

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT4594297

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ADRIAN ORTIZ	09/13/2017
CARLOS A. GUERRERO	09/11/2017
BIN ZHENG	09/11/2017
JASON J. ZHU	08/31/2017
MICHAEL ANTHONY SCHMIDT	09/11/2017
MICHAEL R. LUZUNG	09/11/2017
MARTIN D. EASTGATE	09/11/2017
RECEIVING PARTY DATA	
Name:	BRISTOL-MYERS SQUIBB COMPANY
Street Address:	ROUTE 206 AND PROVINCE LINE ROAD
Internal Address:	PATENT DEPARTMENT
City:	LAWRENCEVILLE
State/Country:	NEW JERSEY
Postal Code:	08543
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	15623570
PCT Number:	US1737588
CORRESPONDENCE DATA	
Fax Number:	(609)252-4526
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-260-9834
Email:	patents@bms.com
Correspondent Name:	YUAN CHAO
Address Line 1:	ROUTE 206 AND PROVINCE LINE ROAD
Address Line 2:	PATENT DEPARTMENT
Address Line 4:	PRINCETON, NEW JERSEY 08543
ATTORNEY DOCKET NUMBER:	12676-US-NP/12676-WO-PCT
NAME OF SUBMITTER:	YUAN CHAO

PATENT

SIGNATURE:	/yuan chao/
DATE SIGNED:	09/14/2017
Total Attachments: 8 source=20170914_ASG_12676WOPCT_YC#page1.tif source=20170914_ASG_12676WOPCT_YC#page2.tif source=20170914_ASG_12676WOPCT_YC#page3.tif source=20170914_ASG_12676WOPCT_YC#page4.tif source=20170914_ASG_12676WOPCT_YC#page5.tif source=20170914_ASG_12676WOPCT_YC#page6.tif source=20170914_ASG_12676WOPCT_YC#page7.tif source=20170914_ASG_12676WOPCT_YC#page8.tif	

ASSIGNMENT

We,

**Adrian ORTIZ, Carlos A. GUERRERO, Bin ZHENG, Jason J. ZHU,
Michael Anthony SCHMIDT, Michael R. LUZUNG, and Martin D. EASTGATE**

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Route 206 and Province Line Road, Princeton, NJ 08543 and its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

PROCESS AND INTERMEDIATES FOR MAKING TUBULYSIN ANALOGS

invented by us and described in the
non-provisional United States Patent Application Number **15/623,570, filed June 15, 2017**, and
PCT International Patent Application Number **PCT/US2017/037588, filed June 15, 2017**,
including said non-provisional United States patent application and PCT International Patent
Application and any patent application claiming priority from above identified patent applications,
filed in any country, and any patents which may be issued and/or granted thereon, and all divisions,
continuations, reissues, reexamination certificates and extensions thereof in all countries, said
interest being the entire ownership of said invention and all of said patent applications, patents
(including reissue patents), extensions and reexamination certificates to be held and enjoyed by
Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which
said patents (including reissue patents), extensions and reexamination certificates may be granted
and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this
sale, assignment and transfer had not been made; and we hereby agree to communicate to said
assignee or its representatives any facts known to us respecting said invention, to testify in any
legal proceedings, to sign and/or execute any further documents and/or instruments which may be
necessary, lawful and proper in and/or for the filing and/or prosecution of all patent applications,
including divisional, continuation and reissue applications, extensions and reexamination

certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said patent applications, patents (including reissue patents), extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee and its successors and assigns to obtain and enforce proper protection for said invention in all countries.

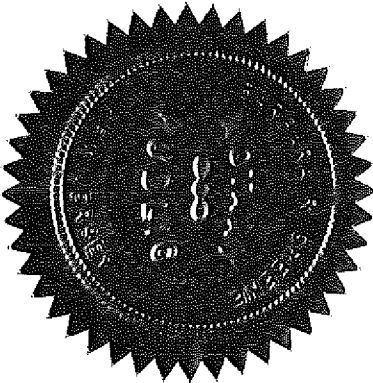
Signed this 13 day of September, 2017, by

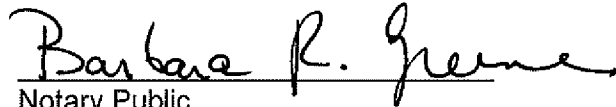

Adrian ORTIZ

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
STATE OF NEW JERSEY) ss.
COUNTY OF MIDDLESEX)

On this 13 day of September, 2017, personally appeared before me, **Adrian ORTIZ**, known or identified to me to be the individual(s) described in and who executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein expressed.




Notary Public

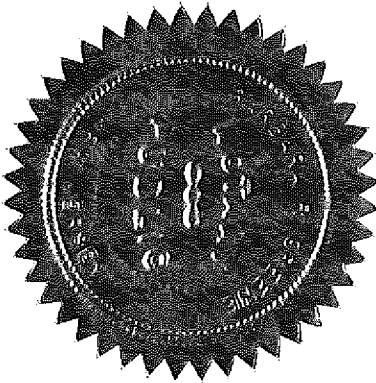
Barbara R. Greene
Notary Public
New Jersey
My Commission Expires
02/09/2021

Signed this 11th day of September, 2017, by Carlos A. Guerrero
Carlos A. GUERRERO

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
STATE OF NEW JERSEY) ss.
COUNTY OF MIDDLESEX)

On this 11th day of September, 2017, personally appeared before me,
Carlos A. GUERRERO, known or identified to me to be the individual(s) described in and who
executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and
purposes therein expressed.



