

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4499389

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GUILLERMO GARCIA	01/26/2017
SOURAV BASU	07/11/2017
JASON HOLT	01/26/2017
RECEIVING PARTY DATA	
Name:	HELIOTROPE TECHNOLOGIES, INC.
Street Address:	850 MARINA VILLAGE PKWY
Internal Address:	SUITE 102
City:	ALAMEDA
State/Country:	CALIFORNIA
Postal Code:	94501
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15418083
CORRESPONDENCE DATA	
Fax Number:	(703)391-2901
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7033912900
Email:	ptonotices@marburylaw.com
Correspondent Name:	THE MARBURY LAW GROUP, PLLC
Address Line 1:	THE MARBURY LAW GROUP, PLLC
Address Line 2:	11800 SUNRISE VALLEY DRIVE, 15TH FLOOR
Address Line 4:	RESTON, VIRGINIA 20191
ATTORNEY DOCKET NUMBER:	2000-009US
NAME OF SUBMITTER:	SOPHEAREAK C. IN
SIGNATURE:	/sopheareak c. in/
DATE SIGNED:	07/12/2017
Total Attachments: 3	
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source=Assignment 3Inventors 2000-009US#page2.tif	

ASSIGNMENT AND AGREEMENT

WHEREAS, **Guillermo GARCIA, Sourav BASU, and Jason HOLT** (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled **AN ELECTROCHROMIC SYSTEM CONTAINING AN INSULATING PROTECTIVE LAYER AND METHOD FOR CONTROLLING PHOTOCHROMIC DARKENING**, for which (a) a U.S. Provisional Application No. 62/289,661, filed on February 1, 2016, (b) a Patent Cooperation Treaty Patent Application is filed herewith, and (c) a U.S. Non-Provisional Application is filed herewith.

WHEREAS, **HELIOTROPE TECHNOLOGIES, INC.**, having its principal place of business at **850 Marina Village Pkwy, Suite 102, Alameda, CA 94501**; (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in view of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

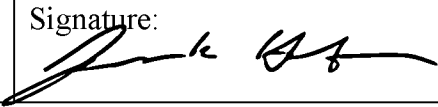
ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and

protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **The Marbury Law Group PLLC** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **The Marbury Law Group PLLC** do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

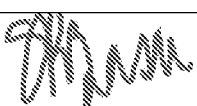
NAMES AND SIGNATURES OF INVENTOR		
Name: Guillermo GARCIA	Signature: 	Date: 1-26-17
Name: Sourav BASU	Signature:	Date:
Name: Jason HOLT	Signature: 	Date: 1/26/17

protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

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NAMES AND SIGNATURES OF INVENTOR		
Name: Guillermo GARCIA	Signature:	Date:
Name: Sourav BASU	Signature: 	Date: 7/11/17
Name: Jason HOLT	Signature:	Date: