

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4577681

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MINH NGOC NGUYEN	09/20/2016
WINSTON ZAPANTA ONG	09/20/2016
RECEIVING PARTY DATA	
Name:	KALA PHARMACEUTICALS, INC.
Street Address:	100 BEAVER STREET, SUITE 201
City:	WALTHAM
State/Country:	CALIFORNIA
Postal Code:	02453
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15694252
CORRESPONDENCE DATA	
Fax Number:	(949)253-0902
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	949-253-0900
Email:	courtney.lines@klgates.com
Correspondent Name:	K&L GATES LLP-ORANGE COUNTY
Address Line 1:	1 PARK PLAZA
Address Line 2:	TWELFTH FLOOR
Address Line 4:	IRVINE, CALIFORNIA 92614
ATTORNEY DOCKET NUMBER:	1959057.00251
NAME OF SUBMITTER:	COURTNEY LINES
SIGNATURE:	/Courtney Lines/
DATE SIGNED:	09/01/2017
Total Attachments: 3	
source=Assignment#page1.tif	
source=Assignment#page2.tif	
source=Assignment#page3.tif	

ASSIGNMENT

THIS ASSIGNMENT is made by **Minh Ngoc Nguyen** and **Winston Zapanta Ong** (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNORS") to **Kala Pharmaceuticals, Inc.** a corporation duly organized and existing under and by the laws of the State of Delaware and having its principal place of business at 100 Beaver Street Suite 201, Waltham, MA 02453, (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNEE").

WHEREAS, ASSIGNORS have invented and owns rights in, to and under a new and useful invention for which an application(s) for Letters Patent has been filed as indicated below (hereafter "Invention");

U.S. Patent Application filed September 8, 2016 bearing Serial No. US 62/385,155

WHEREAS, ASSIGNORS believe themselves to be the original and true inventors of the Invention;

WHEREAS, ASSIGNEE desires to acquire the Invention and improvements thereto;

AND WHEREAS, ASSIGNORS and ASSIGNEE desire to have a recordable instrument assigning ASSIGNEE as owners of the entire right, title and interest in, to, and under the Invention and improvements thereto owned by ASSIGNORS;

NOW THEREFORE, for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNORS do hereby sell, assign, transfer, convey, endorse, and hereby set over unto ASSIGNEE the full and exclusive right, title and interest in, to and under the Invention to be held and enjoyed by ASSIGNEE, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made including the full and exclusive right, title and interest in, to and under 1) any patent application, or any other legal instrument equivalent thereof, including, without limitation, continuation, division, continuation-in-part, substitute, reexamination, renewal, inventor's certificate, and utility model, which has been or may be submitted therefor and thereon anywhere in the World, such term defined herein as including the United States of America, its territorial possessions, and any and all foreign countries under national laws or under the provisions of the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, or any other international treaty equivalent thereof; 2) any Letters Patent, or any other legal instrument equivalent thereof, which has been or may be granted therefor and thereon, in the World, for the full term or terms for which the same may be granted; 3) any reissue, extension, or any other legal instrument equivalent thereof, on any patent application or Letters Patent which has been or may be granted therefor and thereon in the World; and 4) any right to claim priority to a filing date, or any other legal equivalent thereof, which has been or may be claimed by any patent application or Letters Patent therefor and thereon in the World.

ASSIGNORS hereby covenant and agree to perform any lawful action when deemed essential by and to ASSIGNEE's full enjoyment, protection, enforcement and title in, to and under the Invention and rights hereby transferred, including, but not limited to, promptly communicating and providing any and all known and accessible facts, data or any other pertinent information; promptly executing and delivering any and all papers, documents, forms, declarations, oaths,


affidavits or any other legal instrument; promptly assisting and participating in any and all depositions, hearings, proceedings, trials, appeals, or any other legal procedure; promptly testifying under oath in any and all interference, post grant review, litigation or any other administrative or judicial proceeding; and promptly completing any and all actions necessary or desirable to carry out any and all purposes thereof, relating to any and all proceedings in connection with the submission, procurement, issuance, maintenance, enforcement or defense of the invention and rights hereby transferred.

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, I hereunder set my hand this 20th day of September 2016.

ASSIGNOR

Minh Ngoc Nguyen
Printed Name

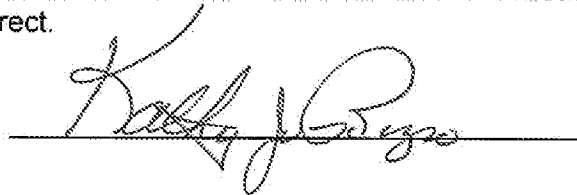

Signature

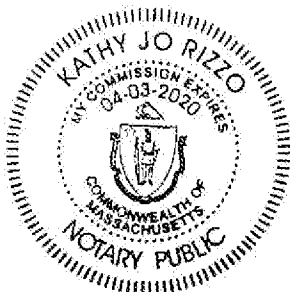
Commonwealth of Massachusetts)
County of Middlesex) ss.:

On this 20 day of September 2016, before me, Minh Ngoc Nguyen, personally appeared Minh Ngoc Nguyen, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the Commonwealth of Massachusetts that the foregoing paragraph is true and correct.

Witness my hand and official seal.





IN TESTIMONY WHEREOF, I hereunder set my hand this 20th day of September, 2016.

ASSIGNOR

Winston Zapanta Ong
Printed Name

[Signature]
Signature

Commonwealth of Massachusetts)
County of Middlesex) ss.:

On this 20 day of September, 2016, before me, Winston Zapanta Ong, personally appeared Winston Zapanta Ong, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the Commonwealth of Massachusetts that the foregoing paragraph is true and correct.

Witness my hand and official seal.

[Signature]

