#### 504556266 09/20/2017

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4602976

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
DAVID GLAUBMAN	05/17/2016
INDERAJ BAINS	07/21/2013
PHILIPPE STEPHAN	06/22/2013
ANDERS ERICSON	06/23/2010
CODY STUMPO	06/13/2013
BRONISLAVA SIGAL	04/24/2014
AMBICA RAJAGOPAL	06/18/2013

## **RECEIVING PARTY DATA**

Name:	RISK MANAGEMENT SOLUTIONS, INC.
Street Address:	7575 GATEWAY BLVD.
City:	NEWARK
State/Country:	CALIFORNIA
Postal Code:	94560

## **PROPERTY NUMBERS Total: 3**

Property Type	Number
Application Number:	13914774
Application Number:	61658595
PCT Number:	US2013045080

## **CORRESPONDENCE DATA**

Fax Number: (408)414-1076

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (408) 414-1080

Email: rdunn@h35g.com,tmeikle@h35g.com

HICKMAN PALERMO BECKER BINGHAM LLP Correspondent Name:

Address Line 1: 1 ALMADEN BLVD, FLOOR 12 Address Line 4: SAN JOSE, CALIFORNIA 95113

ATTORNEY DOCKET NUMBER: 60472-0016 NAME OF SUBMITTER: TAMMY L. MEIKLE

**PATENT** REEL: 043923 FRAME: 0465 504556266

**SIGNATURE:** /TammyLMeikle#73702/ **DATE SIGNED:** 09/20/2017 **Total Attachments: 24** source=13914774 Assignment asfiled#page1.tif source=13914774 Assignment asfiled#page2.tif source=13914774 Assignment asfiled#page3.tif source=13914774 Assignment asfiled#page4.tif source=13914774 Assignment asfiled#page5.tif source=13914774 Assignment asfiled#page6.tif source=13914774 Assignment asfiled#page7.tif source=13914774 Assignment asfiled#page8.tif source=13914774 Assignment asfiled#page9.tif source=13914774 Assignment asfiled#page10.tif source=13914774 Assignment asfiled#page11.tif source=13914774 Assignment asfiled#page12.tif source=13914774\_Assignment\_asfiled#page13.tif source=13914774\_Assignment\_asfiled#page14.tif source=13914774 Assignment asfiled#page15.tif source=13914774 Assignment asfiled#page16.tif source=13914774\_Assignment\_asfiled#page17.tif source=13914774 Assignment asfiled#page18.tif source=13914774 Assignment asfiled#page19.tif source=13914774 Assignment asfiled#page20.tif source=13914774 Assignment asfiled#page21.tif source=13914774 Assignment asfiled#page22.tif source=13914774 Assignment asfiled#page23.tif source=13914774 Assignment asfiled#page24.tif

> PATENT REEL: 043923 FRAME: 0466

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to **RISK MANAGEMENT SOLUTIONS, INC.,** a California Corporation, having a place of business at 7575 Gateway Blvd., Newark, CA 94560 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

- 1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the following non-provisional application filed under 35 U.S.C. § 111(a), international application filed according to the Patent Cooperation Treaty (PCT), or U.S. national phase application filed under 35 U.S.C. § 371 ("APPLICATION"):
  - Application No. 13/914,774, entitled "Predicting and Managing Impacts from Catastrophic Events," filed on June 11, 2013, and Patent Cooperation Treaty (PCT) Application No. PCT/US2013/045080 filed on June 11, 2013, claiming priority to U.S. provisional application No. 61/658,595 filed on June 12, 2012.
  - 2. The entire worldwide right, title, and interest in and to:
- (a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and other applications related thereto that have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) that may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATION and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not

1 of 3

25853/23662/DOCS/2936584.1

Title:	Predicting and Managing Impacts from Catastrophic Events
Filed:	June 11, 2013
Application No.:	13/914,774

hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other authority for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

By signing below, INVENTOR further attests to the following:

- The APPLICATION was made or authorized to be made by INVENTOR.
- INVENTOR believes that INVENTOR is the original inventor or an original joint inventor of a claimed invention in the APPLICATION.

Name and Signature	Date of Signature	
David Glaubman	17 Mey 2016	
Name and Signature	Date of Signature	
Inderaj Bains		
Name and Signature	Date of Signature	
Philippe Stephan		

Fitle: Filed: Application No.:	Predicting and Mana June 11, 2013 13/914,774	aging Impacts from Catastrophic Events
Name and Signature		Date of Signature
Anders Ericson	<del></del>	
Name and Signature		Date of Signature
Cody Stumpo		
Name and Signature		Date of Signature
Bronislava Sigal	-	·
Name and Signature		Date of Signature

Ambica Rajagopal

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25853/23662/DOCS/2936584-1

Title:
8728 a.d.

