

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4496674

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KENNETH GALL	12/12/2016
CHRISTOPHER YAKACKI	10/17/2016
RECEIVING PARTY DATA	
Name:	COLORADO, THE REGENTS OF THE UNIVERSITY OF
Street Address:	1800 GRANT STREET, 8TH FLOOR
City:	DENVER
State/Country:	COLORADO
Postal Code:	80203
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14148617
CORRESPONDENCE DATA	
Fax Number:	(714)247-8679
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	714-247-8510
Email:	PatentMgr@abbott.com
Correspondent Name:	NICOLE BRADLEY
Address Line 1:	ABBOTT MEDICAL OPTICS INC.
Address Line 2:	1700 E. ST. ANDREW PLACE
Address Line 4:	SANTA ANA, CALIFORNIA 92705
ATTORNEY DOCKET NUMBER:	27993USC3
NAME OF SUBMITTER:	NICOLE BRADLEY
SIGNATURE:	/Nicole Bradley/
DATE SIGNED:	07/10/2017
Total Attachments: 4	
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ASSIGNMENT

WHEREAS, We, **Kenneth Gall** and **Christopher Yakacki** (hereinafter referred to as "ASSIGNORS"), have invented certain new and useful improvements in **SHAPE MEMORY POLYMER** for which we have filed an application for Letters Patent in the United States, **Application No. 14/148,617**; Filed on **January 6, 2014**;

AND WHEREAS, **THE REGENTS OF THE UNIVERSITY OF COLORADO, A BODY CORPORATE** (hereinafter "ASSIGNEE"), of the state of Colorado having an address of 1800 Grant Street, 8th Floor, Denver, CO 80203, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives, and assignees, the entire right, title and interest throughout the world in, to, and under the said improvements, and the said patent application and all provisional applications relating thereto, and all divisionals, renewals, and continuations thereof, and all Letters Patents of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we/I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE DO HEREBY sell, assign, transfer, and convey to ASSIGNEE, his successors, legal representatives, and assignees all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of the said Letters Patent before or after issuance.

AND WE HEREBY covenant and agree that we/I will communicate to the said ASSIGNEE, its successors, legal representatives, and assignees, any facts known to us/me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assignees, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 12th day of December, 2016.

K. Gail
Kenneth Gail

State of <u>North Carolina</u> County of <u>Durham</u>	
The foregoing instrument was acknowledged before me this <u>12/12/16</u> by <u>Kenneth Gail</u> (name of person acknowledging)	(date)
<u>Dorinda W. Hester</u> (Notary's official signature)	Notary Seal:
<u>July 19, 2019</u> (Commission Expiration)	

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 2016.

Christopher Yakacki

State of _____ County of _____	
The foregoing instrument was acknowledged before me this _____ by <u>Christopher Yakacki</u> (name of person acknowledging)	(date)
_____ (Notary's official signature)	Notary Seal:
_____ (Commission Expiration)	

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AND WE HEREBY covenant and agree that we/I will communicate to the said ASSIGNEE, its successors, legal representatives, and assignees, any facts known to us/me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assignees, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 2016.

Kenneth Gall

State of _____ County of _____
The foregoing instrument was acknowledged before me this _____ by <u>Kenneth Gall</u> (name of person acknowledging) (date)
_____ (Notary's official signature)
_____ (Commission Expiration)
Notary Seal:

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 17th day of October, 2016.

Christopher Yakacki

State of <u>Colorado</u> County of <u>Denver</u>
The foregoing instrument was acknowledged before me this <u>10/17/2016</u> by <u>Christopher Yakacki</u> (name of person acknowledging) (date)
<u>Carie A. Carroll</u> (Notary's official signature)
<u>July 24, 2018</u> (Commission Expiration)
Notary Seal:
<div style="border: 1px solid black; padding: 5px;"> Carie A Carroll Notary Public State of Colorado Notary ID 20064038430 My Commission Expires July 24, 2018 </div>

Acceptance of Assignment

THE REGENTS OF THE UNIVERSITY OF COLORADO,
a body corporate of Colorado

By: [Signature]
Name: Kimberly Muller
Title: Director of CU Innovations
Date: 1-17-2017

State of Colorado	
County of <u>Adams</u>	
The foregoing instrument was acknowledged before me this <u>1/17/2017</u> by	
<u>Ceara Hintz</u> (name of person acknowledging)	
<u>[Signature]</u> (Notary's official signature)	
<u>7-25-2020</u> (Commission Expiration)	
Notary Seal	
CEARA HINTZ Notary Public State of Colorado Notary ID # 20164028097 My Commission Expires 07-25-2020	