chiron Way
Emeryville, CA 94608


HELIO G. SERPA
5300 Chiron Way
Emeryville, CA 94608

NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.

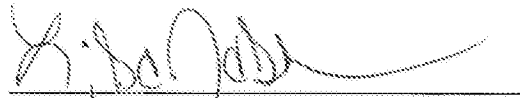
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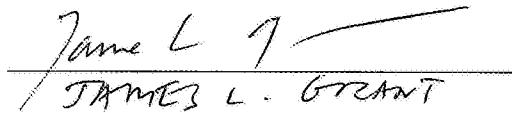
Name:

Title: Authorized Signatory

Witnessed by:



Lisa Nash
5300 Chiron Way
Emeryville, CA 94608



JAMES L. GRANT
5300 Chiron Way
Emeryville, CA 94608

ASSIGNMENT

This Assignment Agreement is entered into by and between

Javier de Vicente FIDALGO	citizen of United States of America	Novartis Institutes for BioMedical Research Inc. 5300 Chiron Way Emeryville, CA 94608 United States of America
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(hereinafter "Inventor(s)"), and **NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.**, 250 Massachusetts Ave, Cambridge, MA 02139, USA, a company organized under the laws of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

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(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

QUINOLONE DERIVATIVES AS ANTIBACTERIALS

[Patent Application Reference No. PAT056269-WO-PCT02

and filed in the **to be determined** Office on **to be determined** and accorded Application Number **to be determined** and/or filed as a PCT International Application on August 6, 2014 and accorded International Patent Application Number PCT/CN2014/083811 and PCT International Application on August 3, 2015 and accorded International Patent Application Number PCT/IB2015/055899; and/or filed in the United States Patent and Trademark Office on _____, 2015 and accorded Application Number _____;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

(3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

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(8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE .

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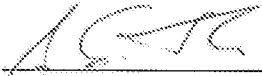
This Assignment shall be governed by the laws of the state of Delaware.

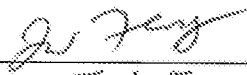
This Assignment is effective as from the earliest priority date as stated above.

Executed this 29 day of July, 2015

BY 
Javier de Vicente FIDALGO

Witnessed by:


Name: Alex Smith
Address: 1609 Geary St
San Francisco, CA 94133


Name: JW Feng
Address: 1816 Canyon Oaks Ct
San Mateo CA 94402

Executed this _____ day of _____, 2015

BY _____
Cheng HU

Witnessed by:

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

5300 Chiron Way
Emeryville, CA 94608

Executed this _____ day of _____, 2015

BY _____
Xiaolin LI

Witnessed by:

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

5300 Chiron Way
Emeryville, CA 94608

Executed this _____ day of _____, 2015

BY _____
Peichao LU

Witnessed by:

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

5300 Chiron Way
Emeryville, CA 94608

Executed this _____ day of _____, 2015

BY _____
Wosenu MERGO

Witnessed by:

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

5300 Chiron Way
Emeryville, CA 94608

Executed this _____ day of _____, 2015

BY _____
Daniel MUTNICK

Witnessed by:

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

5300 Chiron Way
Emeryville, CA 94608

Executed this _____ day of _____, 2015

BY _____
Folkert RECK

Witnessed by:

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

5300 Chiron Way
Emeryville, CA 94608

Executed this _____ day of _____, 2015

BY _____
Alexey RIVKIN

Witnessed by:

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

5300 Chiron Way
Emeryville, CA 94608

Executed this _____ day of _____, 2015

BY _____
Colin Keith SKEPPER

Witnessed by:

_____ Lisa Nash 5300 Chiron Way Emeryville, CA 94608	_____ 5300 Chiron Way Emeryville, CA 94608
---------------------------------------------------------------	--------------------------------------------------

Executed this _____ day of _____, 2015

BY _____
Xiaojing Michael WANG

Witnessed by:

_____ Lisa Nash 5300 Chiron Way Emeryville, CA 94608	_____ 5300 Chiron Way Emeryville, CA 94608
---------------------------------------------------------------	--------------------------------------------------

Executed this _____ day of _____, 2015

BY _____
Yongjin XU

Witnessed by:

_____ Lisa Nash 5300 Chiron Way Emeryville, CA 94608	_____ 5300 Chiron Way Emeryville, CA 94608
---------------------------------------------------------------	--------------------------------------------------

NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.

Executed this 30th day of July, 2015

BY Michael G. Smith

Name: Michael G. Smith

Title: Authorized Signatory

Witnessed by:

Lisa Nash

Lisa Nash
5300 Chiron Way
Emeryville, CA 94608

Helio Serpa

Helio Serpa
5300 Chiron Way
Emeryville, CA 94608