# 504621372 11/01/2017

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

# **CONVEYING PARTY DATA**

Name	Execution Date
SIGMA ELECTRIC MANUFACTURING CORPORATION	10/31/2017

# **RECEIVING PARTY DATA**

Name:	ARES CAPITAL CORPORATION
Street Address:	245 PARK AVENUE, 44TH FLOOR
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10167

# **PROPERTY NUMBERS Total: 18**

Property Type	Number
Patent Number:	8445778
Patent Number:	6976857
Patent Number:	8129634
Patent Number:	6992252
Patent Number:	7129413
Patent Number:	7137721
Patent Number:	8857039
Patent Number:	D641904
Patent Number:	8803008
Patent Number:	8901441
Patent Number:	9231388
Patent Number:	9263868
Patent Number:	9281671
Patent Number:	9437959
Application Number:	14296475
Application Number:	14206027
Application Number:	14701669
Application Number:	15434579

#### **CORRESPONDENCE DATA**

PATENT REEL: 044002 FRAME: 0214

504621372

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ATTORNEY DOCKET NUMBER:	11668-267
NAME OF SUBMITTER:	CAITLIN M. O'HERN
SIGNATURE:	/Caitlin M. O'Hern/
DATE SIGNED:	11/01/2017

#### **Total Attachments: 8**

source=Patent Security Agreement#page1.tif source=Patent Security Agreement#page2.tif source=Patent Security Agreement#page3.tif source=Patent Security Agreement#page4.tif source=Patent Security Agreement#page5.tif source=Patent Security Agreement#page6.tif source=Patent Security Agreement#page7.tif source=Patent Security Agreement#page8.tif

#### PATENT SECURITY AGREEMENT

THIS PATENT SECURITY AGREEMENT (this "<u>Agreement</u>"), dated as of October 31, 2017 is entered into by and between SIGMA ELECTRIC MANUFACTURING CORPORATION, a Delaware corporation (the "<u>Grantor</u>"), having its chief executive office at 120 Sigma Drive, Garner, Wake County, NC 27529, and ARES CAPITAL CORPORATION, as Collateral Agent (the "<u>Collateral Agent</u>"), with offices at 245 Park Avenue, 44<sup>th</sup> Floor, New York, NY 10167, for the ratable benefit of the Secured Parties.

This Agreement is executed pursuant to the terms of (a) the Credit and Guaranty Agreement, dated October 31, 2017 (as amended, restated, amended and restated, supplemented or otherwise modified from time to time, the "Credit Agreement"), entered into by and among Sunk Rock Foundry Partners LP, a Delaware limited partnership, Hatteras Electrical Manufacturing Holding Company, a Delaware corporation ("HEMC"), Sigma Electric Manufacturing Corporation, a Delaware corporation ("SEMC"), Diecast Beacon S.à r.l., a private limited liability company (société à responsabilité limitée), organized and established under the laws of the Grand Duchy of Luxembourg, having its registered office at 5, rue Guillaume Kroll, L-1882 Luxembourg and registered with the Luxembourg Register of Commerce and Companies (RCS Luxembourg) under number B 207.570, Copper Beacon S.à r.l., a private limited liability company (société à responsabilité limitée), organized and established under the laws of the Grand Duchy of Luxembourg, having its registered office at 5, rue Guillaume Kroll, L-1882 Luxembourg, Grand Duchy of Luxembourg, and registered with the Luxembourg Register of Commerce and Companies (RCS Luxembourg) under number B 208.355 ("Lux C"), Aluminium Beacon S.à.r.l, a private limited liability company (société à responsabilité limitée), organized and established under the laws of the Grand Duchy of Luxembourg, having its registered office at 5, rue Guillaume Kroll, L-1882 Luxembourg and registered with the Luxembourg Register of Commerce and Companies (RCS Luxembourg) under number B 208.343, Aluminim Beacon GP S.à r.l., a private limited liability company (société à responsabilité limitée), organized and established under the laws of the Grand Duchy of Luxembourg, having its registered office at 5, rue Guillaume Kroll, L-1882 Luxembourg and registered with the Luxembourg Register of Commerce and Companies (RCS Luxembourg) under number B 208.344, the Guarantors referred to therein who are or may become party thereto, the Lenders from time to time party thereto and Ares Capital Corporation, as Administrative Agent and (b) the Tranche A-2 U.S. Collateral Agreement, dated October 31, 2017 (as amended, restated, supplemented or otherwise modified from time to time, the "Collateral Agreement"), executed by Lux C, HEMC, SEMC and certain of their Subsidiaries as Grantors in favor of the Collateral Agent, for the ratable benefit of the Secured Parties. Capitalized terms used herein but not defined herein shall have the meanings assigned to them in the Credit Agreement or the Collateral Agreement, as applicable.

