

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4471162

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
AIRBUS OPERATIONS GMBH	06/14/2017
RECEIVING PARTY DATA	
Name:	AIRBUS DEFENCE AND SPACE GMBH
Street Address:	WILLY-MESSERSCHMITT-STRASSE 1
City:	TAUFKIRCHEN
State/Country:	GERMANY
Postal Code:	82024
PROPERTY NUMBERS Total: 2	
Property Type	Number
Patent Number:	8991762
Application Number:	12513325
CORRESPONDENCE DATA	
Fax Number:	(703)716-1180
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	703-716-1191
Email:	gbpatent@gbpatent.com
Correspondent Name:	GREENBLUM & BERNSTEIN, P.L.C.
Address Line 1:	1950 ROLAND CLARKE PLACE
Address Line 4:	RESTON, VIRGINIA 20191
ATTORNEY DOCKET NUMBER:	P36460
NAME OF SUBMITTER:	ROBERT W. MUELLER
SIGNATURE:	/Robert W. Mueller/
DATE SIGNED:	06/21/2017
Total Attachments: 28	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. APPL#

U.S. PAT#

12/513,325

8,991,762

*COVER LETTER REGARDING RECORDATION OF
ASSIGNMENT*

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Commissioner :

Applicant encloses herewith a Patent Assignment Agreement executed June 14, 2017 evidencing the assignment of rights in the above-noted matter from Airbus Operations GmbH to Airbus Defense and Space GmbH.

The "Division patent list final.pdf" appended to Appendix 5B has been redacted to identify only the listing of the pending application, which is subject to this assignment.

Accordingly, Applicant requests recordation of the enclosed Patent Assignment Agreement in the above-identified application/patent in the U.S. Patent and Trademark Office to provide notice that the application is held in the name of Airbus Defense and Space GmbH.

If there are any questions regarding this matter, the undersigned may be contacted at the number below.

Respectfully submitted,
Airbus Defence and Space GmbH

/Robert W. Mueller/

Robert W. Mueller
Reg. No. 35,043

June 21, 2017
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716 1191

Übertragungsvereinbarung

zwischen

Airbus Operations GmbH
Kreetslag 10
21129 Hamburg

und

Airbus Defence and Space GmbH
Willy-Messerschmitt-Straße 1
82024 Taufkirchen

I. Präambel

Gemäß *Ausgliederungs- und Übernahmevertrag* vom 03.08.2015 wurde der Teilbetrieb „A400M Cargo Hold System und integrierte Rumpffertigung (IFA, Integrated Fuselage Assembly)“ des Werks Bremen der Airbus Operations GmbH auf die Airbus Defence and Space GmbH ausgegliedert.

Entsprechend §1 (1) e) des *Ausgliederungs- und Übernahmevertrags* ist vorgesehen, dass die Airbus Operations GmbH als übertragender Rechtsträger gemäß § 129 Abs. 3 Nr. 1 UmwG aus ihrem Vermögen auf die Airbus Defence and Space GmbH als übernehmenden Rechtsträger gegen Gewährung von Anteilen dieses Rechtsträgers an den übertragenden Rechtsträger als Gesamtheit alle in *Anlage 5 der Bezugsurkunde* bezeichneten gewerblichen Schutzrechte überträgt. Ergänzend wurde ein Lizenzvertrag (*Anlage 2 der Bezugsurkunde*) über die Nutzung gewerblicher Schutzrechte geschlossen.

Die Airbus Operations GmbH verfügt über die Schutzrechte gemäß *Anlage 5 der Bezugsurkunde* (ANLAGE A dieser Übertragungsvereinbarung) (VERTRAGSSCHUTZRECHTE), welche Vertragsbestandteil ist. Die Airbus Defence and Space GmbH ist am Erhalt der VERTRAGSSCHUTZRECHTE interessiert. Die Airbus Operations GmbH ist bereit, die VERTRAGSSCHUTZRECHTE auf die Airbus Defence and Space GmbH zu übertragen.

Es wurde nach Abschluss des Ausgliederungs- und Übernahmevertrags zwischen der Airbus Operations GmbH und Airbus Defence and Space GmbH im Rahmen einer Portfoliodurchsicht vereinbart, dass einige der in *Anlage 5 der Bezugsurkunde* bezeichneten gewerblichen Schutzrechte nicht übertragen, sondern aufgegeben werden sollen. Eine Übersicht dieser Schutzrechte befindet sich in ANLAGE B dieser Übertragungsvereinbarung, welche ebenfalls Vertragsbestandteil ist. Es sollen ferner nur diejenigen VERTRAGSSCHUTZRECHTE umgeschrieben werden, die zum Zeitpunkt der Unterzeichnung dieser Übertragungsvereinbarung noch in Kraft sind.

