

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4544942

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
LEE GERDES	08/01/2016
PETER GERDES	08/04/2016
GILLAN SMITH	08/01/2016
SUNG LEE	08/01/2016
RUSSELL LOUCKS	08/03/2016
PAUL HASTINGS	08/03/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BRAIN STATE TECHNOLOGIES, LLC
<b>Street Address:</b>	15150 N. HAYDEN RD.
<b>Internal Address:</b>	SUITE 106
<b>City:</b>	SCOTTSDALE
<b>State/Country:</b>	ARIZONA
<b>Postal Code:</b>	85260
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15550200
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(914)381-7608
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	914-381-7600
<b>Email:</b>	slocke@dorflaw.com
<b>Correspondent Name:</b>	DORF & NELSON LLP
<b>Address Line 1:</b>	THE INTERNATIONAL COPORATE CENTER
<b>Address Line 2:</b>	555 THEODORE FREMD AVENUE
<b>Address Line 4:</b>	RYE, NEW YORK 10580
<b>ATTORNEY DOCKET NUMBER:</b>	BRAIN 0002-US
<b>NAME OF SUBMITTER:</b>	SCOTT D. LOCKE
<b>SIGNATURE:</b>	/Scott D. Locke/
<b>DATE SIGNED:</b>	08/10/2017

PATENT

**Total Attachments: 5**

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PATENT

ASSIGNMENT

In consideration of Ten Dollars (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, the undersigned, **Lee Gerdes** of 10881 N 123<sup>rd</sup> St., Scottsdale, AZ 85259; **Peter Gerdes** of 15141 E. Vista Dr., Fountain Hills, AZ 85268; **Gillian Smith** of 1550 W. Thompson Way, Chandler, AZ 85286; **Sung Lee** of 16600 North Thompson Peak Parkway #2040, Scottsdale, AZ 85260; **Russell Loucks** of P.O. Box 22383, Eagan, MN 55122; and **Paul Hastings** of P.O. Box 24141, Minneapolis, MN 55424; ("Assignors");

Hereby sell, assign and transfer to **Brain State Technologies, LLC** of 15150 N. Hayden Rd. Suite 106, Scottsdale, Arizona 85260 ("Assignee") its successors and assigns, the entire right, title, dominion and interest in and to any and all inventions that are disclosed and claimed, and any and all inventions that are disclosed but not claimed, in the patent application that is entitled

**Devices, Systems and Methods for Monitoring Brain Activity and for  
Enabling Brain to Rebalance**

U.S. Patent Application Serial No.

PCT Application Serial No.

[The undersigned authorize their attorneys of record to insert the serial number when it becomes known.]

and in and to any and all continuation, continuation-in-part, divisional, substitute, reexamination, renewal, reissue applications of said patent application and all other applications for a patent or other related property rights directed to inventions disclosed therein, as well as to all rights in priority provisional patent application serial nos. 62/207,233 filed August 19, 2015 and 62/242,673, filed October 16, 2015;

Authorize and request the Director of Patents of the United States of America and the corresponding authorized person or agency in any other jurisdiction in which said applications have been or will be filed to issue to the Assignee, its successors, assigns and legal representatives, in accordance with this assignment, any and all patents on any inventions disclosed in the aforementioned application(s);

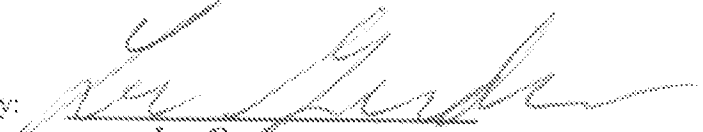
Agree that the Assignee may apply for and receive foreign patents or rights of any other kind for inventions described in the above-referenced application(s) and may claim, in applications for foreign patents or other rights, the priority of the aforesaid patent application(s) under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement;

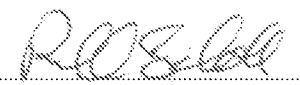
Represent to the Assignee, its successors, assigns and legal representatives that we have not executed and shall not execute any writing and have not done and will not do any act whatsoever that conflicts with this Assignment and that, when requested, without charge to, but at the expense of, Assignee, its successors, assigns and legal representatives, we will carry out in good faith the intent and purpose of this Assignment, and the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to the Assignee, its successor, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible that the Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in the Assignee, its successors, assigns and legal representatives; and

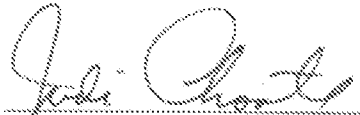
Covenant that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned.