Predicting and Managing Impacts from Catastrophic Events

Application No.:

13/914,774 June 11, 2013

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Name and Signature	Date of Signature
David Glaubman	
Name and Signature  Indexaj Bains	$\frac{\text{Date of Signature}}{7/21/2013}$
Name and Signature	Date of Signature
Philippe Stephan	

Title: Filed: Application No.:		Predicting and Managing Impacts from Catastrophic Events June 11, 2013 13/914,774	
<u>N</u>	Name and Signature		Date of Signature
Ā	Anders Ericson		
<u>N</u>	Name and Signature		Date of Signature
7	Cody Stumpo		
<u>N</u>	Name and Signature		Date of Signature
B	Bronislava Sigal		
<u>N</u>	Name and Signature		Date of Signature

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Title:
Filed:

Predicting and Managing Impacts from Catastrophic Events

Application No.:

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Name and Signature	Date of Signature
David Glaubman	
Name and Signature	Date of Signature
Inderaj Bains	
Name and Signature	Date of Signature
Philippe Stenhan	and the second s

: l: lication No.:	Predicting and Man 13/914,774 June 11, 2013	aging Impacts from Catastrophic Events
Name and Signature		Date of Signature
Anders Ericson		***************************************
Name and Signature		Date of Signature
Cody Stumpo	<del>K</del>	
		Date of Signature

Date of Signature

Bronislava Sigal

Name and Signature

Ambica Rajagopal

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Title: Predicting and Managing Impacts from Catastrophic Events
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Inderaj Bains	_
Name and Signature	Date of Signature
Philippe Stephan	_

e: d: dication No.:	13/914,774 June 11, 2013	iging Impacts from Catastrophic Events
Name and Signature		Date of Signature
Anders Ericson		
Name and Signature		Date of Signature
Cody Stumpo	444-433003-40000000000000	
Name and Signature		Date of Signature
Bronislava Sigal		04/24/2014
Name and Signature		Date of Signature
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Title:	Predicting and Managing Impacts from Catastrophic Events
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David Glaubman	
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Philippe Stephan	

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Name and Signature	Date of Signature
Anders Ericson	
Name and Signature	Date of Signature
Cody Stumpo	<del></del>
Name and Signature	Date of Signature
Bronislava Sigal	
Name and Signature	Date of Signature
Ambica Rajagopal	6/18/2013

3 of 3

## EMPLOYEE PROPRIETARY INFORMATION, INVENTIONS, AND NON-SOLICITATION AGREEMENT

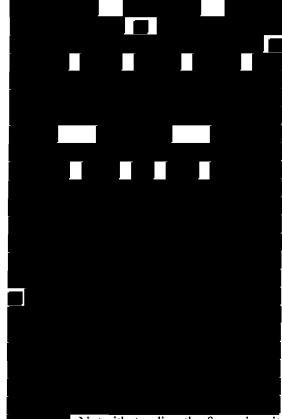
## [FOR USE WITH CALIFORNIA-BASED EMPLOYEES]

In consideration of my employment or continued employment by Risk Management Solutions, Inc. (the "Company" or "RMS") and the compensation now and hereafter paid to me, I hereby agree as follows:

## 1. NONDISCLOSURE

Recognition of Company's 1.1 Rights; Nondisclosure. At all times during my employment and thereafter, I will hold in strictest confidence and will not disclose, use, lecture upon or publish any of the Company's Proprietary Information (defined below), except as such disclosure, use or publication may be required in connection with my work for the Company, or unless an officer of the Company expressly authorizes such in writing. I will obtain Company's written approval before publishing or submitting for publication any material (written, verbal, or otherwise) that relates to my work at the Company and/or incorporates any Proprietary Information. I hereby assign to the Company any rights I may have or acquire in such Proprietary Information and recognize that all Proprietary Information shall be the sole property of the Company and its assigns.

1.2 Proprietary Information. The term "Proprietary Information" shall mean any and all confidential and/or proprietary knowledge, data or information of the Company. By way of illustration but not limitation, "Proprietary Information" includes



Notwithstanding the foregoing, it is understood that, at all such times, I am free to use information which is generally known in the trade or industry, which is not gained as result of any violation of any right of the Company, including without limitation a breach of this Agreement, and my own, skill, knowledge, know-how and experience to whatever extent and in whichever way I wish.

