## 504543150 09/12/2017

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4589857

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
XIAOLEI GAO	01/12/2017
SANDRA L. KNOWLES	01/13/2017
MICHAEL MAN-CHU LO	01/13/2017
ROBERT D. MAZZOLA JR.	01/11/2017
DEBRA L. ONDEYKA	01/11/2017
ANDREW W. STAMFORD	01/10/2017
FENGQI ZHANG	01/11/2017

### **RECEIVING PARTY DATA**

Name:	MERCK SHARP & DOHME CORP.	
Street Address:	s: 126 EAST LINCOLN AVENUE	
City:	RAHWAY	
State/Country:	NEW JERSEY	
Postal Code:	07065	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15386009

## **CORRESPONDENCE DATA**

**Fax Number:** (732)594-4720

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 732-594-3904

Email: US\_EFS@MERCK.COM

Correspondent Name: J. ERIC THIES

Address Line 1:126 EAST LINCOLN AVENUEAddress Line 4:RAHWAY, NEW JERSEY 07065

ATTORNEY DOCKET NUMBER:	24258-US-PCT
NAME OF SUBMITTER:	PIA PARAS-SANJURJO
SIGNATURE:	/PIA PARAS-SANJURJO/
DATE SIGNED:	09/12/2017

PATENT REEL: 043824 FRAME: 0868

## **Total Attachments: 21** source=24258USPCT-WWAssign-MSDC-12Sep2017#page1.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page2.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page3.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page4.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page5.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page6.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page7.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page8.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page9.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page10.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page11.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page12.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page13.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page14.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page15.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page16.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page17.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page18.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page19.tif source=24258USPCT-WWAssign-MSDC-12Sep2017#page20.tif

source=24258USPCT-WWAssign-MSDC-12Sep2017#page21.tif

PATENT REEL: 043824 FRAME: 0869

### WORLDWIDE ASSIGNMENT

### WHEREAS, I/WE,

2 3 4 5	XIAOLEI GAO SANDRA L. KNOWLES MICHAEL MAN-CHU LO ROBERT D. MAZZOLA, JR. DEBRA L. ONDEYKA ANDREW W. STAMFORD	located at	BRIDGEWATER, NJ PRINCETON, NJ BEDMINSTER, NJ STEWARTSVILLE, NJ FANWOOD, NJ
6	ANDREW W. STAMFORD	located at	CHATHAM, NJ
7	FENGQI ZHANG	located at	EDISON, NJ

### (hereinafter ASSIGNOR(S)), together with

8	JIANMING BAO	located at	SHANGHAI, CHINA
9	CHUNSING LI	located at	SHANGHAI, CN

are the inventors of the invention described in an application for Patents having the Attorney Docket Number indicated above and the following Title of the Invention:

## 6,7-DIHYDRO-5H-PYRROLO[3,4-B]PYRIDIN-5-ONE ALLOSTERIC MODULATORS OF THE M4 MUSCARINIC ACETYLCHOLINE RECEPTOR

and in the following application(s):

U.S. Provisional Application No.	62/419630	having a filing date of	NOVEMBER 9, 2016
PCT International Application No.	PCT/CN2015/098382	having a filing date of	DECEMBER 23, 2015
U.S. Application No.	15/386,009	having a filing date of	DECEMBER 21, 2016

WHEREAS I/WE covenant that the rights and property herein conveyed are free and clear of any encumbrance, and that I/we have the full right to convey the same as herein expressed.

WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter **ASSIGNEE**), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

Computer Generated Template "Assignment-Merck Sharp & Dohme. Multiple Assignees Worldwide" (Assignment) Merck Sharp & Dohme Corp. 07/09/2010

AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of **ASSIGNEE**, without further compensation or charge to said **ASSIGNEE**, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of **ASSIGNEE**, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

I/WE HEREBY authorize **ASSIGNEE** to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Executed this	i2	day of		Januc	ary		2017 2016
	m	<del></del>			,		
XIAOLEI GAO							
ACKNOWLEDGEMENT							
STATE OF	NEW JEF	RSEY			)		
COUNTY OF	Sol	nerset	•		) ss: )		
On this $12^{+}$	h day of	Janya	ry ,	2017 2016, perso	onally appeared	before me X	<u>IIAOLEI GAO</u> to
me known, and known b	y me to be th	e same person	describe	d in and who	o executed the fo	oregoing inst	trument, and
acknowledged that he/s	he executed t	he same, of his,	/her own	free will for	r the purpose se	t forth.	
(Seal)			k	2-1-1-1	eth M	<i>.</i>	
			<u> </u>	Notary P	ublic	71-11	7