Die unterzeichnende Partei Airbus Operations GmbH ist Inhaber von 100% der Rechte an den VERTRAGSSCHUTZRECHTEN zugrunde liegenden Erfindungen und Inhaber bzw. Anmelder der VERTRAGSSCHUTZRECHTE.

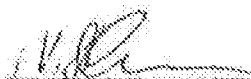
II. Vertragsinhalt

Die Airbus Operations GmbH überträgt hiermit ihre gesamten Anteile dieser Rechte auf die Airbus Defence and Space GmbH, die ab sofort für die Aufrechterhaltung / Weiterführung der VERTRAGSSCHUTZRECHTE und die Umschreibung in die amtlichen Register auf eigene Kosten verantwortlich ist.

Die Airbus Operations GmbH hat die VERTRAGSSCHUTZRECHTE gemäß § 6 ArbErfG unbeschränkt in Anspruch genommen. Die Airbus Defence and Space GmbH nimmt die Übertragung an.

Hamburg, den 14. Juni 2017

Airbus Operations GmbH
Kireberg 19
21129 Hamburg
Airbus Operations GmbH



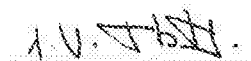
Dr. Gutschmann
IP Professional



Dr. Porath
IP Professional

Taufkirchen, den 14. Juni 2017

Airbus Defence and Space GmbH



Thomas Post
IP Professional


Volker Willems
IP Professional

Jörg Krieger

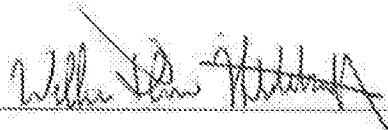
VERIFICATION OF TRANSLATION

I, William Thomas Hildebrandt

of 4411 Lake Road
Williamson, NY 14589

declare that I am well acquainted with both the German and English languages, and that the attached is an accurate translation, to the best of my knowledge and ability, of the German-language document attached hereto.

Signature



William Thomas Hildebrandt

Date

06/21/2017

Assignment Agreement

between

Airbus Operations GmbH
Kreetslag 10
21129 Hamburg

and

Airbus Defence and Space GmbH
Willy-Messerschmitt-Straße 1
82024 Taufkirchen

I. Preamble

In accordance with the *Spin-Off and Transfer Agreement* dated 08/03/2015, the "A400M Cargo Hold System and Integrated Fuselage Assembly (IFA)" division of the Bremen Plant of Airbus Operations GmbH was spun off to Airbus Defence and Space GmbH.

Under § 1 (1) e) of the *Spin-Off and Transfer Agreement*, it is provided that Airbus Operations GmbH, the transferring legal entity, shall transfer in accordance with Section 123 (3) No. 1 German Transformation Act (UmwG) from its assets as a whole all of the industrial property rights indicated in **Appendix 5 to the Reference Document** to Airbus Defence and Space GmbH, the acquiring legal entity, in return for shares in the latter legal entity being allotted to the transferring legal entity. In addition, a license agreement (**Appendix 2 to the Reference Document**) on the use of industrial property rights was concluded.

Airbus Operations GmbH has at its disposal the property rights according to **Appendix 5 to the Reference Document** (APPENDIX A to this Assignment Agreement) (CONTRACTUAL PROPERTY RIGHTS), which is an integral part of this Agreement. Airbus Defence and Space GmbH is interested in receiving the CONTRACTUAL PROPERTY RIGHTS. Airbus Operations GmbH is willing to assign the CONTRACTUAL PROPERTY RIGHTS to Airbus Defence and Space GmbH.

It was agreed during a portfolio review following the conclusion of the Spin-Off and Transfer Agreement between Airbus Operations GmbH and Airbus Defence and Space GmbH that some

of the industrial property rights indicated in **Appendix 5 to the Reference Document** are not to be assigned, but rather are to be abandoned. An overview of these property rights can be found in **APPENDIX B** to this Assignment Agreement, which is also an integral part of the Agreement. Furthermore, only those **CONTRACTUAL PROPERTY RIGHTS** which are still in effect as of the date of signing of this Assignment Agreement are to be transferred.

The undersigned party Airbus Operations GmbH is the owner of 100% of the rights to the inventions on which the **CONTRACTUAL PROPERTY RIGHTS** are based and is the owner of and/or applicant for the **CONTRACTUAL PROPERTY RIGHTS**.

II. Agreement

Airbus Operations GmbH hereby assigns the entirety of its interests in these rights to Airbus Defence and Space GmbH, which shall be responsible, effective immediately and at its own expense, for the maintenance/continuation of the **CONTRACTUAL PROPERTY RIGHTS** and for re-registration in the official registers.

Airbus Operations GmbH has claimed the **CONTRACTUAL PROPERTY RIGHTS** without limitation in accordance with Section 6 German Employee Inventions Act (ArbErfG). Airbus Defence and Space GmbH shall accept the assignment.