PATENT REEL: 043923 FRAME: 0482

- 1.3 Third Party Information. I understand, in addition, that the Company has received and in the future will receive from third parties confidential or proprietary information ("Third Party Information") subject to a duty on the Company's part to maintain the confidentiality of such information and to use it only for certain limited purposes. During the term of my employment and thereafter, I will hold Third Party Information in the strictest confidence and will not disclose to anyone (other than Company personnel who need to know such information in connection with their work for the Company) or use, except in connection with my work for the Company, Third Party Information unless expressly authorized by an officer of the Company in writing.
- 1.4 No Improper Use of Information of Prior Employers and Others. During my employment by the Company, I will not improperly use or disclose any confidential information or trade secrets, if any, of any former employer or any other person to whom I have an obligation of confidentiality, and I will not bring onto the premises of the Company any unpublished documents or any property belonging to any former employer or any other person to whom I have an obligation of confidentiality unless consented to in writing by that former employer or person. I will use in the performance of my duties only information which is generally known and used by persons with training and experience comparable to my own, which is common knowledge in the industry or otherwise legally in the public domain, or which is otherwise provided or developed by the Company.

#### 2. ASSIGNMENT OF INVENTIONS.

- 2.1 Proprietary Rights. The term "Proprietary Rights" shall mean all trade secret, patent, copyright and other intellectual property rights throughout the world.
- 2.2 Prior Inventions. Inventions, if any, patented or unpatented, which I made prior to the commencement of employment with the Company are excluded from the scope of this Agreement. preclude any possible uncertainty, I have set forth on Exhibit B (Previous Inventions) attached hereto a complete list of all Inventions that I have, alone or jointly with others, conceived, developed or reduced to practice prior to the commencement of my employment with the Company, that I consider to be my property or the property of third parties and that I wish to have excluded from the scope of this Agreement (collectively referred to as "Prior Inventions"). If disclosure of any such Prior Invention would cause me to violate any prior confidentiality agreement, I understand that I am not to list such Prior Inventions in Exhibit B but am only to disclose a cursory name for each such invention, a listing of the party(ies) to whom it belongs and the fact that full disclosure as to such inventions has not been made for that reason. A space is provided on Exhibit B for such purpose. If no such disclosure is attached. I represent that there are no Prior Inventions. If, in the course of my employment with the Company, I incorporate a Prior Invention into a Company product, process or machine, the Company is hereby granted and shall have a nonexclusive, royalty-free, irrevocable, perpetual, worldwide license (with rights to sublicense through multiple tiers of sublicensees) to make, have made,

modify, use and sell such Prior Invention. Notwithstanding the foregoing, I agree that I will not incorporate, or permit to be incorporated, Prior Inventions in any Company Inventions without the Company's prior written consent.

- 2.3 Assignment of Inventions. I hereby assign and agree to assign in the future (when any such Inventions or Proprietary Rights are first reduced to practice or first fixed in a tangible medium, as applicable) to the Company all my right, title and interest in and to any and all Inventions (and all Proprietary Rights with respect thereto) whether or not patentable or registrable under copyright or similar statutes, made or conceived or reduced to practice or learned by me, either alone or jointly with others, during the period of my employment with the Company. Inventions assigned to the Company, or to a third party as directed by the Company pursuant to this Section 2, are hereinafter referred to as "Company Inventions."
- 2.4 Nonassignable Inventions. This Agreement does not apply to an invention which qualifies fully as a nonassignable Invention under Section 2870 of the California Labor Code (hereinafter "Section 2870"). I have reviewed the notification on Exhibit A (Limited Exclusion Notification) and agree that my signature acknowledges receipt of the notification.
- 2.5 Obligation to Keep Company Informed. During the period of my employment with the Company, I will promptly disclose to the Company fully and in writing all Inventions authored, conceived or reduced to practice by me, either alone or jointly with others. In addition, I will

promptly disclose to the Company all patent applications filed by me or on my behalf prior to termination of employment. For a six (6) months following period of termination of my employment with the Company, I will promptly disclose to the Company fully and in writing all Inventions authored, conceived or reduced to practice by me, either alone or jointly with others, which Inventions or patent applications directly relate to the field of my work at the Company at the time of termination or within three (3) years prior thereto. At the time of each such disclosure, I will advise the Company in writing of any Inventions that I believe fully qualify for protection under Section 2870; and I will at that time provide to the Company in writing all evidence necessary to substantiate that The Company will keep in belief. confidence and will not use for any purpose or disclose to third parties without my confidential consent any information disclosed in writing to the Company pursuant to this Agreement relating to Inventions that qualify fully for protection under the provisions of Section 2870. I will preserve the confidentiality of any Invention that does not fully qualify for protection under Section 2870.