Kenneth M Kimmel Notary Public of N.J. My Commission Expires June 26, 2021

### WORLDWIDE ASSIGNMENT

#### WHEREAS, I/WE,

1	XIAOLEI GAO	located at	BRIDGEWATER, NJ
2	SANDRA L. KNOWLES	located at	PRINCETON, NJ
3	MICHAEL MAN-CHU LO	located at	BEDMINSTER, NJ
4	ROBERT D. MAZZOLA, JR.	located at	STEWARTSVILLE, NJ
5	DEBRA L. ONDEYKA	located at	FANWOOD, NJ
6	ANDREW W. STAMFORD	located at	CHATHAM, NJ
7	FENGQI ZHANG	located at	EDISON, NJ

### (hereinafter ASSIGNOR(S)), together with

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# 6,7-DIHYDRO-5H-PYRROLO[3,4-B]PYRIDIN-5-ONE ALLOSTERIC MODULATORS OF THE M4 MUSCARINIC ACETYLCHOLINE RECEPTOR

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WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter ASSIGNEE), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

Computer Generated Template "Assignment-Merck Sharp & Dohme. Multiple Assignees Worldwide" (Assignment) Merck Sharp & Dohme Corp. 07/09/2010

AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of **ASSIGNEE**, without further compensation or charge to said **ASSIGNEE**, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of **ASSIGNEE**, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

I/WE HEREBY authorize **ASSIGNEE** to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Executed this	<u>iä</u>	day of	JANHAF	4	2017
		2.4.7		<u> </u>	
SANDRA L. KNOWL	ES	*			<del>and the second </del>
ACKNOWLEDGEM	ENT				
STATE OF	NEW	JERSEY	****		
COUNTY OF				) ss: )	
On this	day o	Service .	2017 2016, pe	ersonally appeared befo	ore me <u>SANDRA L.</u>
KNOWLES to me kn	own, and know	wn by me to be the	e same person desc	cribed in and who exec	uted the foregoing
instrument, and ack	nowledged th	at he/she executed	d the same, of his/l	her own free will for th	e purpose set forth.
(Seal)			<u>100 A F</u> Notar	y Public	······

#### WORLDWIDE ASSIGNMENT

#### WHEREAS, I/WE,

1	XIAOLEI GAO	located at	BRIDGEWATER, NJ
2	SANDRA L. KNOWLES	located at	PRINCETON, NJ
3	MICHAEL MAN-CHU LO	located at	BEDMINSTER, NJ
4	ROBERT D. MAZZOLA, JR.	located at	STEWARTSVILLE, NJ
5	DEBRA L. ONDEYKA	located at	FANWOOD, NJ
6	ANDREW W. STAMFORD	located at	CHATHAM, NJ
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N	13 74	1 6	JANU	an Y	
Executed this	1311	day of	JANO	7380	2016
	<u> </u>	Va Z	<b>)</b>		2017
MICHAEL MAN-CHU	LO	`			
ACKNOWLEDGEMEN	NT				
STATE OF	NEW JEI	RSEY		)	
	I land	in a service		) ss:	
COUNTY OF	- CA 11C	<u> </u>		)	
On this	├ <i>ト</i> つ day of:	bouac	2017 2016, p	ersonally appeared b	efore me MICHAEL MAN-
CHU LO to me known	, and known by	me to be the sam	e person descri	bed in and who exec	uted the foregoing
instrument, and ackn	owledged that h	e/she executed t	he same, of his,	her own free will for	the purpose set forth.
		1			
(Seal)				,	
(ocur)			na A	KURT	<u> </u>
			Nota	ry Public	

DINA A. KUSTER NOTARY PUBLIC OF NEW JERSEY My Commission Expires 8/7/2020

Worldwide Assignment

Attorney Docket No.: 24258

#### WORLDWIDE ASSIGNMENT

### WHEREAS, I/WE,

1	XIAOLEI GAO	located at	BRIDGEWATER, NJ
2	SANDRA L. KNOWLES	located at	PRINCETON, NJ
3	MICHAEL MAN-CHU LO	located at	BEDMINSTER, NJ
4	ROBERT D. MAZZOLA, JR.	located at	STEWARTSVILLE, NJ
5	DEBRA L. ONDEYKA	located at	FANWOOD, NJ
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	CHUNSING LI	located at	SHANGHAI, CN
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NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

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Executed this	11th day of January	2017 2016
ROBERT D. MAZZOLA, JF	<u>O. Manky</u>	
ACKNOWLEDGEMENT		
STATE OF	NEW JERSEY )	
COUNTY OF	Union ) ss:	
	day of	oregoing
(Seal)	Notary Public	
	DINA A. KUSTER NOTARY PUBLIC OF NEW JERSEY	

My Commission Expires 8/7/2020

Worldwide Assignment

Attorney Docket No.: 24258

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#### WHEREAS, I/WE,

1	XIAOLEI GAO	located at	BRIDGEWATER, NJ
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Attorney Docket No.: 24258 Executed this day of **ACKNOWLEDGEMENT** STATE OF **NEW JERSEY** ) ss: Unon COUNTY OF 2016, personally appeared before me <u>DEBRA L.</u> ONDEYKA to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will for the purpose set forth. (Seal)

