

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
YANQING LU	02/07/2012
HIKUI HU	02/07/2012
FEI XU	02/13/2012
WEI HU	02/07/2012
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State/Country:	CHINA
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PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13817357
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NAME OF SUBMITTER:	STEVEN S. RUBIN
SIGNATURE:	/STEVEN S. RUBIN/
DATE SIGNED:	09/11/2017
Total Attachments: 8	
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ASSIGNMENT OF PATENT RIGHTS
专利权转让证明

For valuable consideration, the receipt and sufficiency of which is hereby acknowledged,
基于等价有偿, 兹确认其足数并已收讫

Yanqing LU, having an address of No. 22 Hankou Road, Nanjing 210093, Jiangsu China, and
陆延青, 江苏省南京市汉口路 22 号 邮编 210093, 以及

Xikui HU, having an address of No. 22 Hankou Road, Nanjing 210093, Jiangsu China, and
胡锡奎, 江苏省南京市汉口路 22 号 邮编 210093, 以及

Fei XU, having an address of No. 22 Hankou Road, Nanjing 210093, Jiangsu China, and
徐飞, 江苏省南京市汉口路 22 号 邮编 210093, 以及

Wei HU, having an address of No. 22 Hankou Road, Nanjing 210093, Jiangsu China
胡伟, 江苏省南京市汉口路 22 号 邮编 210093

([collectively,] “Assignor”),

([统称为] “转让人”)

effective as of May 24th, 2011 hereby sells, assigns, transfers, and conveys unto Nantong Institute of
Materials Technology and Engineering, 58 ChongChuang Street, Nantong, 226019 China
 (“Assignee”), all right, title, and interest that exist today and may exist in the future in and to any and
all of the following (collectively, the “Rights”):

自 2011 年 5 月 24 日起生效, 将现在存在而且将来可能存在于以下任何或所有项目中的
所有权利, 所有权, 以及收益权 (统称为该 “权利”) 出售, 转让和转移给 南通南
京大学材料工程技术研究院, 江苏省南通市崇川路 58 号 邮编 226019 (“受让
人”):

1. the inventions disclosed in the solution report/invention disclosure titled Acoustic Talbot
effect for Nanoparticle Clean-up and all inventions claimed and/or described in the Application
(collectively, the “Invention”);

在方案报告/发明披露书中揭示的标题为 利用声学塔尔博特效应清除纳米微粒的
发明以及以下申请中请求保护以及/或者描述的所有发明 (统称为该 “发明”);



2. the patent applications listed in the table below (the "Application");

下表中所述的专利申请 (该 "申请") ;

Patent Application No. 专利申请号码	Country 国家	Filing Date 申请日	Title 标题
PCT/CN2012/071936	PCT	March 5, 2012	PARTICLE REMOVAL
13/817,357	US	February 15, 2013	PARTICLE REMOVAL

3. all rights with respect to the Invention, including all UNITED STATES patents or other governmental grants or issuances that may be granted with respect to the Invention or from any direct or indirect divisionals, continuations, continuations-in-part, or other patent applications claiming priority rights from the Application ("Potential Patents");

与该发明有关的所有权利, 包括与该发明有关的或者来自任何直接的或间接的分案申请, 继续申请, 部分继续申请, 或其他要求该申请优先权的专利申请的所有美国专利或其他政府授权或颁证 ("潜在专利") ;

4. all reissues, reexaminations, extensions, or registrations of the Potential Patents;

该潜在专利的所有再颁, 复审, 续展, 或者注册;

5. all non-United States patents, patent applications, and counterparts relating to any or all of the Invention, the Application, and the Potential Patents, including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances ("Foreign Rights"), and including the right to file foreign applications directly in the name of Assignee, its successors and assigns;

与该发明、专利申请、和潜在专利相关的全部非美国专利、非美国专利申请和对应申请, 包括, 但不限于, 发明证书、实用新型、工业品外观设计保护、外观设计专利保护, 以及其他政府授权或颁证 ("外国权利"), 并包括直接以受让人, 其继承人以及再受让人的名义提交外国申请的权利;

6. all rights to claim priority rights deriving from the Application;

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7. all causes of action and remedies related to any or all of the Application, the Invention, the Potential Patents, and the Foreign Rights (including, without limitation, the right to sue for past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and

与任何或所有该申请, 该发明, 该潜在专利, 以及该外国权利相关的所有诉由和救济 (包括, 但不限于, 对过去, 现在, 或将来的对与任何前述相关的权利的侵害, 滥用或侵犯行为提起诉讼的权利以及领取任何前述下或由其产生的使用费和其他报酬的权利); 以及

8. any and all other rights and interests arising out of, in connection with, or in relation to the Application, the Invention, Potential Patents, and the Foreign Rights.

