# Patent Assignment Cover Sheet

**Submission Type:** NEW ASSIGNMENT  
**Nature of Conveyance:** ASSIGNMENT

## Conveying Party Data

<table>
<thead>
<tr>
<th>Name</th>
<th>Execution Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>W. JOHN OWEN</td>
<td>11/03/2010</td>
</tr>
<tr>
<td>CHENGLIN YAO</td>
<td>11/03/2010</td>
</tr>
</tbody>
</table>

## Receiving Party Data

<table>
<thead>
<tr>
<th>Name</th>
<th>DOW AGROSCIENCES LLC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Street Address</td>
<td>9330 ZIONSVILLE ROAD</td>
</tr>
<tr>
<td>City</td>
<td>INDIANAPOLIS</td>
</tr>
<tr>
<td>State/Country</td>
<td>INDIANA</td>
</tr>
<tr>
<td>Postal Code</td>
<td>46268-1054</td>
</tr>
</tbody>
</table>

## Property Numbers Total: 2

<table>
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<tr>
<th>Property Type</th>
<th>Number</th>
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<tr>
<td>Application Number</td>
<td>12899011</td>
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<td>Application Number</td>
<td>14538502</td>
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## Correspondence Data

Fax Number: (317)237-1000  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Email: INTEAS@FAEGREBD.COM  
Correspondent Name: FAEGRE BAKER DANIELS LLP  
Address Line 1: 300 N. MERIDIAN STREET  
Address Line 2: SUITE 2700  
Address Line 4: INDIANAPOLIS, INDIANA 46204

## Attorney Docket Number:

DAS-P0275-01-US/P0275-02

## Name of Submitter:

CONSTANCE J CONNER

## Signature:

/CONSTANCE J CONNER/

## Date Signed:

09/14/2017

Total Attachments: 6

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RECORD Form COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)
   W. John Owen
   Chenglin Yao

   Additional name(s) of conveying party(ies) attached? ☑ Yes ☐ No

2. Name and address of receiving party(ies)
   Name: Dow AgroSciences LLC
   Internal Address: 
   Street Address: 9330 Zionsville Road
   City: Indianapolis
   State: Indiana
   Country: USA Zip: 46268-1054

   Additional name(s) & address(es) attached? ☑ Yes ☐ No

3. Nature of conveyance/Execution Date(s):
   Execution Date(s) October 6, 2010
   ☑ Assignment ☐ Merger
   ☐ Security Agreement ☐ Change of Name
   ☐ Joint Research Agreement
   ☐ Government Interest Assignment
   ☐ Executive Order 9424, Confirmatory License
   ☐ Other

4. Application or patent number(s):
   ☑ This document serves as an Oath/Declaration (37 CFR 1.63).
   A. Patent Application No.(s)
      12/899,011
      14/538,502

   B. Patent No.(s)

   Additional numbers attached? ☑ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:
   Name: Faegre Baker Daniels LLP
   Internal Address: Suite 2700
   Street Address: 300 N. Meridian Street
   City: Indianapolis
   State: Indiana Zip: 46204
   Phone Number:
   Docket Number:
   Email Address: INTEAS@FaegreBD.com

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) $
   ☐ Authorized to be charged to deposit account
   ☐ Enclosed
   ☑ None required (government interest not affecting title)

8. Payment Information
   Deposit Account Number
   Authorized User Name

9. Signature:
   /Constance J. Conner/
   Signature
   Total number of pages including cover sheet, attachments, and documents:
   6
   Name of Person Signing
   9/14/2017
   Date

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 043592 FRAME: 0169
ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made effective as of the 6th day of October, 2010, by W. John Owen and Chenglin Yao (hereinafter referred to as Assignors), residing at 12421 Pebblepointe Pass, Carmel, Indiana 46033; and 14178 Esprit Drive, Westfield, Indiana 46074, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in SYNERGISTIC FUNGICIDAL MIXTURES FOR FUNGAL CONTROL IN CEREALS, set forth in a Patent application for Letters Patent of the United States, already filed on October 6, 2010 as U.S. Application No. 12/899,011; and

WHEREAS, Dow AgroSciences LLC, a Limited Liability Company organized under and pursuant to the laws of Delaware having its principal place of business at 9330 Zionsville Road, Indianapolis, Indiana 46268-1054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, for good and valuable consideration and/or the remuneration received for my/our work and/or other good and sufficient consideration, the receipt of which is hereby acknowledged, do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.
AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

Dow AgroSciences LLC
All practitioners at Customer Number 25212

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.
Date: 11/3/2010

United States of America
State of Indiana) ss:
County of Marion

On this 3rd day of November, 2010, before me personally came W. John Owen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Dena H. Tuchman
Notary Public Seal State of Indiana
Marion County
My Commission Expires 11/02/2012
Date: 1/3/2010

United States of America
State of Indiana ss.
County of Marion

On this 2nd day of November, 2010, before me personally came Chenglin Yao, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Dena H. Tuchman
Notary Public State of Indiana
Marion County
My Commission Expires 11/02/2012