

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4504580

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
BIOMIN HOLDING GMBH	07/20/2016

RECEIVING PARTY DATA

Name:	BOEHRINGER INGELHEIM RCV GMBH & CO KG
Street Address:	DR.-BOEHRINGER-GASSE 5-11
City:	WIEN
State/Country:	AUSTRIA
Postal Code:	1121
Name:	SANDOZ GMBH
Street Address:	BIOCHEMIESTRASSE 10
City:	KUNDL
State/Country:	AUSTRIA
Postal Code:	6250
Name:	LONZA AG
Street Address:	MUENCHENSTEINERSTRASSE 38
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4002
Name:	VTU TECHNOLOGY GMBH
Street Address:	PARKRING 18
City:	RAABA-GRAMBACH
State/Country:	AUSTRIA
Postal Code:	8074

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15304504

CORRESPONDENCE DATA

Fax Number: (858)638-5010

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

PATENT

Phone: 8586386756
Email: aldon.griffis@dlapiper.com
Correspondent Name: STACY L. TAYLOR
Address Line 1: 4365 EXECUTIVE DRIVE, SUITE 1100
Address Line 4: SAN DIEGO, CALIFORNIA 92121-2133

ATTORNEY DOCKET NUMBER:	SCHIWECK1130-1
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NAME OF SUBMITTER:	STACY L. TAYLOR
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SIGNATURE:	/Stacy L. Taylor/
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DATE SIGNED:	07/14/2017
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Total Attachments: 15

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Appendix 1

DECLARATION OF TRANSFER

Biomin Holding GmbH
Erber Campus 1, 3131 Getzersdorf, Austria
FN¹: FN 230702 v **UID²:** ATU56585323
(Transferring Party)

hereby declares that it has fully transferred its share of one fifth (1/5 or 20%) to the patent applications:

WO2015/158808 A2 (PCT/EP2015/058242) and EP20140165186

Title: RECOMBINANT HOST CELL ENGINEERED TO OVEREXPRESS HELPER PROTEINS

and

WO2015/158800 A1 (PCT/EP2015/058225) and EP20140165188

Title: RECOMBINANT HOST CELL FOR EXPRESSING PROTEINS OF INTEREST

for all listed treaty states with all rights and obligations in equal amounts to

Boehringer Ingelheim RCV GmbH & Co KG
Dr.-Boehringer-Gasse 5-11, 1121 Vienna, Austria
FN: 312077m **UID:** ATU 64226215
(hereinafter referred to in brief as "BI RCV")

Sandoz GmbH
Biochemiestrasse 10, 6250 Kundl, Austria
FN: FN 50587 v **UID:** ATU32425809
(hereinafter referred to in brief as "Sandoz")

Lonza AG
Münchensteinerstrasse 38, 4002 Basel, Switzerland
FN: CH-600.3.000.439-8 **UID:** CHE-101.368.029
(hereinafter referred to in brief as "Lonza")

VTU Technology GmbH
Parkring 18, 8074 Raaba-Grambach, Austria
FN: FN 140709 a **UID:** ATU39831606

¹ FN: Companies' Register Number

² UID: Tax Identification Number

(hereinafter referred to in brief as "VTU")

(Accepting parties)

St. Pölten, as of July 21, 2016

Certified signaturee:/illegible signature/

Name: Johann Binder PhD

Date: _____

Position: Managing Director BIOMIN

Certified signaturee:/illegible signature:

Name: Martin Bednar PhD

Date: _____

Position: Managing Director BIOMIN EMA

We hereby accept this transfer with all rights and obligations.

Boehringer Ingelheim RCV GmbH & Co KG
Dr.-Boehringer-Gasse 5-11, 1121 Vienna, Austria

Signature : _____

Name: _____

Date: _____

Position: _____

Signature : _____

Name: _____

Date: _____

Position: _____

Biomin Holding GmbH
Erber Campus 1, 3131 Getzersdorf, Austria

Certified signature: _____

Name: _____

Date: _____

Position: _____

Certified signature: _____

Name: _____

Date: _____

Position: _____

We hereby accept this transfer with all rights and obligations.