Notary Public

DANIEL JENKINS NOTARY PUBLIC OF NEW JERSEY My Commission Emires April 4, 2021

Worldwide Assignment

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2	SANDRA L. KNOWLES	located at	PRINCETON, NJ
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9	CHUNSING LI	located at	SHANGHAI, CN

are the inventors of the invention described in an application for Patents having the Attorney Docket Number indicated above and the following Title of the Invention:

# 6,7-DIHYDRO-5H-PYRROLO[3,4-B]PYRIDIN-5-ONE ALLOSTERIC MODULATORS OF THE M4 MUSCARINIC ACETYLCHOLINE RECEPTOR

and in the following application(s):

U.S. Application No.	15/386.009	having a filing date of	DECEMBER 21, 2016
PCT International Application No.	PCT/CN2015/098382	having a filing date of	DECEMBER 23, 2015
U.S. Provisional Application No.	62/419630	having a filing date of	NOVEMBER 9, 2016

WHEREAS I/WE covenant that the rights and property herein conveyed are free and clear of any encumbrance, and that I/we have the full right to convey the same as herein expressed.

WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter **ASSIGNEE**), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

Computer Generated Template "Assignment-Merck Sharp & Dohme. Multiple Assignees Worldwide" (Assignment) Merck Sharp & Dohme Corp. 07/09/2010

AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of **ASSIGNEE**, without further compensation or charge to said **ASSIGNEE**, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of **ASSIGNEE**, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

I/WE HEREBY authorize **ASSIGNEE** to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Exe	cuted this	10 TH	day of	JANU	ARY		2017
	aan	le be Tun	De .				
ANI	DREW W. STAM	FORD '					
ACF	KNOWLEDGEM	ENT					
	STATE OF	NEW JE	RSEY		)		
	COUNTY OF	_UN'	ION_		) ss: )		
	On thisO	·	Janua	<u>r4</u> ,2017,	personally appo	eared before me	ANDREW W.
STA	MFORD to me k	nown, and know		1			
inst	rument, and ack	nowledged that l	ne/she executed	the same, of hi	s/her own free	will for the purp	ose set forth.
(Sea	al)					/m	

Worldwide Assignment

CHRISTINE J. RAPACKI NOTARY PUBLIC OF NEW JERSEY My Commission Expires January 31, 2018

Notary Public

Attorney Docket No.: 24258

Worldwide Assignment

Attorney Docket No.: 24258

#### WORLDWIDE ASSIGNMENT

### WHEREAS, I/WE,

1	XIAOLEI GAO	located at	BRIDGEWATER, NJ
2	SANDRA L. KNOWLES	located at	PRINCETON, NJ
3	MICHAEL MAN-CHU LO	located at	BEDMINSTER, NJ
4	ROBERT D. MAZZOLA, JR.	located at	STEWARTSVILLE, NJ
5	DEBRA L. ONDEYKA	located at	FANWOOD, NJ
6	ANDREW W. STAMFORD	located at	CHATHAM, NJ
7	FENGQI ZHANG	located at	EDISON, NJ

## (hereinafter ASSIGNOR(S)), together with

8	JIANMING BAO	located at	SHANGHAI, CHINA
9	CHUNSING LI	located at	SHANGHAI, CN

are the inventors of the invention described in an application for Patents having the Attorney Docket Number indicated above and the following Title of the Invention:

# 6,7-DIHYDRO-5H-PYRROLO[3,4-B]PYRIDIN-5-ONE ALLOSTERIC MODULATORS OF THE M4 MUSCARINIC ACETYLCHOLINE RECEPTOR

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AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of **ASSIGNEE**, without further compensation or charge to said **ASSIGNEE**, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of **ASSIGNEE**, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

I/WE HEREBY authorize **ASSIGNEE** to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Executed this day of	2017 2016
FENGOFZHANG	
ACKNOWLEDGEMENT	
STATE OF NEW JERSEY  COUNTY OF  NEW JERSEY  State Of  New Jersey  State Of  New Jersey  State Of  State Of  New Jersey  New Jersey  State Of  New Jersey	
COUNTI OF	
On this day of, and, 2016, personally appeared	l before me <u>FENGQI ZHANG</u>
to me known, and known by me to be the same person described in and who executed to	
acknowledged that he/she executed the same, of his/her own free will for the purpose so	et forth.
(Seal) Hothleen Horva	th
KATHLEEN HORVATH NOTARY PUBLIC STATE OF NEW JERSEY MY COMMISSION EXPIRES FEBRUARY 10, 2019	

Worldwide Assignment

Attorney Docket No.: 24258

RECORDED: 09/12/2017 REEL: 043824 FRAME: 0890