[stamp: Airbus Operations GmbH
Kreetslag 10
21129 Hamburg]

Hamburg, June 14, 2017

Airbus Operations GmbH

[signature:
by attorney (i.V.)
name illegible]

Dr. Gutschmann
IP Professional

[signature:
by attorney (i.V.)
name illegible]

Dr. Porath
IP Professional

Taufkirchen, June 14, 2017

Airbus Defence and Space GmbH

[signature: by attorney
(i.V.) Thomas Post]

Thomas Post
IP Professional

[signature:
by attorney (i.V.)
name illegible]

~~Volker Willems~~
[handwriting: Jörg Krebs]
IP Professional

[handwriting: – APPENDIX A –]

[handwriting: Appendix 5]

Appendix 5 A Industrial Property Rights – general

1. Definition

"**Industrial Property Rights**" shall denote all types of industrial property rights and copyrights, including in particular patents, utility models, rights to semiconductor topographies, proprietary rights and proprietary rights of use and exploitation under copyright law, author's rights, marks, domain names, trade secrets, know-how, other rights to information, drawings, logos, plans, databases, technical documents, prototypes, processes, methods, algorithms, rights to any kind of technical documentation, registered designs and other designs, in all cases both registered and also unregistered rights, as well as applications for registrations, and all forms of legal protection having comparable or similar effect anywhere in the world.

2. Industrial Property Rights to be assigned

The Industrial Property Rights which satisfy the requirements set forth below shall be assigned under the Spin-Off and Transfer agreement:

- They were used on December 31, 2014 for the products of the division (as defined in Item 1 of the Spin-Off and Transfer Agreement); and
- They are the property of Airbus Operations GmbH on December 31, 2014, or Airbus Operations GmbH has acquired a transferable license thereto from an affiliated company; and
- They are not used in any other Airbus aircraft program.

This shall include in particular the accompanying list of patents in **Appendix 5 B**.

Appendix 5 B Industrial Property Rights – patents

[file thumbnail and file name: Division patent list final.pdf]

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Teliberieb A400M IFA & Cargo Bremen - Specific Product IPR - List of Patents

Family Number	Can Filing	Filing Number	Publication Number	Grant	Grant Number	Apply date (date)	In force (yes/)	Internal Title
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
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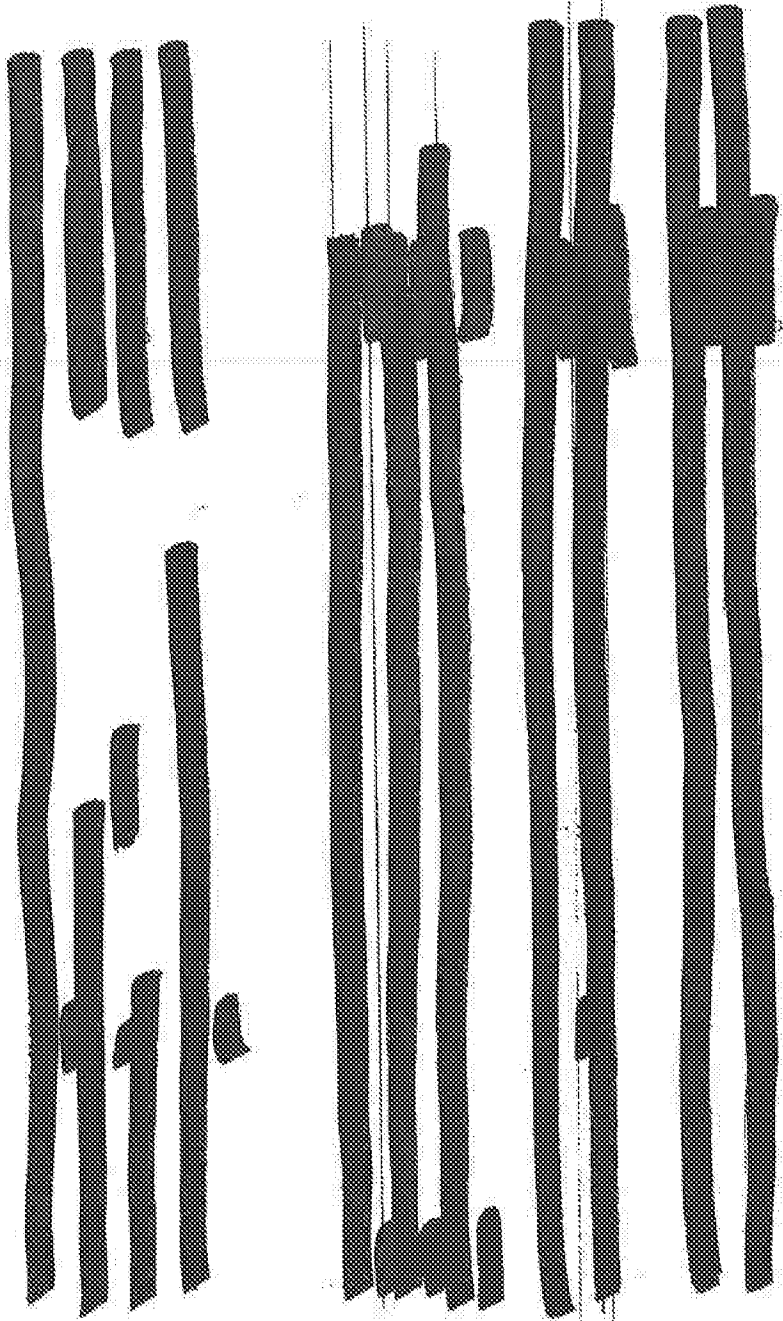
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01 Nov 2027 66 5100-6000-Verordnung eines Phytocid

