

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4669836

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DANIEL J. MYERS	10/12/2016
KHE KUBEL	10/12/2016
JAMES CASSELLA	09/19/2016
RECEIVING PARTY DATA	
Name:	ALEXZA PHARMACEUTICALS, INC.
Street Address:	2091 STIERLIN CT.
City:	MOUNTAIN VIEW
State/Country:	CALIFORNIA
Postal Code:	94043
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15557030
CORRESPONDENCE DATA	
Fax Number:	(303)268-0065
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	303-268-0066
Email:	sharward@sbiplaw.com
Correspondent Name:	SWANSON & BRATSCHUN, LLC
Address Line 1:	8210 SOUTHPARK TERRACE
Address Line 4:	LITTLETON, COLORADO 80120
ATTORNEY DOCKET NUMBER:	0208.00100.07US
NAME OF SUBMITTER:	KATHERINE LOBEL-RICE, REG. NO. 58,079
SIGNATURE:	/Katherine Lobel-Rice/
DATE SIGNED:	11/01/2017
Total Attachments: 7	
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PATENT

REEL: 044012 FRAME: 0196

ASSIGNMENT


WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled **Use Of Antistatic Materials In The Airway For Thermal Aerosol Condensation Process** for which an application for United States Letters Patent was filed on March 9, 2016 as PCT International Patent Application No. PCT/US2016/21554, and

WHEREAS, **Alexza Pharmaceuticals, Inc.** ("Assignee") having a place of business at 2091 Stierlin Ct., Mountain View, CA 94043 desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding international and foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: October 12, 2016



Daniel J. Myers
75 Eldora Drive
Mountain View, CA 94041

Address:

STATE OF _____)
COUNTY OF _____) ss.

The foregoing instrument was acknowledged before me this _____ day of _____, 20____, by Daniel J. Myers.

Witness my hand and official seal.

My commission expires: _____

SEE ATTACHED CERTIFICATE
Notary Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of SANTA CLARA)

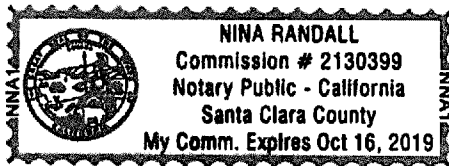
On OCTOBER 12TH, 2016 before me, NINA RANDALL, NOTARY PUBLIC,
Date Here Insert Name and Title of the Officer

personally appeared DANIEL J. MYERS
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Nina Randall
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT Document Date: _____
Number of Pages: 1 Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
Signer Is Representing: _____

ASSIGNMENT

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IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: _____

Address:

Daniel J. Myers
75 Eldora Drive
Mountain View, CA 94041

STATE OF _____)
)
COUNTY OF _____) ss.

The foregoing instrument was acknowledged before me this _____ day of _____, 20____, by Daniel J. Myers.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 12 Oct 2016



Address:

Khe Kubel
3361 Kimberly Way
San Mateo, CA 94403

STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____,
20____, by Khe Kubel.

Witness my hand and official seal.

My commission expires: _____

Please see attached CA acknowledgment

Notary Public

Date: _____

Address:

James Cassella
2091 Stierlin Ct.
Mountain View, CA 94043

STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____,
20____, by James Cassella.

Witness my hand and official seal.

My commission expires: _____

Notary Public

CALIFORNIA ALL- PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }

County of Marin }

On 10-12-16 before me, Tom Royall, a notary public,
(Here insert name and title of the officer)

personally appeared Khé Dinh Kubel,
who proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is/are subscribed to the within instrument and acknowledged to me that
~~he/she/they~~ executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

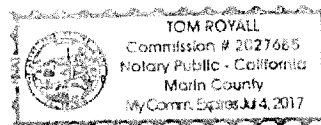
I certify under PENALTY OF PERJURY under the laws of the State of California that
the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Tom Royall

Notary Public Signature

(Notary Public Seal)



ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Patent Assignment
(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages _____ Document Date _____

CAPACITY CLAIMED BY THE SIGNER

- ☐ Individual (s)
☐ Corporate Officer

(Title)

- ☐ Partner(s)
☐ Attorney-in-Fact
☐ Trustee(s)
☐ Other _____

INSTRUCTIONS FOR COMPLETING THIS FORM

This form complies with current California statutes regarding notary wording and, if needed, should be completed and attached to the document. Acknowledgments from other states may be completed for documents being sent to that state so long as the wording does not require the California notary to violate California notary law.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. ~~he/she/they~~, is/are) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
 - ✦ Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
 - ✦ Indicate title or type of attached document, number of pages and date.
 - ✦ Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document with a staple.

ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled **Use Of Antistatic Materials In The Airway For Thermal Aerosol Condensation Process** for which an application for United States Letters Patent was filed on March 9, 2016 as PCT International Patent Application No. PCT/US2016/21554, and

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IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: _____

Address:

Daniel J. Myers
75 Eldora Drive
Mountain View, CA 94041

STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 20____, by Daniel J. Myers.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Khe Kubel
3361 Kimberly Way
San Mateo, CA 94403

STATE OF _____)
COUNTY OF _____) ss.

The foregoing instrument was acknowledged before me this _____ day of _____,
20____, by Khe Kubel.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 9/19/16

Address:

James V. Cassella
James Cassella
2091 Stierlin Ct.
Mountain View, CA 94043

STATE OF Massachusetts)
COUNTY OF Middlesex) ss.

The foregoing instrument was acknowledged before me this 19 day of September
2016, by James Cassella.

Witness my hand and official seal.

My commission expires: _____

Notary Public

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