504562989 09/25/2017

#### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4609700

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
RYUICHI TOKIMUNE	09/11/2017
TSUYOSHI TSUCHIDA	09/11/2017
RYOTA KITAGO	09/11/2017
SOH ISHINO	09/11/2017
HIROYUKI KISHIMOTO	09/11/2017
MUNENAO HIROKAMI	09/11/2017
TETSURO YAMADA	09/11/2017

#### RECEIVING PARTY DATA

Name:	SUMITOMO RUBBER INDUSTRIES, LTD.
Street Address:	6-9, WAKINOHAMA-CHO 3-CHOME, CHUO-KU
City:	KOBE-SHI, HYOGO
State/Country:	JAPAN
Postal Code:	651-0072
Name:	SHIN-ETSU CHEMICAL CO., LTD.
Name: Street Address:	SHIN-ETSU CHEMICAL CO., LTD. 6-1, OHTEMACHI 2-CHOME, CHIYODA-KU
	,
Street Address:	6-1, OHTEMACHI 2-CHOME, CHIYODA-KU

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15711353

#### CORRESPONDENCE DATA

Fax Number: (703)205-8050

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (703) 205-8000

Email: mailroom@bskb.com, brian.olive@bskb.com **Correspondent Name:** BIRCH, STEWART, KOLASCH & BIRCH, LLP Address Line 1: 8110 GATEHOUSE ROAD, SUITE 100E

Address Line 4: FALLS CHURCH, VIRGINIA 22042

REEL: 043681 FRAME: 0247 504562989

PATENT -

ATTORNEY DOCKET NUMBER:	5051-0471PUS1		
NAME OF SUBMITTER:	BRIAN OLIVE		
SIGNATURE:	/brian olive/		
DATE SIGNED:	09/25/2017		
Total Attachments: 6			

source=2017-09-25\_Dec\_and\_Assignment\_5051-0471PUS1#page1.tif source=2017-09-25\_Dec\_and\_Assignment\_5051-0471PUS1#page2.tif source=2017-09-25\_Dec\_and\_Assignment\_5051-0471PUS1#page3.tif source=2017-09-25\_Dec\_and\_Assignment\_5051-0471PUS1#page4.tif source=2017-09-25\_Dec\_and\_Assignment\_5051-0471PUS1#page5.tif source=2017-09-25\_Dec\_and\_Assignment\_5051-0471PUS1#page6.tif

PATENT REEL: 043681 FRAME: 0248 Application SN.: 15/711,353 filed on September 21, 2017

Attorney Docket No. 5051-0471PUS1

#### BIRCH, STEWART, KOLASCH & BIRCH, LLP

P.O. Box 747 • Falls Church, Virginia 22040-0747

Telephone: (703) 205-8000 • Facsimile: (703) 205-8050 • Email: mailroom@bskb.com

# DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

UNITED STATES PATENT RIGHTS, OR
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS
(MULTIPLE ASSIGNEES)

mark and	- 41	
Title	Of I	Invention

#### \*RUBBER COMPOSITION FOR TIRES AND PNEUMATIC TIRE

As a below named inventor (hereinafter designated as the undersigned), I hereby declare that:

This declaration is directed to the application attached hereto.

Application not Attached → If the application is not attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following:

Enter Appln. No.

⇒United States Application Number or PCT International Appln.
No.

Enter Filling Date

⇒filed on \_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

WHEREAS, the undersigned has invented certain new and useful improvements described in the application identified.

Insert Names of Assignees

\*WHEREAS, (1) SUMITOMO RUBBER INDUSTRIES, LTD.; and (2) SHIN-ETSU CHEMICAL CO., LTD.