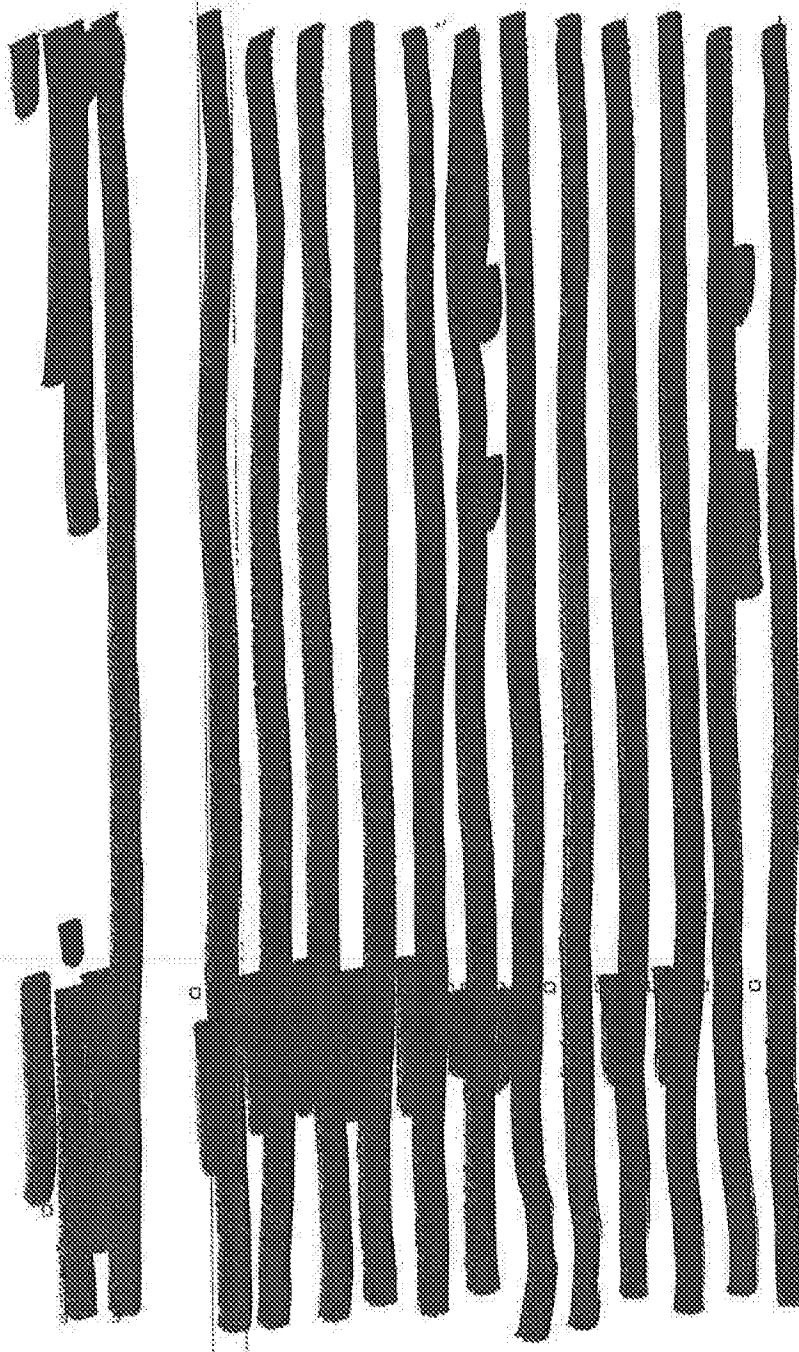


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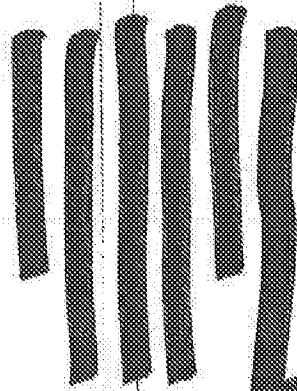
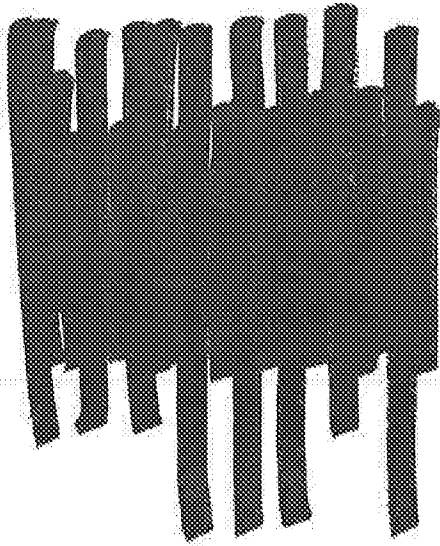
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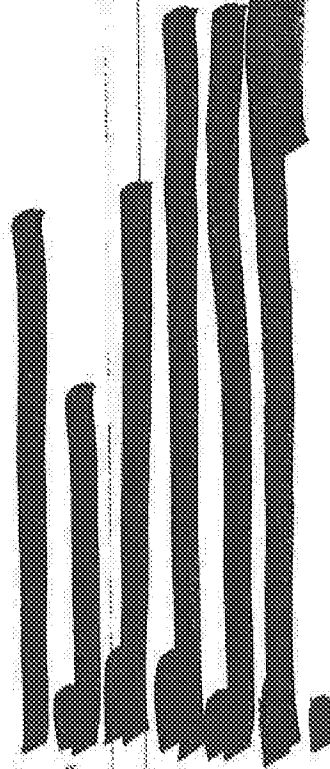
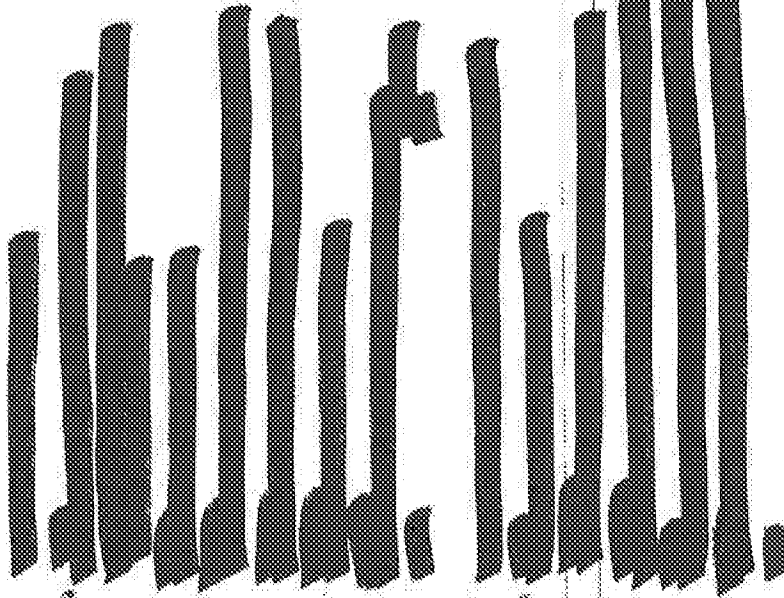


