

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4646656

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                                  |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                                      |
| <b>CONVEYING PARTY DATA</b>   |   |
| <b>Name</b>   | <b>Execution Date</b>                           |
| JIAN GAO  | 10/13/2017                                      |
| GENG HAN  | 10/13/2017                                      |
| JIBING DONG   | 10/13/2017                                      |
| SHAOQIN GONG  | 10/13/2017                                      |
| YOUSHEG LIU   | 10/13/2017                                      |
| NAIZHONG CHIU   | 10/11/2017                                      |
| <b>RECEIVING PARTY DATA</b>   |   |
| <b>Name:</b>  | EMC IP HOLDING COMPANY, LLC                     |
| <b>Street Address:</b>  | 176 SOUTH STREET                                |
| <b>City:</b>  | HOPKINTON                                       |
| <b>State/Country:</b>   | MASSACHUSETTS                                   |
| <b>Postal Code:</b>   | 01748   |
| <b>PROPERTY NUMBERS Total: 1</b>  |   |
| <b>Property Type</b>  | <b>Number</b>                                   |
| <b>Application Number:</b>  | 15787187  |
| <b>CORRESPONDENCE DATA</b>  |   |
| <b>Fax Number:</b>  | (617)523-6850                                   |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |   |
| <b>Phone:</b>   | 617-523-2700                                    |
| <b>Email:</b>   | patentdocketing@hklaw.com, toni.sousa@hklaw.com |
| <b>Correspondent Name:</b>  | HOLLAND & KNIGHT LLP                            |
| <b>Address Line 1:</b>  | 10 ST. JAMES AVENUE                             |
| <b>Address Line 4:</b>  | BOSTON, MASSACHUSETTS 02116                     |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 113454.00285/109341                             |
| <b>NAME OF SUBMITTER:</b>   | TONI M. SOUSA                                   |
| <b>SIGNATURE:</b>   | /Toni M. Sousa/                                 |
| <b>DATE SIGNED:</b>   | 10/18/2017                                      |
| <b>Total Attachments: 11</b>  |   |

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## ASSIGNMENT

**WHEREAS**, we, Jian Gao, Geng Han, Jibing Dong, Shaoqin Gong, Yousheng Liu, and Naizhong Chiu, have invented an invention comprising and/or embodying certain improvements or discoveries or both as described in an application for Letters Patent of the United States entitled System and Method for Improving Extent Pool I/O Performance By Introducing Disk Level Credits on Mapped RAID (Application), the specification of which:

☒ [ X ] is being executed on even date herewith and is about to be filed in the United States Patent Office;

☐ [ ] was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_;

☐ [ ] was patented under U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_.

**WHEREAS**, EMC IP Holding Company, LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware and having a usual place of business at 176 South Street, Hopkinton, Massachusetts 01748 desires to acquire an interest therein in accordance with agreements duly entered into with us;

**NOW, THEREFORE**, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey to ASSIGNEE all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

**AND**, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution,

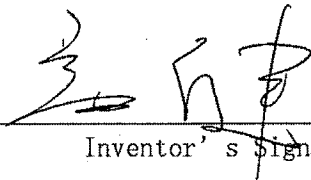
reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

**AND**, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives;

**AND**, we hereby authorize our attorneys, EMC Corporation, to insert here in parenthesis ( ) the application number and filing date of said Application (or foreign counterpart application) to facilitate the recording or other official processing of this Assignment.

**IN TESTIMONY WHEREOF**, we have hereunto set our hands and affixed our seals as set forth below:

Date: 2017, 10.13

  
Inventor's Signature

Print full name of inventor

Jian Gao

Residence

Beijing, China

Citizenship

China

Mailing Address

601# Building 16, Rongfeng 2008

Guanganmen Wai Street, Xicheng District

Beijing, China

I, Sheng, Wang (name of first witness), whose residential address is

Tsinghua Science Park, Block D, 7F, Zhong Guan Cun Base Rd, No. 1, Haidian, BJ  
was personally present and did see Jian Gao (name of person signing the assignment), who is personally known to me, execute the above assignment.

Sheng, Wang (signature of first witness)

Signed at Same as above (location of witness signature)

on this day, 10/13, 2017 (date of signature).

I, Chun Ma (name of second witness), whose residential address is

Tsinghua Science Park, Block D, 7F, Haidian District, BJ, China

was personally present and did see Jian Gao (name of person signing the assignment), who is personally known to me, execute the above assignment.

Chun Ma (signature of second witness)  
Signed at Same as above (location of witness signature)  
on this day, 10/13, 2017 (date of signature).

Date: 2017.10.13

[Signature]  
Inventor's Signature

Print full name of inventor: Geng Han  
Residence: Beijing, China

Citizenship: China  
Mailing Address: 2-1-601, Block 1, ShangDiDongLi  
Haidian District, Beijing, China

I, Sheng Wang (name of first witness), whose residential address is

Tsinghua Science Park Block D, 7F, ZhongGuoGu Base Rd, NO.1, Haidian District, BJ

was personally present and did see Geng Han (name of person signing the assignment), who is personally known to me, execute the above assignment.

