# 504569091 09/27/2017 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4615801

SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT		
CONVEYING PARTY I	ΔΑΤΑ			
		Name	Execution Date	
DAYN WILBERDING			07/05/2017	
NIKOLAI KUTALELAD	ZE		07/06/2017	
RECEIVING PARTY D	ΑΤΑ			
Name:	SONO	SONOS, INC.		
Street Address:	614 CI	614 CHAPALA STREET		
City:	SANT	SANTA BARBARA		
State/Country:	CALIF	CALIFORNIA		
	-	93101		
Postal Code:	93101			
-	93101 S Total:			
Postal Code: PROPERTY NUMBER	93101 S Total:			
Postal Code: PROPERTY NUMBER Property Type Application Number:	93101 S Total: <sup>-</sup>	Number		
Postal Code: PROPERTY NUMBER Property Type Application Number: CORRESPONDENCE	93101 S Total: <sup>-</sup>	<b>Number</b> 29597005		
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Postal Code: PROPERTY NUMBER Property Type Application Number: CORRESPONDENCE Fax Number: <i>Correspondence will</i>	93101 S Total: <sup>-</sup> DATA be sent t	Number 29597005 (949)852-0004 o the e-mail address first; if that is u d; if that is unsuccessful, it will be s 9498520000		
Postal Code: PROPERTY NUMBER Property Type Application Number: CORRESPONDENCE Fax Number: <i>Correspondence will</i> <i>using a fax number, in</i> Phone: Email:	93101 S Total:	Number 29597005 (949)852-0004 o the e-mail address first; if that is u d; if that is unsuccessful, it will be s 9498520000 pair@kppb.com		
Postal Code: PROPERTY NUMBER Property Type Application Number: CORRESPONDENCE Fax Number: <i>Correspondence will</i> <i>using a fax number, in</i> Phone: Email: Correspondent Name	93101 S Total:	Number 29597005 (949)852-0004 o the e-mail address first; if that is u d; if that is unsuccessful, it will be s 9498520000 pair@kppb.com KPPB LLP		
Postal Code: PROPERTY NUMBER Property Type Application Number: CORRESPONDENCE Fax Number: Correspondence will using a fax number, in Phone: Email: Correspondent Name Address Line 1:	93101 S Total:	Number 29597005 (949)852-0004 o the e-mail address first; if that is u d; if that is unsuccessful, it will be s 9498520000 pair@kppb.com KPPB LLP 2400 E. KATELLA AVENUE		
Postal Code: PROPERTY NUMBER Property Type Application Number: CORRESPONDENCE Fax Number: <i>Correspondence will</i> <i>using a fax number, in</i> Phone: Email: Correspondent Name	93101 S Total:	Number 29597005 (949)852-0004 o the e-mail address first; if that is u d; if that is unsuccessful, it will be s 9498520000 pair@kppb.com KPPB LLP		

ATTORNEY DOCKET NUMBER:	05061/17-0302			
NAME OF SUBMITTER:	MELISSA YEE			
SIGNATURE:	/Melissa Yee/			
DATE SIGNED:	09/27/2017			
Total Attachments: 9				
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Form **PTO-1595** (Rev. 6-12) OMB No. 0651-0027 (exp. 04/30/2018)

RECORDATION FORM COVER SHEET PATENTS ONLY				
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.				
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)			
Dayn Wilberding; Nikolai Kutaleladze	Name: Sonos, Inc.			
	Internal Address:			
Additional name(s) of conveying party(ies) attached? Yes No 3. Nature of conveyance/Execution Date(s): Execution Date(s) July 5, 2017; July 6, 2017 Assignment Merger Security Agreement Change of Name Joint Research Agreement Government Interest Assignment Executive Order 9424, Confirmatory License Other 4. Application or patent number(s): This A. Patent Application No.(s) 29/597,005	Street Address: _614 Chapala Street City: Santa Barbara State: CA Country: USZip: 93101 Additional name(s) & address(es) attached? Yes XNo document serves as an Oath/Declaration (37 CFR 1.63). B. Patent No.(s)			
	ached? Yes XNo			
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1			
Name:KPPB LLP	<b>7. Total fee</b> (37 CFR 1.21(h) & 3.41) \$			
Internal Address: Suite 1050	7. Total lee (37 CFR 1.21(II) $\approx 3.41$ ) $\Rightarrow$			
	Authorized to be charged to deposit account			
Street Address:2400 E. Katella Avenue	Enclosed			
	None required (government interest not affecting title)			
City: Anaheim	8. Payment Information			
State: CA Zip: 92806				
Phone Number: <u>949.852.0000</u>				
Docket Number: 05061/17-0302	Deposit Account Number			
Email Address: pair@kppb.com	Authorized User Name			
9. Signature:	0/07/47			
/Brian K. Sung/ Signature				
Brian K. Sung	Total number of pages including cover 9			
Name of Person Signing	sheet, attachments, and documents:			
Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450				

PATENT REEL: 043719 FRAME: 0726

## ASSIGNMENT AGREEMENT

WHEREAS, We, Dayn Wilberding and Nikolai Kutaleladze (**Assignor(s)**), have made certain new and useful inventions, improvements and/or designs set forth in an application for Letters Patent entitled Media Playback Device with Multiple Lighting States (**Assigned Patent Application**), which has been filed on March 13, 2017 and having been assigned Application No. 29/597,005;

WHEREAS, Sonos, Inc., a Delaware corporation, having a place of business at 614 Chapala Street, Santa Barbara, CA 93101 (**Assignee**), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, and/or designs and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefore;

NOW, THEREFORE, **Assignor(s)** and **Assignee** do hereby enter into the following Assignment Agreement.

### 1. Definitions

The **Assigned Patent Rights** means certain new and useful inventions, improvements, and designs made by the **Assignor(s)** for which the **Assigned Patent Application** has been filed.

The Assigned Patent Rights also includes:

- the Assigned Patent Application;
- all Letters Patent granted based upon an application for Letters Patent forming part of the Assigned Patent Rights;
- any inventions, improvements, and designs disclosed by an application for Letters Patent forming part of the **Assigned Patent Rights**;
- any provisional patent application to which priority is claimed under 35 U.S.C. § 119(e) by an application for Letters Patent forming part of the Assigned Patent Rights that has not previously been assigned by the Assignors;
- all divisional applications, continuation applications, continuation-in-part applications, and design patent applications claiming priority to an application for Letters Patent forming part of the Assigned Patent Rights, as well as any conversions, revival and prolognations of an application for Letters Patent forming part of the Assigned Patent Rights;
- the authority to prosecute/initiate any reissue, reexamination, renewal or extension of the Assigned Patent Application or of any Letters Patent granted based upon an application for Letters Patent forming part of the Assigned Patent Rights;
- any substitute application filed based upon an application for Letters Patent forming part of the **Assigned Patent Rights**;

- any priority rights associated with an application for Letters Patent forming part of the Assigned Patent Rights, including priority under International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms and all applications in which a right of priority is claimed and any Letters Patent, Design Patent, Utility Model, or equivalent granted therefrom;
- any legal equivalents of priority rights associated with an application for Letters Patent forming part of the Assigned Patent Rights, including priority under International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms and all applications in which a right of priority is claimed and any Letters Patent, Design Patent, Utility Model, or equivalent granted therefrom; and
- any right to sue for infringement of any right arising from the filing of an application for Letters Patent forming part of the **Assigned Patent Rights**.

## 2. Assignment of the Assigned Patent Rights

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, **Assignor(s)** have and/or do hereby sell, assign, and transfer to **Assignee**, its successors and assigns, all right, title, and interest for the United States and its territorial possessions and in all foreign countries in and to the **Assigned Patent Rights**.

### 3. Cooperation Agreement

The **Assignor(s)** further agree without further or additional consideration, but at the expense of **Assignee**, to:

- promptly provide **Assignee**, upon request, with all pertinent facts and documents relating to the **Assigned Patent Rights**;
- cooperate with Assignee in the protection and prosecution of the Assigned Patent Rights;
- testify with respect to all pertinent facts and documents relating to the **Assigned Patent Rights** in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto;
- review, execute, verify, acknowledge and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, or enforce the **Assigned Patent Rights**; and
- perform such other acts as **Assignee** lawfully may request to obtain or maintain the **Assigned Patent Rights**, or to vest title thereto in said **Assignee**.

05061/17-0302

#### 4. Assignors Representations

The **Assignor(s)** hereby represent and warrant that they have not entered, and will not enter, into any assignment, license agreement, sale agreement, or encumbrance that conflicts with the assignment of the **Assigned Patent Rights**.

#### 5. Authorization to issue patents in name of Assignee

The Assignor(s) and Assignee hereby confirm that the assignment to the Assignee of the Assigned Patent Rights includes authorization by the Assignor(s) for the United States Commissioner of Patents and Trademarks, and officials of any other country whose duty it is to issue patents on applications, to issue any Letters Patent arising from the Assigned Patent Rights to the Assignee, its successors, or assigns.

Assignor(s) grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This **Assignment** may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same **Assignment**.

IN TESTIMONY WHEREOF, I, Dayn Wilberding, hereunto set my hand this DS day of July , 2017.

Dayn Wilberding 614 Chapala Street Santa Barbara, CA 93101

Witnessed/

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PATENT REEL: 043719 FRAME: 0729 IN TESTIMONY WHEREOF, I, Nikolai Kutaleladze, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

**Nikolai Kutaleladze** 614 Chapala Street Santa Barbara, CA 93101

Witnessed:

## Assignee hereby accepts this Assignment:

Name

Title

Date

### ASSIGNMENT AGREEMENT

WHEREAS, We, Dayn Wilberding and Nikolai Kutaleladze (**Assignor(s**)), have made certain new and useful inventions, improvements and/or designs set forth in an application for Letters Patent entitled Media Playback Device with Multiple Lighting States (**Assigned Patent Application**), which has been filed on March 13, 2017 and having been assigned Application No. 29/597,005;

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- any substitute application filed based upon an application for Letters Patent forming part of the Assigned Patent Rights;

- any priority rights associated with an application for Letters Patent forming part of the **Assigned Patent Rights**, including priority under International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms and all
- applications in which a right of priority is claimed and any Letters Patent, Design Patent, Utility Model, or equivalent granted therefrom;
  - any legal equivalents of priority rights associated with an application for Letters Patent forming part of the **Assigned Patent Rights**, including priority under International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms and all applications in which a right of priority is claimed and any Letters
- Patent, Design Patent, Utility Model, or equivalent granted therefrom; and
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- cooperate with Assignee in the protection and prosecution of the Assigned Patent Rights;
- testify with respect to all pertinent facts and documents relating to the **Assigned Patent Rights** in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto;
- review, execute, verify, acknowledge and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, or enforce the Assigned Patent Rights; and
- perform such other acts as **Assignee** lawfully may request to obtain or maintain the **Assigned Patent Rights**, or to vest title thereto in said **Assignee**.

-2-

### 05061/17-0302

### 4. Assignors Representations

The **Assignor(s)** hereby represent and warrant that they have not entered, and will not enter, into any assignment, license agreement, sale agreement, or encumbrance that conflicts with the assignment of the **Assigned Patent Rights**.

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**Assignor(s)** grants the attorney of record the power to insert on this **Assignment** any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This **Assignment** may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same **Assignment**.

**IN TESTIMONY WHEREOF**, I, **Dayn Wilberding**, hereunto set my hand this \_\_\_\_\_ day of , 20

**Dayn Wilberding** 614 Chapala Street Santa Barbara, CA 93101

Witnessed:

### PATENT REEL: 043719 FRAME: 0733

05061/17-0302

IN TESTIMONY WHEREOF, I, Nikolai Kutaleladze, hereunto set my hand this 6 day of \_\_\_\_\_, 20<u>/7</u>, JULY Nikolai Kutaleladze 614 Chapala Street Santa Barbara, CA 93101 Witnessed: PAUL BATET Assignee hereby accepts this Assignment:

Name Date Date .....

-4-

**RECORDED: 09/27/2017**