

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
LU GAO	07/25/2017
CHUNGEN LIANG	07/25/2017
HONGYING YUN	07/25/2017
XIUFANG ZHENG	07/25/2017
JIANPING WANG	07/25/2017
KUN MIAO	07/25/2017
BO ZHANG	07/25/2017
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<b>City:</b>	SHANGHAI
<b>State/Country:</b>	CHINA
<b>Postal Code:</b>	201203
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15689136
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)952-9881
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<b>ATTORNEY DOCKET NUMBER:</b>	P33761-US
<b>NAME OF SUBMITTER:</b>	CHIAKI KOKKA
<b>SIGNATURE:</b>	/Chiaki Kokka/
<b>DATE SIGNED:</b>	09/19/2017

**Total Attachments: 6**

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33761-WO-1

Declaration of Inventor

# 公 证 书

中华人民共和国上海市东方公证处

PATENT

REEL: 043619 FRAME: 0808

**Declaration of Inventors**

I/We, the undersigned

**Inventor(s)****Employed by:**

1. Lu GAO, a Chinese citizen,  
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5. Jianping WANG, a Chinese citizen,  
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7. Bo ZHANG, a Chinese citizen,  
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Cailun Road, 201203 Shanghai, China P.R. Roche R&D Center (China) Ltd

hereinafter INVENTOR(S), herewith declare that I / we contributed to  
the invention entitled:

**Novel 7-substituted sulfonimidoyl-purinone compounds and derivatives for the  
treatment and prophylaxis of virus infection**

for which (a) priority application(s) was (were) filed with the

**Chinese Patent Office**

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
P33761-WO-1	WO	12 July 2017	PCT/CN2017/092653

INVENTOR(S) acknowledge(s) and confirm(s) that

Roche R&D Center (China) Ltd.  
Building 5, No. 720, Cailun Road  
Shanghai, 201203  
China

hereinafter EMPLOYER, owns all right, title, and interest in, to and deriving from the inventions disclosed in the priority application(s) set forth above and that I/we agree to the provisions provided in the "Confidentiality and IP Agreement" regarding "Service Invention" between me/us and EMPLOYER.

As far as right, title, and interest of the INVENTOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been owned by EMPLOYER, INVENTOR(S) herewith assign(s) to EMPLOYER all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

**PATENT****REEL: 043619 FRAME: 0809**

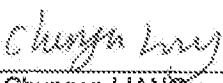
EMPLOYER by virtue of this Declaration thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

## INVENTOR(S)

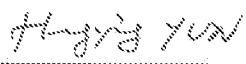
Shanghai 2017-07-25  
Place, Date

  
Lu GAO

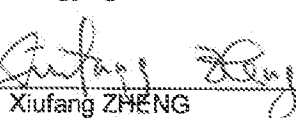
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Place, Date

  
Chungen LIANG

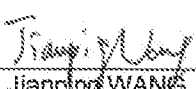
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Place, Date

  
Hongying YUN

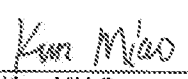
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Place, Date

  
Xiufang ZHENG

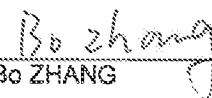
Shanghai 2017-7-25  
Place, Date

  
Jianping WANG

Shanghai 2017, 7, 25  
Place, Date

  
Kun MIAO

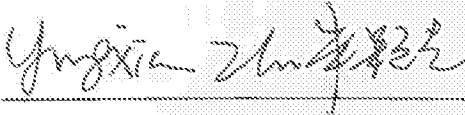
Shanghai Jul. 25, 2017  
Place, Date

  
Bo ZHANG

## EMPLOYER

Roche R&amp;D Center (China) Ltd.

Shanghai July 25, 2017  
Place, Date

  
Yongxin ZHANG

# 公 证 书

(2017)沪东证外字第 42864 号

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法定代表人：ALEXANDER DAVID HARDY

委托代理人：朱颖先，男，一九七六年四月三十日出生

公民身份号码：320525197604303012

V14094088

PATENT

REEL: 043619 FRAME: 0811

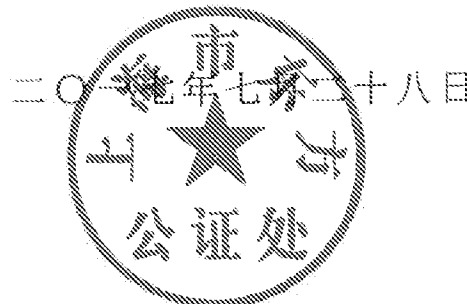
公证事项：签名

兹证明高璐、梁春根、负红英、郑秀芳、王剑平、苗坤、张博、罗氏研发（中国）有限公司的委托代理人朱颖先于二〇一七年七月二十五日在上海市，在前面的《Declaration of Inventors》上签名，上述签名行为均属实。

中华人民共和国上海市东方公证处

公 证 员

孟 蕾



V14094086

NOTARIAL CERTIFICATE

(2017) H. D. Z. W. Z. No. 42864

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Legal Representative: ALEXANDER DAVID HARDY

Authorized Agent: Yingxian ZHU, male, born on April 30, 1976, Citizen's ID Card No. 320525197604303012

Issue under notarization: signature

This is to certify that Lu GAO, Chungen LIANG, Hongying YUN, Xiufang ZHENG, Jianping WANG, Kun MIAO, Bo ZHANG and Yingxian ZHU, the authorized agent of Roche R&D Center (China) Ltd., signed the foregoing *Declaration of Inventors* in Shanghai on July 25, 2017, and that the above actions of signing the document are authentic.

Notary: MENG Lei (Seal)

Shanghai Oriental Notary Public Office (Seal)

The People's Republic of China

July 28, 2017

V14182440

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