504451980 07/11/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4498681

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
SEBASTIAN SORGENFREI	01/28/2017
KENNETH SHEPARD	10/30/2015
CHIEN-YANG CHIU	08/08/2013
COLIN NUCKOLLS	12/09/2016
STEVEN WARREN	11/05/2015

RECEIVING PARTY DATA

Name:	THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK
Street Address:	535 WEST 116TH ST.
Internal Address:	412 LOW MEMORIAL LIBRARY
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10027

PROPERTY NUMBERS Total: 4

Property Type	Number
Application Number:	13801834
PCT Number:	US1220955
Application Number:	61453344
Application Number:	61431795

CORRESPONDENCE DATA

Fax Number: (212)408-2501

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2124082500

Email: nydocket@bakerbotts.com

Correspondent Name: PAUL A. RAGUSA/BAKER BOTTS, LLP

Address Line 1: 30 ROCKEFELLER PLAZA

Address Line 4: NEW YORK, NEW YORK 10112

ATTORNEY DOCKET NUMBER:	070050.4836
NAME OF SUBMITTER:	PAUL A. RAGUSA

PATENT 504451980 REEL: 042978 FRAME: 0516

SIGNATURE:	/PAUL A. RAGUSA/
DATE SIGNED:	07/11/2017
Total Attachments: 6	
source=4836assign#page1.tif	
source=4836assign#page2.tif	
source=4836assign#page3.tif	
source=4836assign#page4.tif	
source=4836assign#page5.tif	
source=4836assign#page6.tif	

PATENT REEL: 042978 FRAME: 0517

WORLDWIDE ASSIGNMENT

WHEREAS, Sebastian Sorgenfrei, a citizen of Germany, having a residence address at 430 SW. 13th Avenue, Apt. 1202, Portland, Oregon 97205; Kenneth Shepard, a citizen of the United States, having a residence address at 158 Evan Drive, Ossining, New York 10563; Chien-Yang Chiu, a citizen of Taiwan, having a residence address at 247 Mathilda Drive, Apt. 2, Goleta, California 93117; Colin Nuckolls, a citizen of the United States, having a residence address at 560 Riverside Drive, Apt. 12-L, New York, New York 10027; Steven Warren, a citizen of the United States, having a residence address 15 Audrey Lane, White Plains, New York 10605; (hereinafter "ASSIGNORS"), have made an invention entitled "Systems And Methods For Single-Molecule Detection Using Nanotubes" as set forth and described in United States Patent Application Serial No. 13/801,834 filed March 13, 2013; International Application Serial No. 61/453,344 filed March 16, 2011; and United States Provisional Application Serial No. 61/431,795 filed January 11, 2011;

WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK (hereinafter "ASSIGNEE"), having its principal place of business at 412 Low Memorial Library, 535 West 116th Street, New York, NY, 10027, is desirous of acquiring our rights, title and interest in and to said application for Letters Patent, and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said ASSIGNORS, for and in consideration of valuable considerations unto us moving from said ASSIGNEE, at or before the ensealing and delivery of these presents, the receipt and sufficiency of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said ASSIGNEE, its successors and assigns, our rights, title and interest in and to the said invention entitled "Systems And Methods For Single-Molecule Detection Using Nanotubes" and any and all improvements thereto, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, and in and to any other applications based in whole or in part on any of the foregoing, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said ASSIGNORS, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said ASSIGNEE, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said ASSIGNEE, its successors and assigns;

AND WE, the said ASSIGNORS, for the considerations aforesaid, do hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that we have power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right

Active 19576215.1

heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said ASSIGNEE, its successors and assigns, may be required or necessary more effectively to secure to and vest in said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, I, the said ASSIGNOR have executed this Assignment this

28th day of January , 2017. 2017

SEBASTIAN SORGENFREI

OFFICIAL STAMP
RACHEL RODGERS
NOTARY PUBLIC-OREGON
COMMISSION NO. 955624
MY COMMISSION EXPIRES OCTOBER 20, 2020

Witness Signature

Rachal Rodgers
Witness Name

Active 19576215.1

-2-

PATENT REEL: 042978 FRAME: 0519

IN WITNESS WHEREOF, I,	the said ASSIGNOR have executed this Assignment this
30+15 day of October	_, 2015.
	KENNETH SHEPARD
	Witness Signature

-3-

Witness Name

ı

Date August 58 2013

Chien-Yang Chiu

STATE OF

SS:

COUNTY OF

BE IT KNOWN, that on this _____ day of ______ 2013, before me personally came, Chien-Yang Chiu to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he auknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned, SUBSCRIBED AND SWORN before me, the undersigned authority, by on this _____ day of ________, 2013.

IN WITNESS WHEREOF, WE, the said ASSIGNORS have hereunto set our hand and seal on

the dates below written.

]	IN WITNESS WHEREOF, I, the said ASSIGNOR have executed this Assignment this		
9	_day of <u>December</u>	, 201 6 .	
		COLIN NUCKOLLS	
		<u>CyanHluttec</u> Witness Signature PHAN HHSTLE	
		Witness Name	

-5-

IN WITNESS WHEREOF, I, the said ASSIGNOR have executed this Assignment this

5th day of November, 2015.

STEVEN WARREN

Witness Signature

Adrian Bredd.

Witness Name

Active 19576215.1

RECORDED: 07/11/2017

PATENT REEL: 042978 FRAME: 0523