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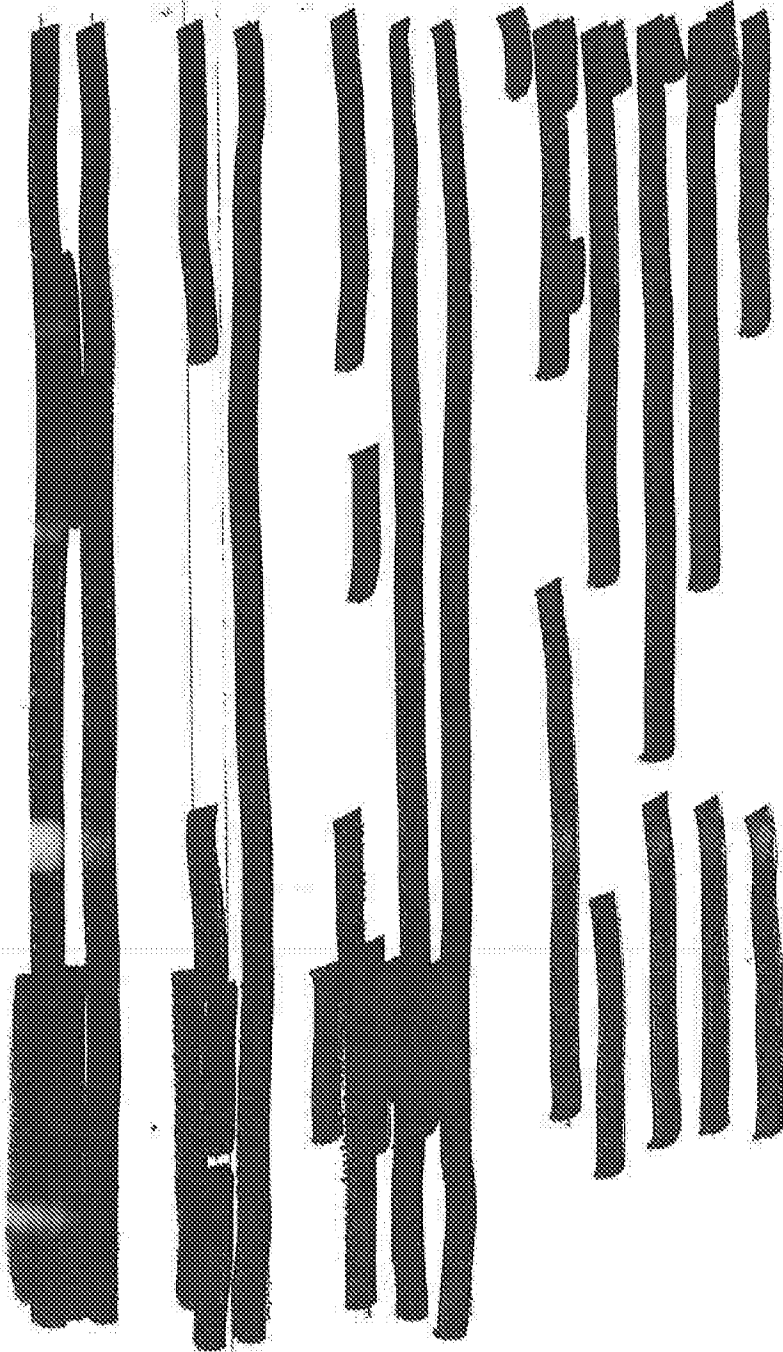


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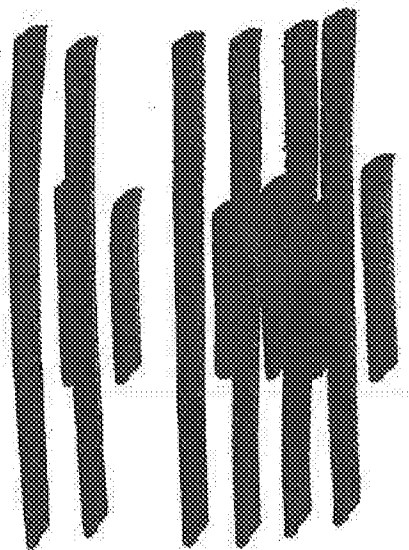


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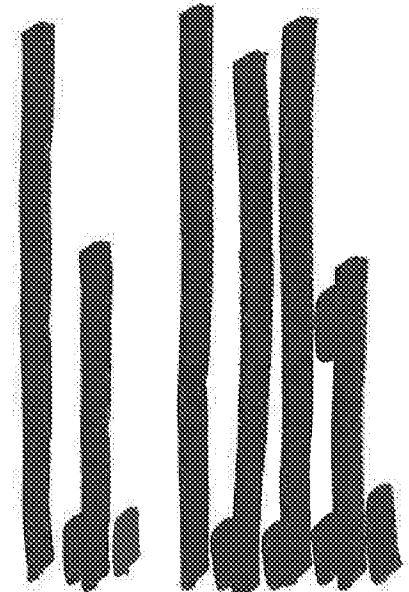
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CPS Reference	Questel No. Of one Patent Family Member	Decision	Anlage B
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[REDACTED]	[REDACTED]	[REDACTED]	

ANLAGE A

Anlage 5

Anlage 5 A Gewerbliche Schutzrechte - allgemein

1. Definition

„Gewerbliche Schutzrechte“ bedeutet alle Arten von gewerblichen Schutzrechten und Urheberrechten einschließlich insbesondere Patente, Gebrauchsmuster, Rechte an Halbleiter-Topografien, Urheberrechte und urheberrechtliche Nutzungs- und Verwertungsrechte, Autorenrechte, Marken, Domainnamen, Geschäftsgeheimnisse, Know-How, andere Rechte an Informationen, Zeichnungen, Logos, Plänen, Datenbanken, technischen Dokumenten, Prototypen, Prozessen, Methoden, Algorithmen, Rechte an jeglicher technischer Dokumentation, registrierte Designs und andere Designs, in allen Fällen sowohl registrierte als auch nicht-registrierte Rechte sowie Anmeldungen zu Registrierungen und alle Formen von rechtllichem Schutz mit vergleichbarer oder ähnlicher Wirkung irgendwo in der Welt.