由该申请，该发明，潜在专利，以及该外外权利产生的，与之关联的或涉及它们的任何和所有其他权利和利益。

Assignor will not sign any document or do any act conflicting with this Assignment, and, without further compensation, will sign all documents and do such additional acts as Assignee, its successors, legal representatives, and assigns deem necessary or desirable to perfect enjoyment of the Rights, conduct proceedings regarding the Rights (including any litigation or interference proceedings), or perfect or defend title to the Rights. Assignor requests the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

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Assignor hereby authorizes and requests authorized representative to insert on this Assignment the filing date and Patent Application Numbers in the table above when known.

转让人特此授权并要求授权代表人在得知申请日以及专利申请号码之后将其填入本转让证明上面的表格中。

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Assignor and Assignee acknowledge and agree that any version of this Assignment in a language other than English will be non-binding and shall exist only for the convenience of both Assignors and Assignee.

转让人与受让人承认并同意本转让书的非英文版本不具有约束力，非英文版本仅为转让人与受让人的便利而存在。

[Signature Page(s) to Follow]

[下面是签名页]

By:
签名:

Yanqing LU 陆延青

Print name:
打印名称:

Yanqing LU 陆延青

ATTESTATION

证词

The undersigned witnessed the signature of Yanqing LU to this document and makes the following statements:

下面签署人见证了陆延青签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Yanqing LU is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Feb. 7, 2012 to execute this document.

陆延青本人，为我所知（或有充分证据证明其身份），并于 2012年2月7日在我面前签署此文件。

3. Yanqing LU subscribed to this document.

陆延青同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By:
签名:

Fang Zhao 赵芳

Print name:
打印名称:

Fang Zhao 赵芳

By:
签名:

Li Sushan 李苏陕

Print name:
打印名称:

Li Sushan 李苏陕

By: Xikui Hu. 胡锡奎
签名:

Print name: Xikui HU 胡锡奎
打印名称:

ATTESTATION
证词

The undersigned witnessed the signature of Xikui HU to this document and makes the following statements:

下面签署人见证了胡锡奎签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.
我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。
2. Xikui HU is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Feb. 7, 2012, to execute this document.
胡锡奎本人，为我所知（或有充分证据证明其身份），并于 2012年2月7日在我面前签署此文件。
3. Xikui HU subscribed to this document.
胡锡奎同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By: Zhuo Fang 赵芳
签名:

Print name: Fang Zhao 赵芳
打印名称:

By: Li Sushan 李苏陕
签名:

Print name: Li Sushan 李苏陕
打印名称:

By: Wei Hu 胡伟
签名:

Print name: Wei HU 胡伟
打印名称:

ATTESTATION
证词

The undersigned witnessed the signature of Wei HU to this document and makes the following statements:

下面签署人见证了胡伟签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.
我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。
2. Wei HU is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Feb. 7, 2012 to execute this document.
胡伟本人，为我所知（或有充分证据证明其身份），并于 2012年2月7日 在我面前签署此文件。
3. Wei HU subscribed to this document.
胡伟同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By: zhoufeng 周山峰
签名:

Print name: zhoufeng 周山峰
打印名称:

By: zhu Ge 褚戈
签名:

Print name: zhu Ge 褚戈
打印名称:

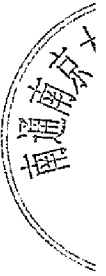
ACCEPTANCE BY ASSIGNEE

受让人接受书

In connection with an assignment in any jurisdiction in which an acceptance by ASSIGNEE is required, I hereby accept this assignment on behalf of Nantong Institute of Materials Technology and Engineering. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

在任何要求需要受让人为转让提供接受书的管辖权，我兹代表南通南京大学材料工程技术研究院接受本转让书。我以美利坚合众国法律和本文件可能被提交的任何其他管辖区域的法律保证（请检查所有适用选项），

- I am an officer of the above-identified ASSIGNEE,
我是上述确认的受让人的官员，
- I have signed this document on behalf of ASSIGNEE with the full authority of its board of directors,
我接受受让人董事会的全权委托，代表受让人签署本文件，



and that all the foregoing is true and correct.

上述文件真实无误。

Dated:
日期: 2012年2月9日 Feb. 9, 2012

By: Meng Xiang Kang
签名人:

Name: Meng Xiang Kang
姓名:

Title: 院长 Managing Director
职务: