

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LUE DAI	02/29/2016
LU GAO	02/29/2016
RECEIVING PARTY DATA	
Name:	ROCHE R&D CENTER (CHINA) LTD.
Street Address:	BUILDING 5, NO. 720, CAILUN ROAD
City:	SHANGHAI
State/Country:	CHINA
Postal Code:	201203
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	15705577
PCT Number:	CN2016074012
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NAME OF SUBMITTER:	JONATHAN DUFFIELD
SIGNATURE:	/Jonathan Duffield/
DATE SIGNED:	10/04/2017
Total Attachments: 4	
source=Assignment 1#page1.tif	
source=Assignment 1#page2.tif	
source=Assignment 1#page3.tif	

ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Lue DAI, a Chinese citizen
Building No. 5, Lane 720 Cailun Road, Shanghai 201203, China

Employed by:

Roche R&D Center (China) Ltd

Lu GAO, a Chinese citizen
Building No. 5, Lane 720 Cailun Road, Shanghai 201203, China

Roche R&D Center (China) Ltd

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)
of the invention entitled:

**COMBINED TREATMENT WITH A TLR7 AGONIST AND AN HBV CAPSID ASSEMBLY
INHIBITOR**

for which (a) priority application(s) was (were) filed with the

Patent Office

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
P32687-WO3	WO	18 February 2016	PCT/CN2016/074012

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche R&D Center (China) Ltd.
Building 5, No. 720, Cailun Road
Shanghai, 201203
China

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNOR(S)

Shanghai 2/29/2016
Place, Date

Lue DAI

Shanghai 2016/02/29
Place, Date

Lu GAO

ASSIGNEE

Roche R&D Center (China) Ltd.

Shanghai 2/29/2016
Place, Date

Yiguo

公 证 书

(2016)沪东证外字第 8707 号

申请人：戴略，男，一九八〇年七月九日出生
公民身份号码：310104198007090011
高璐，女，一九七六年三月十三日出生
公民身份号码：110108197603131821
罗氏研发（中国）有限公司
住所：上海市张江高科技园区蔡伦路七二〇弄五号
法定代表人：ALEXANDER DAVID HARDY
委托代理人：朱颖先，男，一九七六年四月三十日出生
公民身份号码：320525197604303012

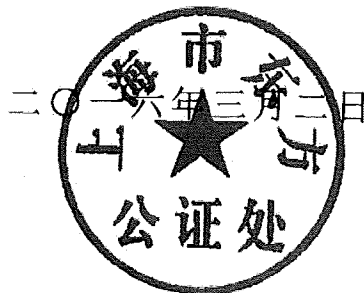
公证事项：签名

兹证明戴略、高璐、罗氏研发（中国）有限公司的
委托代理人朱颖先于二〇一六年二月二十九日在上海市，
在前面的《ASSIGNMENT AGREEMENT》上签名，该签名
行为属实。

中华人民共和国上海市东方公证处

公 证 员

沈宇明



90687244

PATENT

REEL: 043783 FRAME: 0549

Translation

NOTARIAL CERTIFICATE

(2016)H.D.Z.W.Z.No.8707

Applicant:

DAI Lue, male, born on July 9, 1980

Citizen ID No.: 310104198007090011

GAO Lu, female, born on March 13, 1976

Citizen ID No.: 110108197603131821

Roche R&D Center (China) Ltd.

Domicile: No. 5, Lane 720, Cailun Rd., Zhangjiang High-tech Park, Shanghai

Legal Representative: ALEXANDER DAVID HARDY

Entrusted Agent: ZHU Yingxian, male, born on April 30, 1976, Citizen ID No.:
320525197604303012

Notarized Matter: Signature

This is to certify that DAI Lue, GAO Lu, and ZHU Yingxian who is the entrusted agent of Roche R&D Center (China) Ltd., affixed signatures to the foregoing ASSIGNMENT AGREEMENT in Shanghai on February 29, 2016 and the signatures are genuine.

SHEN Yuming (Seal)

Notary Public

The People's Republic of China

Shanghai Oriental Notary Public Office

(Seal)

March 2, 2016

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