2. Zu übertragene Gewerbliche Schutzrechte

Die Gewerblichen Schutzrechte, welche die folgenden Voraussetzungen erfüllen, werden durch den Ausgliederungs- und Übernahmevertrag übertragen:

- sie wurden am 31. Dezember 2014 für die Produkte des Teilbetriebs (wie in Ziffer 1 des Ausgliederungs- und Übernahmevertrages definiert) genutzt; und
- sie stehen am 31. Dezember 2014 im Eigentum der Airbus Operations GmbH oder die Airbus Operations GmbH hat hieran eine übertragbare Lizenz von einem verbundenen Unternehmen erhalten; und
- sie werden in keinem anderen Airbus Flugzeugprogramm verwendet.

Dies schließt insbesondere die als Anlage 5 B beigefügte Liste von Patenten ein.

PATENT

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Anlage 5 B Gewerbliche Schutzrechte - Patente


Teilbetrieb
Patentliste Final.pdf



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PATENT

REEL: 042938 FRAME: 0846

Telbivudine Acetate IRX & Cargo Bremen - Specific Product IPR - List of Patents

Family Number	Case Filing	Filing Number	Publication Number	Grant	Grant Number	Expiry Date	In Force	International
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02 Nov 2007 Ver
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Kriegs-Munition Verwendung eines Fliegergesch