Barbara R. Greene
Notary Public

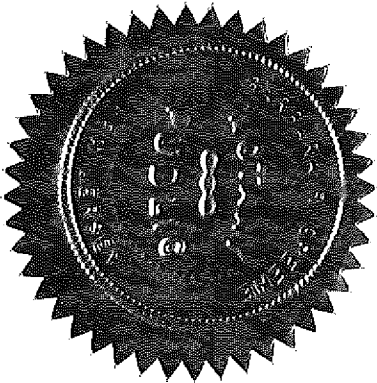
Barbara R. Greene
Notary Public
New Jersey
My Commission Expires
02/09/2021

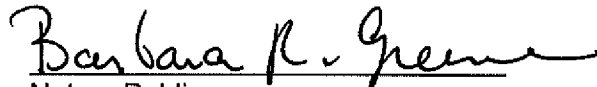
Signed this 11 day of September, 2017, by 
Bin ZHENG

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
STATE OF NEW JERSEY) ss.
COUNTY OF MIDDLESEX)

On this 11~~th~~ day of September, 2017, personally appeared before me,
Bin ZHENG, known or identified to me to be the individual(s) described in and who executed the
foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes
therein expressed.




Notary Public

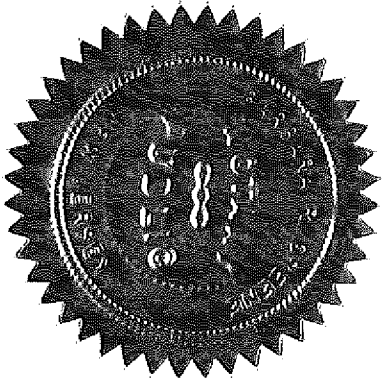
Barbara R. Greene
Notary Public
New Jersey
My Commission Expires
02/09/2021

Signed this 31 day of August, 2017, by Jason J. ZHU

NOTARIAL CERTIFICATE


UNITED STATES OF AMERICA)
STATE OF NEW JERSEY) ss.
COUNTY OF MIDDLESEX)

On this 31 day of AUGUST, 2017, personally appeared before me, **Jason J. ZHU**, known or identified to me to be the individual(s) described in and who executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein expressed.



Barbara R. Greene
Notary Public

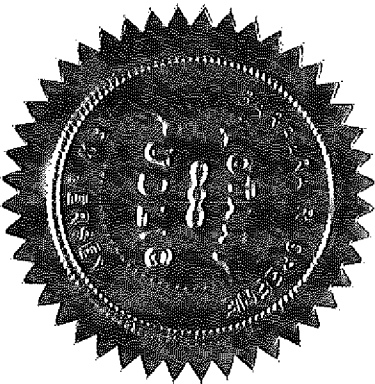
Barbara R. Greene
Notary Public
New Jersey
My Commission Expires
02/09/2021

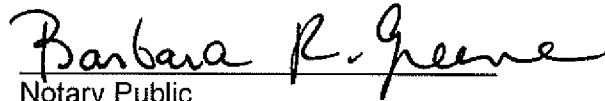
Signed this 11 day of September, 2017, by 
Michael Anthony SCHMIDT

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
STATE OF NEW JERSEY) ss.
COUNTY OF MIDDLESEX)


On this 11th day of September, 2017, personally appeared before me,
Michael Anthony SCHMIDT, known or identified to me to be the individual(s) described in and who
executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and
purposes therein expressed.




Notary Public

Barbara R. Greene
Notary Public
New Jersey
My Commission Expires
02/09/2021

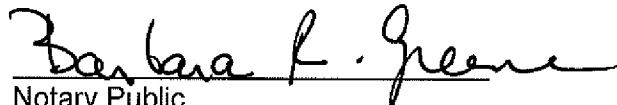
Signed this 11 day of September, 2017, by

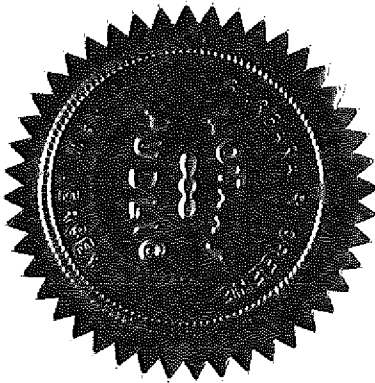

Michael R. LUZUNG

NOTARIAL CERTIFICATE

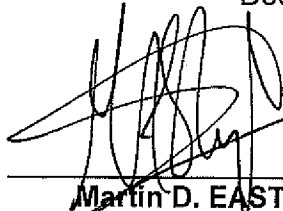
UNITED STATES OF AMERICA)
STATE OF NEW JERSEY) ss.
COUNTY OF MIDDLESEX)

On this 11th day of September, 2017, personally appeared before me,
Michael R. LUZUNG, known or identified to me to be the individual(s) described in and who executed
the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes
therein expressed.


Notary Public



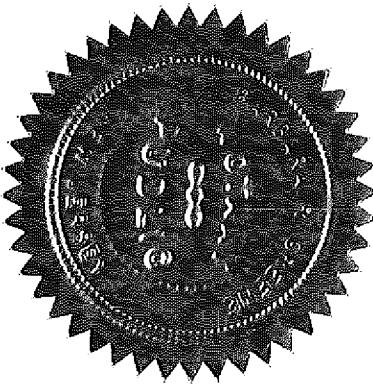
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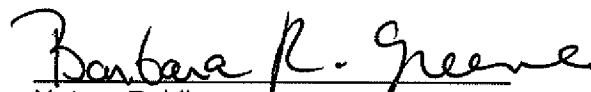

Martin D. EASTGATE

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
STATE OF NEW JERSEY) ss.
COUNTY OF MIDDLESEX)

On this 11th day of September, 2017, personally appeared before me, **Martin D. EASTGATE**, known or identified to me to be the individual(s) described in and who executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein expressed.




Notary Public

Barbara R. Greene
Notary Public
New Jersey
My Commission Expires
02/09/2021