ASSIGNORS

Date: 8/1/2016

By:   
Lee Gerdes

Witness  
Signature: 

Witness  
Signature: 

Print Name: RUSSELL SEMOLL

Print Name: JUDI CHOATE

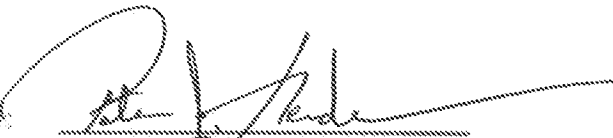
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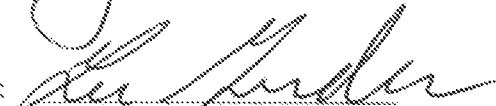
Address: 12951 E. CORRINE DR.  
SCOTTSDALE, AZ 85259

Address: 14222 N. Calle Del Oro  
Fountain Hills AZ 85265

Date: 8/4/2016

By:   
Peter Gerdes

Witness  
Signature: 

Witness  
Signature: 

Print Name: JUDI CHOATE

Print Name: Lee Gerdes

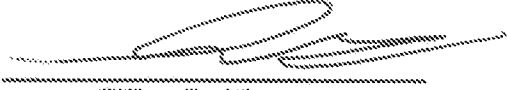
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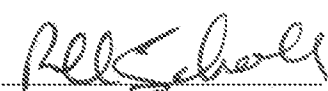
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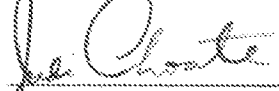
Address: 14222 N. Calle Del Oro  
Fountain Hills AZ  
85268

Address: 10881 N 123rd St  
Scottsdale, AZ  
85259

Date: 8-1-2016

By:   
Gillan Smith

Witness  
Signature: 

Witness  
Signature: 

Print Name: Russell Scholl

Print Name: JUDI CHOATE

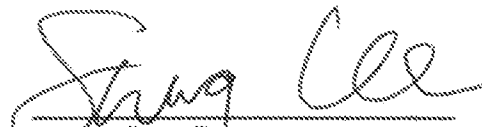
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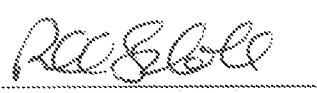
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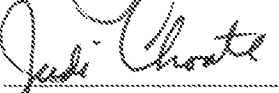
Address: 12951 E. CORRINE DR  
SCOTTSDALE, AZ 85259

Address: 14222 N. Calle Del Oro  
Fountain Hills AZ 85268

Date: 1 Aug 2016

By:   
Sung Lee

Witness  
Signature: 

Witness  
Signature: 

Print Name: Russell Scholl

Print Name: JUDI CHOATE

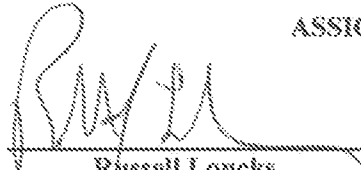
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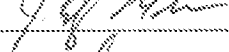
Address: 12951 E. CORRINE DR  
SCOTTSDALE, AZ 85259

Address: 14222 N. Calle Del Oro  
Fountain Hills AZ 85268

Date: 8/3/2016

By:   
Russell Loucks

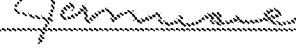
Witness

Signature:   
Print Name: J. Edgar Madson

Date: 8/3/16

Address: 10800 Lyndale Ave. S.  
Suite 100  
Bloom., MN 55420

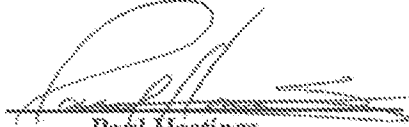
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Signature:   
Print Name: Joe O'Meara

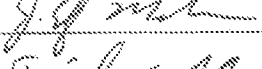
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Address: 10800 Lyndale Ave. S. Ste 100  
.  
Bloomington, MN 55420

Date: 8/3/2016

By:   
Paul Hastings

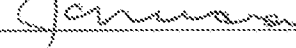
Witness

Signature:   
Print Name: J. Edgar Madson

Date: 8/3/16

Address: 10800 Lyndale Ave. S.  
Suite 100  
Bloom., MN 55420

Witness

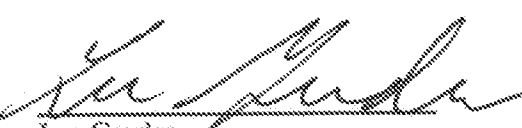
Signature:   
Print Name: Joe O'Meara

Date: 8/3/16

Address: 10800 Lyndale Ave. S Ste 100  
.  
Bloomington, MN 55420.

Acknowledged by Assignee

Date: 8/5/2016

By:   
Name: Lee Gerdes  
Title: Managing Member  
On behalf of Brain State Technologies LLC