Sheng Wang (signature of first witness)  
Signed at Same as above (location of witness signature)  
on this day, 10/13, 2017 (date of signature).

I, Chun Ma (name of second witness), whose residential  
address is

~~Same as above~~ 7F, Block D, Tsinghua Science Park, Haidian District, BJ, China  
was personally present and did see Geng Han (name of person signing the  
assignment), who is personally known to me, execute the above assignment.

Chun Ma (signature of second witness)  
Signed at 7F, Block D, Tsinghua Science Park, Haidian District <sup>BJ, China</sup> (location of witness  
signature)

on this day, 10/13, 2017 (date of signature).

Date: 2017/10/13

Jibing Dong  
Inventor's Signature

Print full name of inventor: Jibing Dong  
Residence: Beijing, China

Citizenship: China  
Mailing Address: 2-2903 Building 5, Zaoyuanxiang No. 3  
Qingyuan Street, Daxing District  
Beijing, China

I, Sheng Wang (name of first witness), whose residential address is

Tsinghua Science Park Block D, 7F, Zhongguancun East Rd. No.1, Haidian District, Beijing  
was personally present and did see Jibing Dong (name of person signing the assignment), who is personally known to me, execute the above assignment.

Sheng Wang (signature of first witness)  
Signed at Same as above (location of witness signature)

on this day, 10/13, 2017 (date of signature).

I, Chuan Ma (name of second witness), whose residential address is

7F, Block D, Tsinghua Science Park, Haidian District, BJ, China



was personally present and did see Jibing Dong (name of person signing the assignment), who is personally known to me, execute the above assignment.

Chun Ma (signature of second witness)  
Signed at Same as above (location of witness signature)  
on this day, 10/13, 2017 (date of signature).

Date: 2017.10.13

Gong Shaoqin  
Inventor's Signature

Print full name of inventor: Shaoqin Gong  
Residence: Beijing, China  
Citizenship: China  
Mailing Address: No. 29, Chengfu Road  
Haidian District  
Beijing, China

I, Sheng Wang (name of first witness), whose residential address is

Tsinghua Science Park Block D, TF, Zhongguancun East Rd. No. 1, Haidian District, Beijing  
was personally present and did see Shaoqin Gong (name of person signing the assignment), who is personally known to me, execute the above assignment.

Sheng Wang (signature of first witness)  
Signed at Same as above (location of witness signature)

on this day, 10/13, 2017 (date of signature).

I, Chun Ma (name of second witness), whose residential address is

77, Block D, Tsinghua Science Park, Haidian District, B7, China  
was personally present and did see Shaoqin Gong (name of person signing the assignment), who is personally known to me, execute the above assignment.

Chun Ma (signature of second witness)

Signed at Same as above (location of witness signature)

on this day, 10/13, 2017 (date of signature).

Date: 2017.12.13

Liu Yousheng

Inventor's Signature

Print full name of inventor:

Yousheng Liu

Residence:

Beijing, China

Citizenship:

China

Mailing Address:

Room 1702, Unit 1, Building 12, No. 8  
Shenghe Lane, Qingyuan Road, Daxing  
District  
Beijing, China

I, Sheng. Wang (name of first witness), whose residential address is

Tsinghua Science Park Block D 7F, Zhongguancun Base Rd, No.1, Haidian District, Beijing

was personally present and did see Yousheng Liu (name of person signing the assignment), who is personally known to me, execute the above assignment.

Sheng. Wang (signature of first witness)

Signed at same as above (location of witness signature)

on this day, 16/13, 2017 (date of signature).

I, Chun Ma (name of second witness), whose residential address is

77, Block D, Tsinghua Science Park, Haidian District, BJ, China  
was personally present and did see Yousheng Liu (name of person signing the assignment), who is personally known to me, execute the above assignment.

Chun Ma (signature of second witness)  
Signed at Same as above (location of witness signature)  
on this day, 10/13, 2017 (date of signature).

Date:

10-11-2017

  
Inventor's Signature

Print full name of inventor:

Naizhong Chiu

Residence:

Newton, MA


Citizenship:

United States

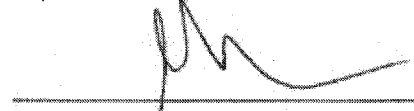
Mailing Address:

15 Shumaker Path  
Newton, MA 02459

I, Ping Zhang (name of first witness), whose residential address is  
23 Trowbridge Ln. Shrewsbury MA  
was personally present and did see Naizhong Chiu (name of person signing the assignment), who  
is personally known to me, execute the above assignment.

 (signature of first witness)  
Signed at 228 South St, Hopkinton MA (location of witness signature)  
on this day, Oct 11th, 2017 (date of signature).

I, Greg LARSEN (name of second witness), whose residential address is  
10 Rockdale Mill Circle Upton, MA  
was personally present and did see Naizhong Chiu (name of person signing the assignment), who  
is personally known to me, execute the above assignment.

 (signature of second witness)  
Signed at 228 South Street Hopkinton, MA (location of witness signature)  
on this day, 10/11, 2017 (date of signature).