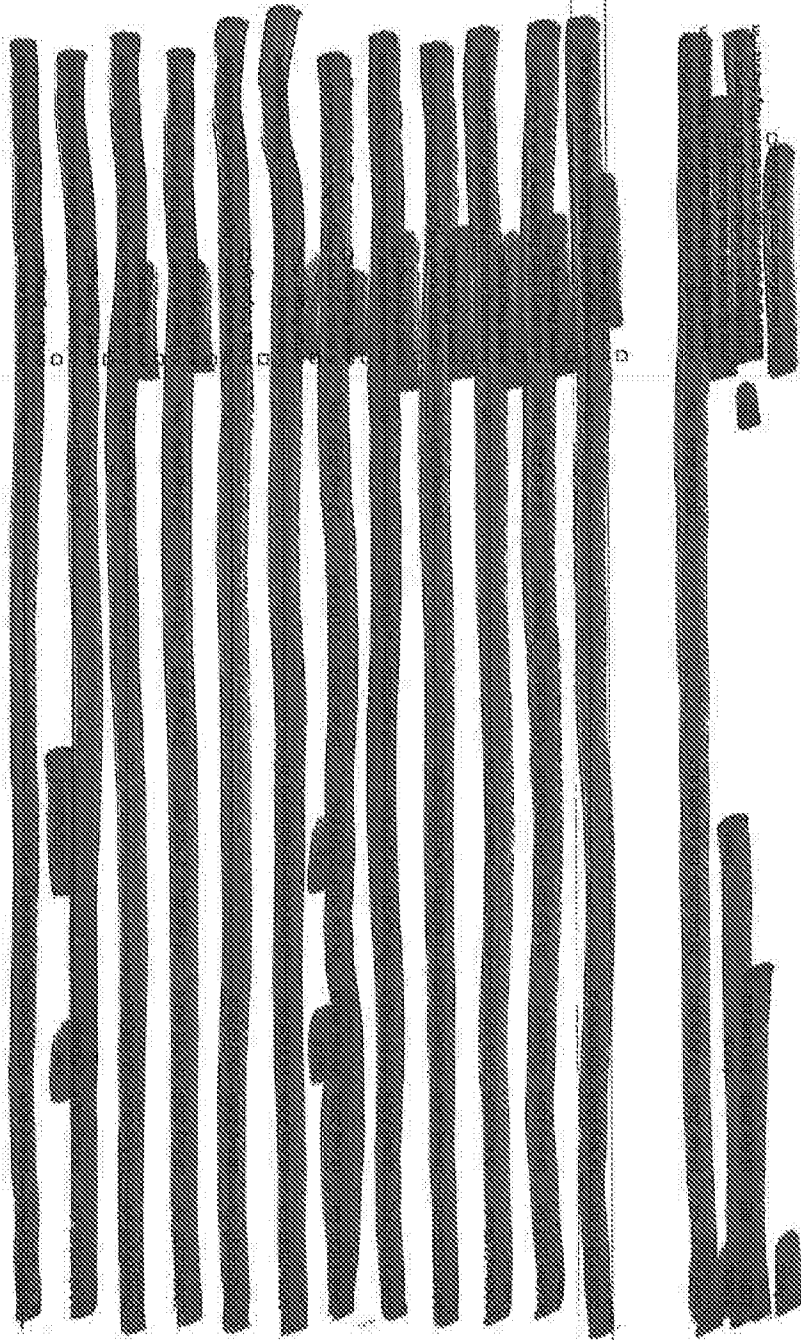
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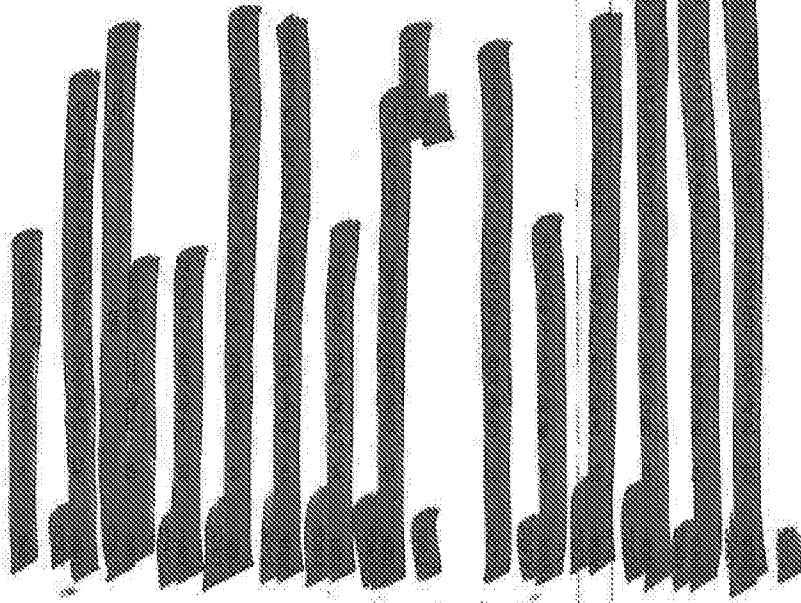
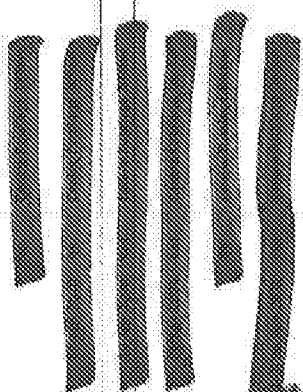
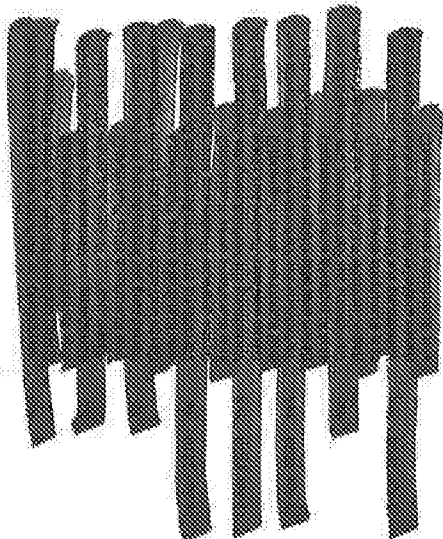