- 2.6 Government or Third Party. I also agree to assign all my right, title and interest in and to any particular Company Invention to a third party, if and as directed by the Company.
- 2.7 Works for Hire. I acknowledge that all original works of authorship which are made by me (solely or jointly with others) within the scope of my employment and which are protectable by copyright are "works made for hire" pursuant to United

States Copyright Act (17 U.S.C., Section 101).

**Enforcement of Proprietary** Rights. I will assist the Company in every proper way to obtain, and from time to time enforce. United States and foreign Proprietary Rights relating to Company Inventions in any and all countries. To that end I will execute, verify and deliver such documents and perform such other acts (including appearances as a witness) as the Company may reasonably request for use in for, obtaining, perfecting, applying evidencing, sustaining and enforcing such Proprietary Rights and the assignment thereof. In addition, I will execute, verify and deliver assignments of such Proprietary Rights to the Company or its designee. My obligation to assist the Company with respect to Proprietary Rights relating to such Company Inventions in any and all countries shall continue beyond the termination of my employment, but the Company shall compensate me at a reasonable rate (including reasonable travel expenses) after my termination for the time actually spent by me at the Company's request on such assistance. The Company shall defend, indemnify, and hold me harmless from any claims arising with regard to my actions as required by the Company pursuant to this Section 2.8.



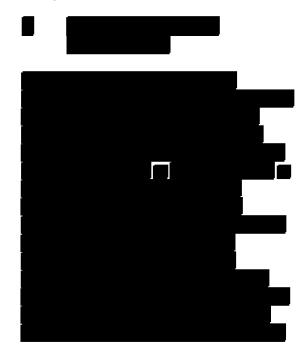
#### 3. RECORDS.

I agree to keep and maintain adequate and current records (in the form of notes,

sketches, drawings and in any other form that may be required by the Company) of all Proprietary Information developed by me and all Inventions made by me during the period of my employment at the Company, which records shall be available to and remain the sole property of the Company at all times.

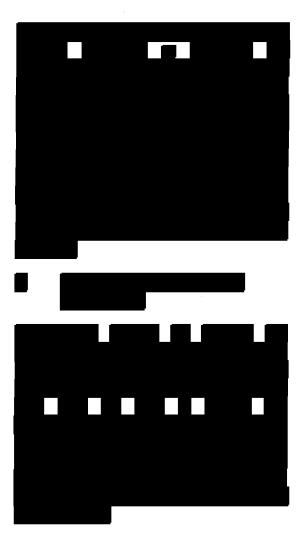
## 4. NO CONFLICT OF INTEREST OBLIGATION.

To the extent permitted under applicable laws, I agree that during the period of my employment by the Company I will not, directly or indirectly and without the Company's express written consent, either as an employee, employer, consultant, agent, principal, partner, stockholder, corporate officer, director or in any other individual or representative capacity, engage or participate in any employment or business activity which conflicts with, my employment by the Company.



4





## 9. NOTICES.

Any notices required or permitted hereunder shall be given to the appropriate party at the address specified below or at such other address as the party shall specify in writing. Such notice shall be deemed given upon personal delivery to the appropriate address or if sent by certified or registered mail, three (3) days after the date of mailing.

# 10. NOTIFICATION OF NEW EMPLOYER.

In the event that I leave the employ of the Company, I hereby consent to the notification of my new employer of my rights and obligations under this Agreement.

#### 11. GENERAL PROVISIONS.

11.1 Governing Law; Consent to Personal Jurisdiction. This Agreement will be governed by and construed according to the laws of the State of California, as such laws are applied to agreements entered into and to be performed entirely within California between California residents. I hereby expressly consent to the personal jurisdiction of the state and federal courts located in the county in California in which the Company maintains its principal place of business for any lawsuit filed there against me by the Company arising from or related to this Agreement.

11.2 Severability. In case any one or more of the provisions contained in this Agreement shall, for any reason, be held to be invalid, illegal or unenforceable in any respect, such invalidity, illegality or unenforceability shall not affect the other provisions of this Agreement, and this Agreement shall be construed as if such invalid, illegal or unenforceable provision had never been contained herein. moreover, any one or more of the provisions contained in this Agreement shall for any reason be held to be excessively broad as to duration, geographical scope, activity or subject, it shall be construed by limiting and reducing it, so as to be enforceable to the extent compatible with the applicable law as it shall then appear.

