

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4544589

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MICHAEL WEISER	12/02/2015
JASON STEIN	12/02/2015
DONALD PICKER	12/04/2015
RECEIVING PARTY DATA	
Name:	CORTICE BIOSCIENCES, INC.
Street Address:	1345 AVENUE OF THE AMERICAS
Internal Address:	42ND FLOOR
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10105
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15624263
CORRESPONDENCE DATA	
Fax Number:	(215)963-5001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	215-963-5719
Email:	barbara.mcgregor@morganlewis.com
Correspondent Name:	VICTOR P. GHIDU
Address Line 1:	1701 MARKET STREET
Address Line 2:	8TH FLOOR
Address Line 4:	PHILADELPHIA, PENNSYLVANIA 19103
ATTORNEY DOCKET NUMBER:	100244-5010-US01
NAME OF SUBMITTER:	VICTOR P. GHIDU
SIGNATURE:	/victor p ghidu/
DATE SIGNED:	08/10/2017
Total Attachments: 6	
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CONFIRMATORY ASSIGNMENT

WHEREAS, We, Michael Weiser, a citizen of the United States of America, formerly with an address of 50 West 57th Street 15th Floor, New York, NY 10019 and currently with an address of 1345 Avenue Of The Americas, 42nd Floor, New York, NY 10105 ; and Jason Stein, a citizen of the United States of America, formerly with an address of 50 West 57th Street 15th Floor, New York, NY 10019 and currently with an address of 1345 Avenue Of The Americas, 42nd Floor; and Donald Picker a citizen of the United States of America with an address of 100 Christopher Columbus Drive, Apartment 2011, Jersey City, NJ 07302; hereinafter referred to collectively as (“ASSIGNORS”), have invented a certain new and useful invention entitled:

“METHOD OF TREATING NEURODEGENERATIVE DISORDERS”

that is described in U.S. Provisional Patent Application Nos. **61/842,358 filed July 02, 2013 and 61/935,607 filed February 04, 2014** naming the above ASSIGNORS as inventors.

WHEREAS, Cortice Biosciences Inc., a corporation formerly having a place of business at 50 West 57th Street, 15th Floor, New York, NY 10019 and currently having a place of business at 1345 Avenue Of The Americas, 42nd Floor, New York, NY 10105 hereinafter generally referred to as (“ASSIGNEE”) is desirous of acquiring and/or confirming the acquisition of said Invention, Applications (defined herein below), Patents (defined herein below) and Rights (defined herein below).

WHEREAS, on or about March 19, 2014, We, the below-named inventors, previously executed an assignment (“Prior Assignment”) to Cortice, Inc. of our entire right, title, and interest in and to said Invention as described in the above patent application for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all United States and foreign patent applications disclosing said Invention including, without limitation, applications for patents including provisionals, non-provisionals, divisions, continuations, continuations-in-part, requests for continued examinations, utility models, PCT applications and designs and any other related United States and foreign applications and equivalents thereof (“Applications”), along with the right to claim priority to said Applications under any treaty relating thereto;

in and to all United States and foreign patents, utility models, inventor’s certificates and designs and all equivalents thereof which may be granted for said Invention or Applications, including extensions, renewals, reissues and reexamination certificates thereof (“Patents”); and

in and to all rights to sue for and collect damages resulting from past, present and future infringement of all granted or to be granted Patents (“Rights”).

WHEREAS, at the time we executed the Prior Assignment, Cortice, Inc. was not a legal entity;

WHEREAS, it was our intent, at the time We executed the Prior Assignment, to transfer our entire right, title, and interest in and to the Invention, Applications, Patents and Rights to Cortice Biosciences, Inc.

NOW THEREFORE, effective the dates that We executed the Prior Assignment, be it known that, for good and valuable consideration, We, as assignors, hereby confirm, nunc pro tunc, our original intent to sell, assign, transfer, and set over unto the ASSIGNEE, its lawful successors and assigns, the entire right, title and interest in and to the Invention, Applications, Patents and Rights.

In the event that the Prior Assignment failed to transfer to ASSIGNEE, our entire right, title, and interest in and to the Invention, Applications, Patents and Rights, We, do hereby sell, assign, transfer, and set over unto the ASSIGNEE, its lawful successors and assigns, effective the date that we executed the Prior Assignment, nunc pro tunc, our entire right, title, and interest in and to the Invention, Applications, Patents and Rights.