Boehringer Ingelheim RCV GmbH & Co KG
Dr.-Boehringer-Gasse 5-11, 1121 Vienna, Austria

Signature : /illegible signature/

Name: SEBASTIAN GRUSON

Date: 18/7/2016

Position: Head, LAW RCV

Signature : /illegible signature/

Name: GEORG KLIMA

Date: 18/7/2016

Position: EXECUTIVE DIRECTOR PROCESS SCIENCE AUSTRIA

Lonza AG
Münchensteinerstrasse 38, 4002 Basel, Switzerland

Signature : ppA/illegible signature/

Name: Dr. Henriette Schneider

Date: 15/7/2016

Position: Patent Attorney

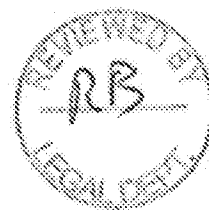
Signature: on behalf of /illegible signature/

Name: Raffael Beck

Date: 15th of July, 2016

Position: Legal Counsel

Sandoz GmbH
Biochemiestrasse 10, 6250 Kundl, Austria



Signature : _____

Name: _____

Date: _____

Position: _____

Signature : _____

Name: _____

Date: _____

Position: _____

Lonza AG

Münchensteinerstrasse 38, 4002 Basel, Switzerland

Signature : _____

Name: _____

Date: _____

Position: _____

Signature : _____

Name: _____

Date: _____

Position: _____

Sandoz GmbH

Biochemiestrasse 10, 6250 Kundl, Austria

Signature: /illegible signature/

Name: Dr. Klaus Brandenburg

Date: 18/7/2016

Position: Head Legal

Signature : /illegible signature/

Name: Dr. Gerhard Jan van der Meij

Date: 2/8/2016

Position: Head Sandoz GmbH



VTU Technology GmbH
Parkring 18, 8074 Raaba-Grambach, Austria

Signature: /illegible signature/

Name: Thomas Purkarthofer

Date: 15-07-2016

Position: Head of BD



VTU
technology
VTU Technology GmbH
Parkring 18, A-8074 Grambach
Tel.: +43 (0)316 4008-4000, Fax: +43 (0)316 4008-4010

Signature : _____

Name: _____

Date: _____

Position: _____

B.R.Z. 876/2016

The authenticity of the aforementioned company designation for **Biomin Holding GmbH** with registered office in Inzersdorf-Getzersdorf and a business address of 3131 Getzersdorf bei Traismauer, Erber Campus 1, by Dr. Johann **Binder**, date of birth 4/8/1966 (fourth of August in the year one thousand nine hundred sixty-six), 3430 Tulln an der Donau, Wolfgang Amadeus Mozart Strasse 8, as Managing Director and Mr. Martin **Bednar**, Master of Business Administration, date of birth 3/3/1969 (third of March in the year one thousand nine hundred sixty-nine), 3104 St. Pölten-Harland, Pilzgasse 5, as Managing Director, is hereby acknowledged. -----

At the same time, based on the review of company register carried out today at the Regional Court St. Pölten as commercial court pursuant to § 89a NO it is acknowledged that Dr. Johann **Binder** as the Managing Director and Mr. Martin **Bednar**, Master of Business Administration as Managing Director are jointly authorized on this day to legally represent **Biomin Holding GmbH** recorded in the company register under FN 230702 v. ----- St.Pölten, on this 20th day of July 2016 (twentieth of July two thousand sixteen). -----

A fee in the amount
of 14.30 has been
paid.



[Signature]
öffentliche Notarin

MAG. MICHAELA SATTLER * Public Notary *
2 St. Pölten, Lower Austria, Republic of Austria

Anlage 1

ÜBERTRAGUNGSERKLÄRUNG

Biomin Holding GmbH
Erber Campus 1, 3131 Getzersdorf, Austria
FN: FN 230702 v **UID:** ATU56585323
(Übertragende Partei)

erklärt hiermit, Ihren Anteil von einem Fünftel (1/5 bzw. 20%) an den Patentanmeldungen:

WO2015/158808 A2 (PCT/EP2015/058242) und EP20140165186
Titel: RECOMBINANT HOST CELL ENGINEERED TO OVEREXPRESS HELPER PROTEINS

und

WO2015/158800 A1 (PCT/EP2015/058225) und EP20140165188
Titel: RECOMBINANT HOST CELL FOR EXPRESSING PROTEINS OF INTEREST

vollständig für alle benannte Vertragsstaaten und mit allen Rechten und Pflichten zu gleichen Teilen
übertragen zu haben an

Boehringer Ingelheim RCV GmbH & Co KG
Dr.-Boehringer-Gasse 5-11, 1121 Wien, Austria
FN: 312077m **UID:** ATU 64226215
(im Folgenden kurz "BI RCV" genannt)

Sandoz GmbH
Biochemiestraße 10, 6250 Kundl, Austria
FN: FN 50587 v **UID:** ATU32425809
(im Folgenden kurz "Sandoz" genannt)

Lonza AG
Münchensteinerstrasse 38, 4002 Basel, Switzerland
FN: CH-600.3.000.439-8 **UID:** CHE-101.368.029
(im Folgenden kurz "Lonza" genannt)

VTU Technology GmbH
Parkring 18, 8074 Raaba-Grambach, Austria
FN: FN 140709 a **UID:** ATU39831606
(im Folgenden kurz "VTU" genannt)

(Annehmende Parteien)

Biomin Holding GmbH
Erber Campus 1, 3131 Getzersdorf, Österreich

St. Pölten, am 20. Juli 2016

Beglaubigte Unterschrift: _____

Name: Johann Zinder PhD

Datum: _____

Position: Managing Director Biomin

Beglaubigte Unterschrift: _____

Name: Martin Bodnar MBA

Datum: _____

Position: Managing Director Biomin GmbH

Wir nehmen diese Übertragung mit allen Rechten und Pflichten an.