(hereinafter designated as Assignees)

Insert Addresses of Assignees

of (1) 6-9, Wakinohama-cho 3-chome, Chuo-ku, Kobe-shi, Hyogo 651-0072 JAPAN; and (2) 6-1, Ohtemachi 2-chome, Chiyoda-ku, Tokyo 100-0004 JAPAN, respectively,

their heirs, successors, legal representatives and assigns are desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

Check Box if Appropriate in any foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these presents does (do) sell, assign and transfer unto said Assignees the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted

BSKB-DEC-ASSIGN-MULTI (09-12)

NO. 6544 P. 3

Application SN.: 15/711,353 filed on September 21, 2017
Attorney Docket No.

5051-0471PUS1

in equal undivided amounts unless specific undivided amounts are listed below:

Ownership Interest → Assignee 1 (\_\_\_\_\_%); Assignee 2 (\_\_\_\_\_%).

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignees may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignees in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America and any foreign patent(s) to the Assignees and to vest all rights therein hereby conveyed to said Assignees as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignees, as Assignees of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

LEGAL NAME OF INVENTOR

Additional inventors are being named on the 1 supplemental sheet(s) attached hereto.

DUGTE 14	MIL OF HAVE MICH		SEP. 1 1, 2017
Inventor's Name	Ryuichi TOKIMUNE	Date:	361. 1 1.2011
Inventor's Signature Signature:	Ryrichi Tokimine		
An application data sheet (P. Use a separate form for each	IO/SB/14 or equivalent), including naming the entire inventor; or check the box below and complete the attached	ntive entity I page(s) to	r, must accompany this form. Dist additional inventors.

NO. 6544 P. 4 Attorney Docket No.: 5051-0471PUS1

Application SN:: 15/711,353 filed on September 21, 2017

## SUPPLEMENTAL SHEET FOR **DECLARATION AND ASSIGNMENT**

#### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

	LEGAL N	AME OF JOINT INVENTOR, IF ANY		000 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
Inventor's Name	• Inventor:	Tsuyoshi TSUCHIDA	Date:	SEP. 1 1.2017
Inventor's Signature	⇒ Signature:	Tsujosh: Tsuchifa		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	inventor:	Ryota KITAGO	Date:	SEP. 1 1, 2017
Inventor's Signature	→ Signature:	Ryota Kicugo		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	Inventor:	Soh ISHINO	Date:	SEP. 1 1, 2017
Inventor's Signature	≤Signature:	So 2sht	<u>.</u>	•
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		222 4 4 0047
Inventor's Name	Inventor:	Hiroyuki KISHIMOTO	Date:	SEP. 1 1. 2017
Inventor's Signature	∜Signature:	Hroyaki Kishimeto		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	Inventor:	Munenao HIROKAMI	Date:	
Inventor's Signature	<sup>4</sup> Signature:			
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	~Inventor:	Tetsuro YAMADA	Date:	
Inventor's Signature	"Signature:			

BIRCH, STEWART, KOLASCH & BIRCH, LLP

BSKB-SUPPL-SIGANTURES(09-12)

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SRZ5Z3US Attorney Docket No. 5051 - 0471 PUS1

Application SN.: 15/711,353 filed on September 21, 2017

#### BIRCH, STEWART, KOLASCH & BIRCH, LLP

P.O. Box 747 • Falls Church, Virginia 22040-0747

Telephone: (703) 205-8000 • Facsimile: (703) 205-8050 • Email: mailroom@bskb.com

### DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

United States Patent Rights, or UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS (MULTIPLE ASSIGNEES)

Title of Invention	⇒RUBBER	COMPOSITION	V FOR TIRES	AND PNEUM	MATIC TIRE
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As a below named inventor (hereinafter designated as the undersigned), I hereby declare that:

This declaration is directed to the application attached hereto.

Application not Attached

 $^{\Rightarrow}$ If the application is not attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following:

Enter Applit, No. ⇒United States Application Number or PCT International Applin.

Enter Filing Date

⇒filed on

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

WHEREAS, the undersigned has invented certain new and useful improvements described in the application identified.