The Invention, Applications, Patents and Rights are to be held and enjoyed by the above named ASSIGNEE, for ASSIGNEE's own use and benefit, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms of said granted or to be granted Patents, as fully and entirely as the same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the Inventions, Applications, Patents and Rights hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

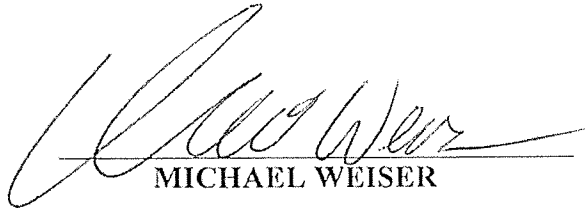
It is agreed that ASSIGNORS shall be legally bound, upon request and at the expense of ASSIGNEE or its successors or assigns or a legal representative thereof, to supply all information and evidence of which the undersigned has knowledge or possession, relating to the making and practicing of the Invention, and to testify in any legal proceeding relating thereto.

Each ASSIGNOR hereby represents that he warrants that they have full right, power and authority to assign ASSIGNORS' entire right, title, and interest in said Patents, Applications, Inventions, and Rights and to consummate the assignment contemplated herein. Each ASSIGNOR also represents and warrants that he has full right, power, and authority to enter into, execute, and deliver this Assignment, the execution and delivery of which have been duly authorized by all necessary action on the part of ASSIGNORS, and no other authorization or proceedings on the part of ASSIGNORS are necessary to authorize this Assignment. This Assignment has been duly executed and delivered and constitutes a valid, binding and enforceable assignment of the entire right, title, and interest in the Rights, Patents and Applications, and Invention disclosed therein.

ASSIGNORS hereby warrant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this Assignment.

(SIGNATURE PAGE FOLLOWS)

12.2.15
(Date)


MICHAEL WEISER

STATE OF New York :
: ss.
COUNTY OF New York :

Before me, a notary public in and for the State and County aforesaid, on this 2 day of December, 2015, personally appeared **MICHAEL WEISER**, who being to me personally known, and who having first executed the foregoing Assignment in connection with the invention entitled **METHOD OF TREATING NEURODEGENERATIVE DISORDERS** in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public


My Commission Expires:



4/16/16

STUART M GREEN
Notary Public, State of New York
No. 02GR6180756
Qualified in New York County
Commission Expires Apr. 11, 2016

12.2.15.
(Date)



JASON STEIN

STATE OF *New York* :
: ss.
COUNTY OF *New York* :

Before me, a notary public in and for the State and County aforesaid, on this 2 day of December, 2015, personally appeared **JASON STEIN**, who being to me personally known, and who having first executed the foregoing Assignment in connection with the invention entitled **METHOD OF TREATING NEURODEGENERATIVE DISORDERS** in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

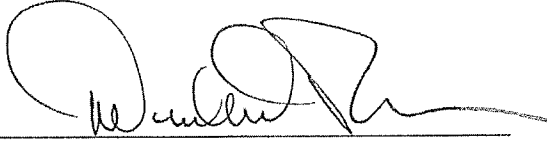
Notary Public



My Commission Expires: 4/11/16

STUART M GREEN
Notary Public, State of New York
No. 02GR6180756
Qualified in New York County
Commission Expires Apr. 11, 2016

12/4/2015
(Date)


DONALD PICKER

STATE OF New York :
: ss.
COUNTY OF Albany :

Before me, a notary public in and for the State and County aforesaid, on this 4th day of December, 2015, personally appeared **DONALD PICKER**, who being to me personally known, and who having first executed the foregoing Assignment in connection with the invention entitled **METHOD OF TREATING NEURODEGENERATIVE DISORDERS** in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

C. Bajaj
Notary Public

OB.

My Commission Expires: 01/23/2016

AVINASH C BAJAJ
Notary Public - State of New York
No. 01BA6254801
Qualified in Albany County
My Commission Expires Jan. 23, 2016

12/2/15
(Date)

Cortice Biosciences, Inc.


GEORGE FARMER, CHIEF EXECUTIVE OFFICER

STATE OF New York :
: ss.
COUNTY OF New York :

Before me, a notary public in and for the State and County aforesaid, on this 2 day of December, 2015, personally appeared George Farmer, who being known to me (or satisfactorily proven), and who having first executed the foregoing Assignment in connection with the inventions entitled **METHOD OF TREATING NEURODEGENERATIVE DISORDERS** in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public

My Commission Expires: 4/11/16

STUART M GREEN
Notary Public, State of New York
No. 02GR6180756
Qualified in New York County
Commission Expires Apr. 11, 2016