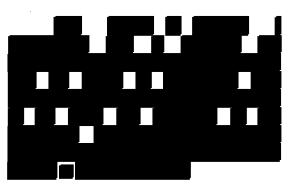
11.3 Successors and Assigns. This Agreement will be binding upon my heirs,

executors, administrators and other legal representatives and will be for the benefit of the Company, its successors, and its assigns.

11.4 Survival. The provisions of this Agreement shall survive the termination of my employment and the assignment of this Agreement by the Company to any successor in interest or other assignee.



11.6 Waiver. No waiver by the Company of any breach of this Agreement shall be a waiver of any preceding or succeeding breach. No waiver by the Company of any right under this Agreement shall be construed as a waiver of any other right. The Company shall not be required to give notice to enforce strict adherence to all terms of this Agreement.



11.8 Entire Agreement. The obligations pursuant to Sections 1 and 2 of this Agreement shall apply to any time during which I was previously employed, or

am in the future employed, by the Company or as a consultant to the Company if no other agreement governs nondisclosure and assignment of inventions during such period. This Agreement is the final, complete and exclusive agreement of the parties with respect to the subject matter hereof and supersedes and merges all prior discussions between us. No modification of or amendment to this Agreement, nor any waiver of any rights under this Agreement, will be effective unless in writing and signed

by the party to be charged. Any subsequent change or changes in my duties, salary or compensation will not affect the validity or scope of this Agreement.

This Agreement shall be effective as of the first day of my employment with the Company and supersedes any prior agreement concerning the subject matter contained herein.

I HAVE READ THIS AGREEMENT CAREFULLY AND UNDERSTAND ITS TERMS. I HAVE COMPLETELY FILLED OUT EXHIBITS A AND B TO THIS ACREEMENT.

(Signature)

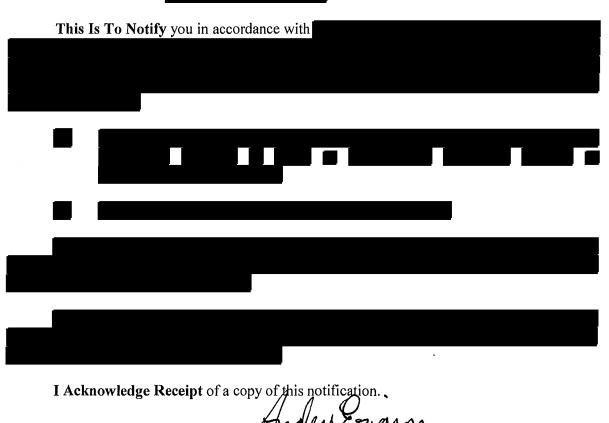
Anders Ericson

(Printed Name)

Dated: 6/23/10

## **EXHIBIT A**

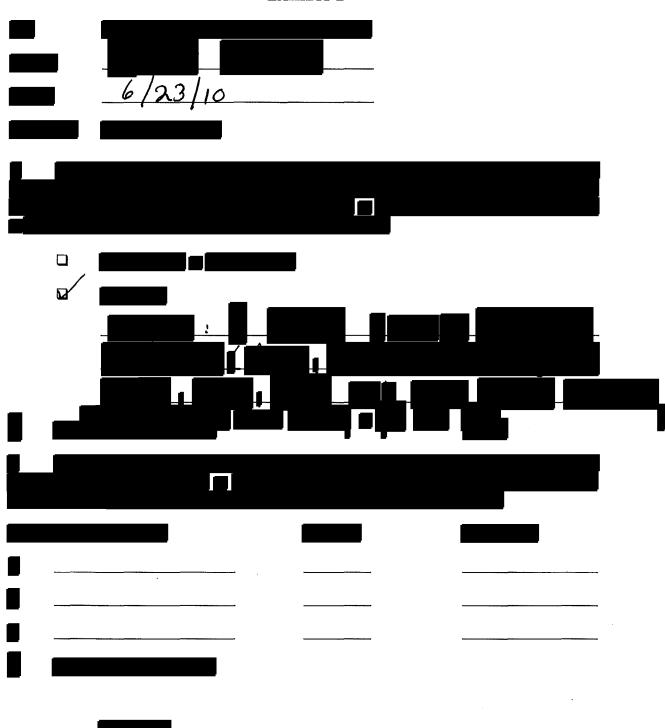
## NOTIFICATION



By: Auders Ericson
(Printed Name of Employee)

Date: 4/23/10

**EXHIBIT B** 



9

PATENT REEL: 043923 FRAME: 0490

**RECORDED: 09/20/2017**