Insert Names of Assignees

WHEREAS, (1) SUMITOMO RUBBER INDUSTRIES, LTD.; and (2) SHIN–ETSU CHEMICAL CO., LTD.

(hereinafter designated as Assignees)

Insert Addresses of Assignees

of (1) 6-9, Wakinohama-cho 3-chome, Chuo-ku, Kobe-shi, Hyogo 651-0072 JAPAN; and (2) 6-1, Ohtemachi 2-chome, Chiyoda-ku, Tokyo 100-0004 JAPAN, respectively,

their heirs, successors, legal representatives and assigns are desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

Check Box if Appropriate

 $^{\Rightarrow} igotimes$  in any foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these presents does (do) sell, assign and transfer unto said Assignees the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted

BSKB-DEC-ASSIGN-MULTI (09-12)

- 2017年 9月11日 15時27分 - YASUTOMI & Associates - 2017年 9月11日 15時27分 - 2017年 9月11日 15時27日 9月11日 15日27日 9月11日 15日27日 9月11日 15日27日 9月11日 9月11日 15日27日 9月11日 9月1日 9月
Application SN.: 15/711,353 filed on September 21, 2017 5 R 25 23 05 Attorney Docket No. 5051-0471PUS1
in equal undivided amounts unless specific undivided amounts are listed below:
Ownership   Assignee 1 (%); Assignee 2 (%).  Interest
The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignees may deem necessary or expedient.
The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignees in every way possible in obtaining and producing evidence and proceeding with such interference.
The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.
The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America patent(s) or a grant of (a) valid United States of America and any foreign patent(s) to the Assignees and to vest all rights therein hereby conveyed to said Assignees as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.
The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignees, as Assignees of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.
The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.
The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.
The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.
In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).
LEGAL NAME OF INVENTOR
Inventor's Name Structor: Ryuichi TOKIMUNE Date:

Inventor's Name	⇒ Inventor:	Ryuichi TOKIMUNE	Date:
Inventor's Signatur	° ⇔ Signature:		
		TO/SB/14 or equivalent), including naming the entire inver inventor; or check the box below and complete the attached	
Additiona	l inventors are	heing named on the 1 supplemental sheet(s) attached hereto	1

NO. 6544034P. 7:24, - 224

SUPPLEMENTAL SHEET FOR DECLARATION AND ASSIGNMENT

ADDITIONAL INVENTOR(\$)

# Supplemental Sheet Page $\underline{1}$ of $\underline{1}$

#### LEGAL NAME OF JOINT INVENTOR, IF ANY

Inventor's Name	⇒ Inventor:	Tsuyoshi TSUCHIDA	Date:	
Inventor's Signature	<sup>; ⇔</sup> Signature:			
	LEGAL N	IAME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒ Inventor:	Ryota KITAGO	Date:	
Inventor's Signature	<sup>, ⇔</sup> Signature:			
	LEGAL N	IAME OF JOINT INVENTOR, IF ANY		
Inventor's Name	"Inventor:	Soh ISHINO	Date:	
Inventor's Signature	' =Signature:			
	LEGAL N	IAME OF JOINT INVENTOR, IF ANY		
Inventor's Name	5Inventor:	Hiroyuki KISHIMOTO	Date:	
Inventor's Signature	Signature:			
	LEGAL N	IAME OF JOINT INVENTOR, IF ANY		
Inventor's Name	"Inventor:	Munenao HIROKAMI	Date:	SEP. 1 1.2017
Inventor's Signature	<sup>: ⊴</sup> Signature:	murenav Wisokami		
	LEGAL N	IAME OF JOINT INVENTOR, IF ANY		OTD 4
Inventor's Name	Inventor:	Tetsuro YAMADA	Date:	SEP. 1 1,2017
Inventor's Signature	<sup>9</sup> Signature:	Tetsuro yamada.		

BIRCH, STEWART, KOLASCH & BIRCH, LLP

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