

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4552078

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
STEFAN BUSCH	06/22/2017
EIKE ULF MAHNKE	06/23/2017
MELINA MACHADO SINCERO	06/29/2017
MARKUS KLOEKER	06/27/2017
RECEIVING PARTY DATA	
Name:	BASF PERSONAL CARE AND NUTRITION GMBH
Street Address:	RHEINPROMENADE 1
City:	MONHEIM
State/Country:	GERMANY
Postal Code:	40789
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15534517
CORRESPONDENCE DATA	
Fax Number:	(312)474-0448
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	312-474-6300
Email:	docket@marshallip.com,lfrasher@marshallip.com
Correspondent Name:	MARSHALL, GERSTEIN & BORUN LLP
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Address Line 2:	SUITE 6300
Address Line 4:	CHICAGO, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	32471/F77410
NAME OF SUBMITTER:	JAMES J. NAPOLI
SIGNATURE:	/James J. Napoli/
DATE SIGNED:	08/16/2017
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 4	

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

Title of Invention	COMPOSITIONS CONTAINING CARBOHYDRATE PARTIAL ESTER
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As the below named inventor, I hereby declare that:

This declaration ☐ The attached application, or
is directed to: ☒ PCT international application number PCT/EP2015/079472 filed on
 11 Dec 2015

The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS,
BASF Personal Care and Nutrition GmbH
Rheinpromenade 1
40789 Monheim
Germany

(hereinafter referred to as "ASSIGNEE(S)")
is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, for good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, I, by these presents do assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I further declare that I either have the obligation to assign or have already assigned the full and exclusive rights in and to any inventions made during my employment to said ASSIGNEE based on my employment contract with said ASSIGNEE, and/or that said ASSIGNEE has obtained full and exclusive rights in and to any such inventions based on applicable national law, and that by this Assignment such transfer of right, title and interest in such inventions to said ASSIGNEE is herewith confirmed or restated.

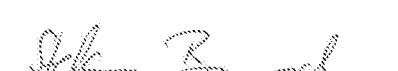
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

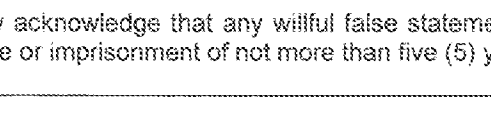
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTOR
Inventor: Stefan Busch

Date: 22.06.17

Signature: _____


DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT

Title of Invention	COMPOSITIONS CONTAINING CARBOHYDRATE PARTIAL ESTER
As the below named inventor, I hereby declare that:	
This declaration <input type="checkbox"/> The attached application, or	
is directed to: <input checked="" type="checkbox"/> PCT international application number PCT/EP2015/079472 filed on 11 Dec 2015	
The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.	
WHEREAS, BASF Personal Care and Nutrition GmbH Rheinpromenade 1 40789 Monheim Germany	
(hereinafter referred to as "ASSIGNEE(S)") is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;	
NOW, THEREFORE, for good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, I, by these presents do assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.	
I further declare that I either have the obligation to assign or have already assigned the full and exclusive rights in and to any inventions made during my employment to said ASSIGNEE based on my employment contract with said ASSIGNEE, and/or that said ASSIGNEE has obtained full and exclusive rights in and to any such inventions based on applicable national law, and that by this Assignment such transfer of right, title and interest in such inventions to said ASSIGNEE is herewith confirmed or restated.	
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.	
Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
LEGAL NAME OF INVENTOR Inventor: Eike Ulf Mahnke	
Date: <u>23. 06. 2017</u>	
Signature: 	

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

Title of Invention	COMPOSITIONS CONTAINING CARBOHYDRATE PARTIAL ESTER
As the below named inventor, I hereby declare that:	
This declaration <input type="checkbox"/> The attached application, or	
is directed to: <input checked="" type="checkbox"/> PCT international application number PCT/EP2015/079472 filed on 11 Dec 2015	
The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.	
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NOW, THEREFORE, for good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, I, by these presents do assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.	
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I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.	
Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
LEGAL NAME OF INVENTOR Inventor: Melina Machado Sincero	
Date: 29.06.2017	
Signature: Melina A. Machado Sincero	

US PCT Decl
RECORDED: 08/16/2017