# 504506924 08/16/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4553628

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
DANIEL J. MYERS	04/01/2016
JAMES CASSELLA	04/07/2016

#### **RECEIVING PARTY DATA**

Name:	ALEXZA PHARMACEUTICALS, INC.
Street Address:	2091 STIERLIN CT.
City:	MOUNTAIN VIEW
State/Country:	CALIFORNIA
Postal Code:	94043

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15671129

#### **CORRESPONDENCE DATA**

**Fax Number:** (303)268-0065

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 303-268-0066

Email: sharward@sbiplaw.com

Correspondent Name: SWANSON & BRATSCHUN, LLC Address Line 1: 8210 SOUTHPARK TERRACE LITTLETON, COLORADO 80120

ATTORNEY DOCKET NUMBER:	0208.00098.15CON
NAME OF SUBMITTER:	KATHERINE LOBEL-RICE, REG. NO. 58,079
SIGNATURE:	/Katherine Lobel-Rice/
DATE SIGNED:	08/16/2017

## **Total Attachments: 5**

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PATENT 504506924 REEL: 043310 FRAME: 0824

# ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled <u>Nicotine Salt with Meta-Salicylic Acid</u> for which an application for United States Letters Patent was filed on <u>January 11, 2016</u> as Application Serial No. <u>14/904,359</u>, which is the U.S. national stage application corresponding to PCT Patent Application No. <u>PCT/US2014/046288</u>, filed July 11, 2014 and

WHEREAS, Alexza Pharmaceuticals, Inc. ("Assignee") having a place of business at 2091 Stierlin Ct., Mountain View, CA 94043 desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding international and foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREC one) has signed below.	OF, the undersign	ned Assignor (a	ind each of them	, if more than
Date: OLAPRIL 2016		(X)		
		Daniel J. M	•	
	Address:	75 Eldora D	rive	
		Mountain V	iew, CA 94041	
<u>Co</u>				
STATE-QF	)			
	) ss.			
COUNTY OF	<u> </u>			
The foregoing instrument was ackn	owledged before	me this	day of	9
20, by Daniel J. Myers.		and a second second	e	-
Witness my hand and official seal.	The same of the sa			
My commission expires:		SEE	ATTA CH CO	CERTIFICATE
¥	annanna valla kalka k	Notary Public		

# **CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

**CIVIL CODE § 1189** 

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.		
State of California	)	
County of SANTA CLARA	,	
On <u>477412 15, 2016</u> before me, <u>N</u>	Here Insert Name and Title of the Officer	
Date	Here Insert Name and Title of the Officer	
personally appeared TANIEL & MY	TRS .	
	Name(s) of Signer(s)	
subscribed to the within instrument and acknowledge	v.	
	I certify under PENALTY OF PERJURY under the law of the State of California that the foregoing paragrap is true and correct.	
NINA RANDALL Commission # 2130399 Notary Public - California Santa Clara County My Comm. Expires Oct 16, 2019	WITNESS my hand and official seal.	
	Signature Signature of Notary Public	
	Signature of Notary Public	
Place Notary Seal Above		
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Though this section is optional, completing the fraudulent reattachment of the fraudulent reattachment r	This information can deter alteration of the document or this form to an unintended document.  Document Date:  Than Named Above:  Signer's Name:  Corporate Officer — Title(s):  Partner — Limited General Individual Attorney in Fact Guardian or Conservator	
Though this section is optional, completing the fraudulent reattachment of the fraudulent reattachment reatta	This information can deter alteration of the document or this form to an unintended document.  Document Date:  Than Named Above:  Signer's Name:  Corporate Officer — Title(s):  Partner — Limited General Individual Attorney in Fact Trustee Guardian or Conservator Other:	

PATENT REEL: 043310 FRAME: 0826

Date:	
	James Cassella
Address:	7 Little Point Street
	Essex, CT 06426
STATE OF)	
)	SS.
COUNTY OF	
The foregoing instrument was acknowledged b 20, by James Cassella.	efore me this day of,
Witness my hand and official seal.	
My commission expires:	***************************************
	Notary Public

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# **ASSIGNMENT**

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled <u>Nicotine Salt with Meta-Salicylic Acid</u> for which an application for United States Letters Patent was filed on <u>January 11, 2016</u> as Application Serial No. <u>14/904,359</u>, which is the U.S. national stage application corresponding to PCT Patent Application No. <u>PCT/US2014/046288</u>, filed <u>July 11, 2014</u> and

WHEREAS, Alexza Pharmaceuticals, Inc. ("Assignee") having a place of business at **2091 Stierlin Ct.**, Mountain View, CA 94043 desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding international and foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date:		
		Daniel J. Myers
. A	Address:	75 Eldora Drive
		Mountain View, CA 94041
STATE OF	)	
		SS.
COUNTY OF		
The foregoing instrument was acknow 20, by Daniel J. Myers.	ledged be	efore me this day of,
Witness my hand and official seal.  My commission expires:		
		Notary Public

Date: 7 April 2016 Address:	James Cassella 7 Little Point Street Essex, CT 06426
STATE OF <u>Massachuse 43</u> ) COUNTY OF <u>Middlesey</u>	SS.
The foregoing instrument was acknowledged b	efore me this
Witness my hand and official seal.  My commission expires:	AN DUE
	JENNIFER E. JAMES-WEVER Notary Public COMMONWEALTH OF MASSACHUSETTS My. Commission Expires September 16, 2022

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**RECORDED: 08/16/2017**