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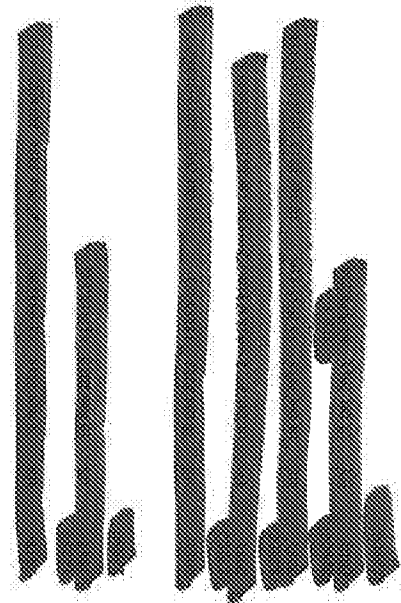
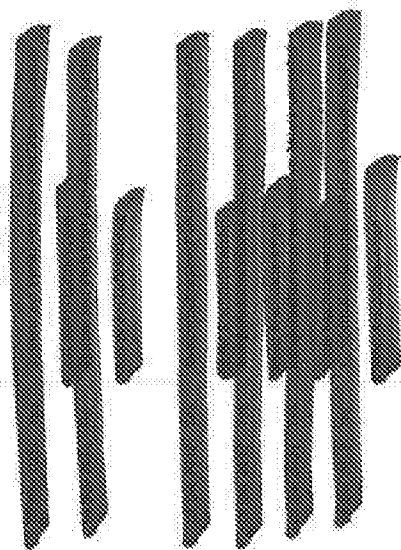
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			Anlage B
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