Boehringer Ingelheim RCV GmbH & Co KG
Dr.-Boehringer-Gasse 5-11, 1121 Wien, Österreich

Unterschrift: _____

Name: _____

Datum: _____

Position: _____

Unterschrift: _____

Name: _____

Datum: _____

Position: _____

BlomIn Holding GmbH
Erber Campus 1, 3131 Getzersdorf, Österreich

Beglaubigte Unterschrift: _____

Name: _____

Datum: _____

Position: _____

Beglaubigte Unterschrift: _____

Name: _____

Datum: _____

Position: _____

Wir nehmen diese Übertragung mit allen Rechten und Pflichten an.

Boehringer Ingelheim RCV GmbH & Co KG
Dr.-Boehringer-Gasse 5-11, 1121 Wien, Österreich

Unterschrift: _____

Name: SEBASTIAN SKUTIC

Datum: 26.7.2016

Position: Head, LAWRCV

Unterschrift: _____

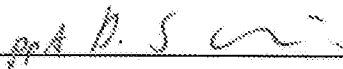
Name: GEORG SKLIMA

Datum: 18.07.2016

Position: EXECUTIVE DIRECTOR PROCESS SCIENCE AUSTRIA

Lonza AG

Münchensteinerstrasse 38, 4002 Basel, Schweiz

Unterschrift: Name: Dr. Henriette SchneiderDatum: Patent AttorneyPosition: 15.02.2016Unterschrift: Name: Raffael BeckDatum: Legal CounselPosition: 15.02.2016**Sandoz GmbH**

Biochemiestraße 10, 6250 Kundl, Österreich

Unterschrift: _____

Name: _____

Datum: _____

Position: _____

Unterschrift: _____

Name: _____

Datum: _____

Position: _____

Lonza AG
Münchensteinerstrasse 38, 4002 Basel, Schweiz

Unterschrift: _____

Name: _____

Datum: _____

Position: _____

Unterschrift: _____

Name: _____

Datum: _____

Position: _____

Sandoz GmbH
Biochemiestraße 10, 6250 Kundl, Österreich

Unterschrift: [Signature]

Name: Dr. Klaus Brandenburg

Datum: 18.07.2016

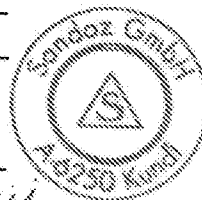
Position: Head Legal

Unterschrift: [Signature]

Name: Dr. Gerald Tschann der Heij

Datum: 02.08.2016

Position: Head Sandoz GmbH



VTU Technology GmbH
Parkring 18, 8074 Raaba-Grambach, Österreich

Unterschrift:

Name:

Datum:

Position:

Unterschrift:

Name:

Datum:

Position:



VTU Technology GmbH
Parkring 18, A-8074 Grambach
Tel.: +43 (0)316 4009-4000, Fax: +43 (0)316 4009-4010

B.R.Z. 876/2016

Die Echtheit der vorstehenden Firmazeichnung der **Biomin Holding GmbH** mit dem Sitz in Inzersdorf-Getzersdorf und der Geschäftsanschrift 3131 Getzersdorf bei Traismauer, Erber Campus 1, durch Herrn Doktor Johann Binder, geboren am 04.08.1966 (vierten August neunzehnhundertsechszig), 3430 Tulln an der Donau, Wolfgang Amadeus Mozart Straße 8, als Geschäftsführer und Herrn Martin Bednar, Master of Business Administration, geboren am 03.03.1969 (dritten März neunzehnhundertneunundsechzig), 3104 St. Pölten-Harland, Pilzgasse 5, als Geschäftsführer, wird bestätigt. -----

Zugleich wird auf Grund heute vorgenommener Einsicht in das Firmenbuch beim Landes- Handelsgericht St. Pölten gemäß § 89a NO bestätigt, dass Herr Doktor Johann Binder als Geschäftsführer und Herr Martin Bednar, Master of Business Administration als Geschäftsführer am heutigen Tag berechtigt sind, die im Firmenbuch zu FN 230702 v eingetragene **Biomin Holding GmbH** gemeinsam rechtsverbindlich zu vertreten. -----
St.Pölten, am 20.07.2016 (zwanzigsten Juli zweitausendsechzehn).-----

Gebühr in Höhe von
14,30 entrichtet.




öffentliche Notarin

PATENT