NOW, THEREFORE, for good and valuable consideration, receipt and sufficiency of which are hereby acknowledged, the Grantor hereby confirms the grant pursuant to the Collateral Agreement, to the Collateral Agent, for the ratable benefit of the Secured Parties, of a security interest in all of the Grantor's right, title and interest in the following, whether now owned or at any time hereafter acquired by the Grantor or in which the Grantor now has or at any time in the future may acquire any right, title or interest, and wherever located or deemed located, all Patents of the Grantor, including, without limitation, the Patents listed on Schedule A.

The rights and remedies of the Collateral Agent, on behalf of the Secured Parties, with respect to the security interest granted herein are without prejudice to, and are in addition to those set forth in the Collateral Agreement, all terms and provisions of which are incorporated herein by reference. In the event that any provisions of this Agreement are deemed to conflict with the Collateral Agreement, the provisions of the Collateral Agreement shall govern.

AmericasActive:11342121.3

This Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed signature page of this Agreement by facsimile transmission or email transmission (including .pdf delivery) shall be effective as delivery of a manually executed counterparty hereof.

THIS AGREEMENT SHALL BE GOVERNED BY, CONSTRUED AND ENFORCED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK, WITHOUT REFERENCE TO THE CONFLICTS OR CHOICE OF LAW PRINCIPLES THEREOF.

[Signature Pages Follow]

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IN WITNESS WHEREOF, the undersigned have executed this Patent Security Agreement as of the date first written above.

SIGMA ELECTRIC MANUFACTURING CORPORATION, as Grantor

Title: Executive Vice President, Global CFO and Company

Secretary

[Signature Page to Patent Security Agreement]

# ARES CAPITAL CORPORATION, as Collateral Agent

Title: Authorized Signatory

REEL: 044002 FRAME: 0219

# Schedule A to Patent Security Agreement

# **PATENTS**

Entity	Title	Country	Application Type	Patent No.	Issue/Filing Date	Status
Sigma Electric Manufacturing Corporation	Cable Entry Device for Wiring Boxes	US	Utility Patent - Non- Provisional	8445778	5/21/2013/ 6/15/2011	Active
Sigma Electric Manufacturing Corporation	Compact Ground Clamp	US	Utility Patent - Non- Provisional	6976857	12/20/2005/ 7/14/2005	Active
Sigma Electric Manufacturing Corporation	Conduit Connector	US	Utility Patent - Non- Provisional	8129634	3/6/2012/ 2/24/2009	Active
Sigma Electric Manufacturing Corporation	Reducer Bushing for Weatherproof Outlet Boxes	US	Utility Patent - Non- Provisional	6992252	1/31/2006/ 3/29/2005	Active
Sigma Electric Manufacturing Corporation	Universal Outlet Plate Cover Assembly	US	Utility Patent - Non- Provisional	7129413	10/31/2006/ 12/7/2004	Active
Sigma Electric Manufacturing Corporation	Weatherproof Outdoor Pivoting Light Assembly	US	Utility Patent - Non- Provisional	7137721	11/21/2006/ 6/14/2004	Active
Sigma Electric Manufacturing Corporation	Electrical Box Conduit Connectors and Methods for Making and Using the Same	US	Utility Patent - Non- Provisional	8857039	10/14/2014/ 2/16/2011	Active
Sigma Electric Manufacturing Corporation <sup>1</sup>	Outdoor Light Unit (Jointly owned)	US	Design Patent Non- Provisional	D641904	7/19/2011/ 12/23/2009	Active
Sigma Electric Manufacturing Corporation	Conduit connector and methods for making and using the same	US	Utility Patent - Non- Provisional	8803008	8/12/2014/ 3/1/2012	Active

