# 504535371 09/06/2017 PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
JOHN J. DESROSIERS	03/11/2016
AMANDA K. ANDERSON	03/11/2016
MICHAEL R. BIALAS	08/16/2017
GILBERT MADRID	08/31/2017
MICHAEL J. POPP	06/22/2016
THANH V. NGUYEN	04/30/2016
JUN LIU	03/11/2016
ASHER L. METCHIK	03/11/2016
DARSHIN S. PATEL	06/21/2016

# **RECEIVING PARTY DATA**

Name:	EDWARDS LIFESCIENCES CORPORATION	
Street Address:	ddress: ONE EDWARDS WAY	
City:	IRVINE	
State/Country:	CALIFORNIA	
Postal Code: 92614		

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15351823

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.		
Email:	RAQUEL_BRANSON@EDWARDS.COM	
Correspondent Name:	EDWARDS LIFESCIENCES CORPORATION	
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Address Line 4:	IRVINE, CALIFORNIA 92614	

ATTORNEY DOCKET NUMBER:	THVDL-8294US02
NAME OF SUBMITTER:	THOMAS RICHARDSON

SIGNATURE:	/Thomas Richardson/	
DATE SIGNED:	09/06/2017	
Total Attachments: 7		
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Whereas, we have invented certain new and useful improvements entitled:

### APPARATUS FOR CONTROLLED HEART VALVE DELIVERY

xfor which a provisional application for a United States Letters Patent was filed on<br/>November 23, 2015as U.S. Application Number62/258973

for which a provisional application for a United States Letters Patent is filed herewith.

Now therefore, in consideration of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we hereby convey, transfer and assign to Edwards Lifesciences Corporation (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California 92614, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in the above-mentioned invention or improvements, in the above-mentioned provisional application for United States Letters Patent, and any and all other applications for United States Letters Patent and applications in any other countries which we may file, either solely or jointly with others, on said invention or improvements, including any non-provisional U.S. patent applications that claim priority to the above-mentioned provisional application, divisional, continuation and continuation-in-part applications, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Edwards Lifesciences Corporation.

We hereby authorize and request the attorneys of record in said application to insert in this Assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all non-provisional U.S. patent applications that claim priority to the above-mentioned provisional application, divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any non-provisional U.S. patent applications that claim priority to the above-mentioned provisional application, division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, including the right to enforce said patent and to sue for and recover damages and all other remedies arising out of any and all past, present and future infringement or violation of said Letters Patent, and for said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in said invention or

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countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Dated: 11 MAR 2016 Dated: March 11, 2016 Signature: Signature: yped Name: John J. Desrosiers Typed Name: Amanda K. Anderson San Clemente, California Newport Beach, California A notary public or other officer completing this A notary public or other officer completing this certificate verifies only the identity of the individual certificate verifies only the identity of the individual who signed the document to which this certificate is who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or attached, and not the truthfulness, accuracy, or validity of that document. validity of that document. 'A\_, County of Ovarge State of CA, County of Orange State of on Morch 11, 2016 March 11, 2016 before me before me On Share M. Rivera, Notary Public, Shoe M. Rivera, Notary Public, personally appeared personally appeared JUhn J. Desvusiers Aminda k. Anderson who proved to me on the basis of satisfactory who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and subscribed to the within instrument and acknowledged to me that he/she/they executed the acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the the person(s), or entity upon behalf of which the person(s) acted, executed the instrument. person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under I certify under PENALTY OF PERJURY under the laws of the State of California that the the laws of the State of California that the foregoing paragraph is true and correct. foregoing paragraph is true and correct. WITNESS my hand and official seal. WITNESS my hand and official seal. Ann Signature of Notary Signature of Notary (Seal) (Seal)



PATENT REEL: 043509 FRAME: 0969

SHAE M. RIVERA

(Grange Couply

MycComm. Expires Oct 31 2008

8/16/17 Dated: Signature: 

Typed Name:

Michael R. Bialas Lake Forest, California

Dated:	
Signature:	

Typed Name: Gilbert Madrid Dana Point, California

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PATENT REEL: 043509 FRAME: 0970

Dated:		Dated: 3/3//17	
Signature:		Signature:	
Typed Name:	Michael R. Bialas Lake Forest, California	Typed Name:	Gilbert Madrid Dana Point, California

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6-22-16 Dated: Signature:

Typed Name:

Michael J. Popp Orange, California

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of <u>CA</u>, County of <u>ON GANGE</u> On <u>TIME 22 2016</u> before me <u>Shace On Varler</u>, Notary Public, personally appeared Michaed J. PODD

Macheed 2. YOO who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal)



#### CONTINUED ON NEXT PAGE

Dated: \_4/30/2016 Signature:

Typed Name: Thanh V. Nguyen Irvine, California

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State of california, County of Oranget

On 4/30/2016	before me
Parrish Lenon	, Notary Public,
personally appeared	

Thanh Y Navyen who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that the/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature & Notary (Seal)



3/11/2016 Dated: Signature:

*Typed Name:* Jun Liu Corona, California

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State of <u>CA</u>, County of <u>NUMP</u> On <u>MINICH</u>, <u>2016</u> before me <u>Shie M. River</u>, Notary Public, personally appeared Two Live

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary

(Seal)



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3/11/16 Dated: Signature:

Typed Name: Asher L. Metchik Newport Beach, California

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

A\_, County of <u>()((())</u> State of 2016 On before me mar M. Kivera, Notary Public, personally appeared metch HShorl

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary

(Seal)



 $\odot hi$ Dated: Signature:

Typed Name: Darshin S. Patel San Juan Capistrano, California

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of <u>CA</u>, County of <u>OY Ange</u> On <u>JUNA 2) 2016</u> before me <u>Sharm R. V. Co</u> Notary Public, personally appeared <u>Dacing S. Para</u>

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Ma. 1an india

Signature of Notary

(Seal)



**RECORDED: 09/06/2017**