

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4653640

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
KENYA SUZUKI	09/12/2017
KEI KUWABARA	09/12/2017
YUICHI HIGUCHI	09/12/2017
MITSUMASA NAKAJIMA	09/12/2017
YUICHIRO IKUMA	09/12/2017
OSAMU MORIWAKI	09/12/2017
TETSUO TAKAHASHI	09/12/2017
NAOKI OOBA	09/12/2017
YUZO ISHII	09/12/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	NIPPON TELEGRAPH AND TELEPHONE CORPORATION
<b>Street Address:</b>	5-1, OTEMACHI 1-CHOME
<b>Internal Address:</b>	CHIYODA-KU
<b>City:</b>	TOKYO
<b>State/Country:</b>	JAPAN
<b>Postal Code:</b>	1008116
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15568755
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(801)328-1707
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	801-533-9800
<b>Email:</b>	Docketing@wnlaw.com
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<b>ATTORNEY DOCKET NUMBER:</b>	14321.221

PATENT

<b>NAME OF SUBMITTER:</b>	DANA L. TANGREN, REG. NO. 37246
<b>SIGNATURE:</b>	/DANA L. TANGREN 37246/
<b>DATE SIGNED:</b>	10/23/2017
	This document serves as an Oath/Declaration (37 CFR 1.63).
<b>Total Attachments: 12</b> source=14321-221-Assignment#page1.tif source=14321-221-Assignment#page2.tif source=14321-221-Assignment#page3.tif source=14321-221-Assignment#page4.tif source=14321-221-Assignment#page5.tif source=14321-221-Assignment#page6.tif source=14321-221-Assignment#page7.tif source=14321-221-Assignment#page8.tif source=14321-221-Assignment#page9.tif source=14321-221-Assignment#page10.tif source=14321-221-Assignment#page11.tif source=14321-221-Assignment#page12.tif	

**COMBINED DECLARATION and ASSIGNMENT**  
(Utility, Design, National Stage of PCT)

**TITLE OF INVENTION:<sup>2</sup>**

**OPTICAL SIGNAL PROCESSING DEVICE**

As a below named inventor, I hereby declare that:

**SPECIFICATION IDENTIFICATION**

This declaration and assignment is directed to:

*(complete (a), (b), or (c))<sup>3</sup>*

- (a) ☐ The attached application (United States Application No. \_\_\_\_\_,  
filed on \_\_\_\_\_);
- (b) ☐ Previously filed United States Application No. \_\_\_\_\_,  
filed on \_\_\_\_\_; or
- (c) ☒ PCT International Application No. PCT/JP2016/002242,  
filed on April 28, 2016 (nationalized as United States Application  
No. 15/568610, filed on October 23, 2017).

I hereby authorize the patent attorneys and/or patent agents of Workman Nydegger to insert the  
above Application No(s). and filing date(s) when known.

**DECLARATION AND ACKNOWLEDGEMENT**

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the  
above-identified application.

I hereby state that I have reviewed and understand the contents of the above-identified  
application, including the claim(s).

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

#### ASSIGNMENT<sup>4</sup>

The Assignee, NIPPON TELEGRAPH AND TELEPHONE CORPORATION, having a principal place of business at 5-1, Otemachi 1-chome, Chiyoda-ku, Tokyo 1008116 Japan, desires to secure the entire right, title and interest in the above-identified application.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to me by the Assignee, the receipt and sufficiency of which is hereby acknowledge, I HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in the above-identified application and in all divisions, continuations and continuations-in-part of said application and in all patents issuing thereon in the United States and in all reissues or extensions of patents granted thereon.

The right to claim priority to or the benefit of any prior related United States or foreign patent applications including under all applicable treaties and conventions.

I hereby authorize and request the United States Commissioner of Patents and Trademarks, to issue any and all patents on said application to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

I hereby agree, without further consideration, to sign all lawful papers and to perform all other lawful acts which the Assignee may request me to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, continuation, continuation-in-part, substitute, reissue, and other United States applications and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

With regard to the above-identified application and applications claiming priority thereto, cooperate to the best of my ability in: (1) proceedings relating to nullification, reissue, extension, post grant, inter parties, derivation, supplemental examination, and infringement; (2) execution of all lawful documents; and (3) the production of evidence.

This assignment and agreement shall be binding upon my heirs and legal representatives.

**SIGNATURE(S)<sup>5</sup>**

*NOTE: Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other documents.*

Full name of sole or first inventor

Kenya SUZUKI  
(GIVEN NAME) (MIDDLE INITIAL OR NAME) FAMILY (OR LAST NAME)  
Inventor's signature Kenya Suzuki  
Date September 12, 2017 Country of Citizenship Japan  
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Inventor's signature Mitsumasa Nakajima  
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Full name of fifth joint inventor, if any

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(FAMILY (OR LAST NAME))  
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Full name of sixth joint inventor, if any

Osamu  
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Tetsuo  
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Full name of eighth joint inventor, if any

Naoki  
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Inventor's signature \_\_\_\_\_

Date September 12, 2017 Country of Citizenship Japan

Residence Yokohama-shi Japan  
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1-32, Shin-urashimacho 1-chome, Kanagawa-ku, Yokohama-shi,  
Kanagawa 2210031 Japan

Full name of ninth joint inventor, if any

Yuzo <i>(GIVEN NAME)</i>	 <i>(MIDDLE INITIAL OR NAME)</i>	ISHII <i>(FAMILY (OR LAST NAME))</i>
Inventor's signature .....		
Date	September 12, 2017	Country of Citizenship Japan
Residence	Yokohama-shi <i>(city)</i>	Japan <i>(State or Country)</i>
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Full name of sole or first inventor

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Full name of ninth joint inventor, if any

Yuzo		ISHII
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Inventor's signature <u>Yuzo Ishii</u>		
Date <u>August 28, 2017</u>	Country of Citizenship	<u>Japan</u>
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