<sup>1</sup> Winn Stanley Associates, Inc. owns an undivided joint ownership interest in this patent.

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Sigma Electric Manufacturing Corporation	Conduit Connector and Methods for Making and Using the Same	US	Utility Patent - Non- Provisional	8901441	12/2/2014/ 10/6/2009	Active
Sigma Electric Manufacturing Corporation	Conduit Connector and Methods for Making and Using the Same	US	Utility Patent - Non- Provisional	9231388	1/5/2016/ 8/31/2012	Active
Sigma Electric Manufacturing Corporation	Integral Box	US	Utility Patent - Non- Provisional	9263868	2/16/2016/ 9/2/2009	Active
Sigma Electric Manufacturing Corporation	Cast One-Piece Outlet Box	US	Utility Patent - Non- Provisional	9281671	3/8/2016/ 10/16/2014	Active
Sigma Electric Manufacturing Corporation	Slim Line While In Use Cover And Methods For Making And Using The Same	US	Utility Patent - Non- Provisional	9437959	3/7/2013 9/6/2016	Active
Sigma Electric Manufacturing Corporation	Conduit Connectors and Methods for Making and Using the Same	Canada	Foreign Patent	2853404	6/4/2014	Active
Sigma Electric Manufacturing Corporation	EMT Connector and Methods for Making and Using the Same	Canada	Foreign Patent	2846014	3/12/2014	Active
Sigma Electric Manufacturing Corporation	Cast One-Piece Outlet Box	Canada	Foreign Patent	2867820	10/16/2014	Active
Sigma Electric Manufacturing Corporation	Connectors and Methods for Making and Using the Same	Canada	Foreign Patent	2890494	4/30/2015	Active
Sigma Electric Manufacturing Corporation	Slim Line While In Use Cover And Methods For Making And Using The Same	Canada	Foreign Patent	2958440	2/16/2017	Active

Americas Active: 11342121.3

# PATENT APPLICATIONS

Entity	Title	Country	Application	Patent	Filing	Status
			Type	App. No.	Date	
Sigma Electric Manufacturing Corporation	Conduit Connectors and Methods	US	Utility Patent - Non-	14/296,475	6/5/2014	Published
	for Making and Using the Same		Provisional			
Sigma Electric Manufacturing Corporation	EMT Connector and Methods For Making and Using the Same	US	Utility Patent - Non- Provisional	14/206,027	3/12/14	Published
Sigma Electric Manufacturing Corporation	Connectors and Methods for Making and Using the Same	US	Utility Patent - Non- Provisional	14/701,669	5/1/2015	Published
Sigma Electric Manufacturing Corporation	Slim Line While in use Cover and Methods for Making and Using the Same	US	Utility Patent - Provisional	15/434,579	2/16/2016	Published
Sigma Electric Manufacturing Corporation	Conduit Connector and Methods for Making and Using the Same	Canada	Foreign Patent	2770855	3/6/2012	Published
Sigma Electric Manufacturing Corporation	Conduit Connector and Methods for Making and Using the Same	Canada	Foreign Patent	2788787	8/31/2012	Published
Sigma Electric Manufacturing Corporation	Slim Line While in Use Cover and Methods for Making and	Canada	Foreign Patent	2808645	3/8/2013	Published

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	Using the Same					
Sigma Electric	Cable Entry	Canada	Foreign	2743286	6/15/2011	Published
Manufacturing	Device for		Patent			
Corporation	Wiring Boxes					

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**RECORDED: 11/01/2017**