

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4671141

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
YUPO MA	10/03/2017
KEVIN PINZ	10/03/2017
XUN JIANG	10/18/2017
MASAYUKI WADA	10/18/2017
KEVIN CHEN	10/18/2017
RECEIVING PARTY DATA	
Name:	ICELL GENE THERAPEUTICS LLC
Street Address:	25 HEALTH SCIENCES DRIVE
City:	STONY BROOK
State/Country:	NEW YORK
Postal Code:	11790
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15551862
CORRESPONDENCE DATA	
Fax Number:	(516)822-3582
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	516-822-3550
Email:	hbmail@hbiplaw.com
Correspondent Name:	HOFFMANN & BARON, LLP
Address Line 1:	6900 JERICHO TURNPIKE
Address Line 2:	SUITE 200
Address Line 4:	SYOSSET, NEW YORK 11791
ATTORNEY DOCKET NUMBER:	2541-2 PCT/US
NAME OF SUBMITTER:	PERRY Y. WOO
SIGNATURE:	/perry y. woo/
DATE SIGNED:	11/02/2017
Total Attachments: 4	

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For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☒ U.S. Patent; or
☒ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Yupo MA</u> Name	<u>Kevin PINZ</u> Name	<u>Xun JIANG</u> Name
<u>iCell Gene Therapeutics LLC</u> <u>25 Health Sciences Drive</u> Address	<u>iCell Gene Therapeutics LLC</u> <u>25 Health Sciences Drive</u> Address	<u>iCell Gene Therapeutics LLC</u> <u>25 Health Sciences Drive</u> Address
<u>Stony Brook, NY 11790</u>	<u>Stony Brook, NY 11790</u>	<u>Stony Brook, NY 11790</u>
<u>US</u> Nationality	<u>US</u> Nationality	<u>US</u> Nationality
<u>Masayuki WADA</u> Name	<u>Kevin CHEN</u> Name	
<u>iCell Gene Therapeutics LLC</u> <u>25 Health Sciences Drive</u> Address	<u>iCell Gene Therapeutics LLC</u> <u>25 Health Sciences Drive</u> Address	
<u>Stony Brook, NY 11790</u>	<u>Stony Brook, NY 11790</u>	
<u>US</u> Nationality	<u>US</u> Nationality	

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

iCell Gene Therapeutics LLC
(Type or print name of ASSIGNEE)

25 Health Sciences Drive
Address
Stony Brook, NY 11790

U.S.
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (___%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**CHIMERIC ANTIGEN RECEPTORS (CARs), TARGETING HEMATOLOGIC
MALIGNANCIES, COMPOSITIONS AND METHODS OF USE THEREOF**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☒ U.S. Non-Provisional patent application Serial No. 15/551,862 filed on
August 17, 2017.
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified
invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR
signing below, hereby authorize and request my attorney to insert below the filing
date and application number when they become known
(d) ☐ U.S. Provisional Application Serial Nos. _____ filed on _____;

- (e) ☐ International application No. _____ filed on _____.
(f) ☐ U.S. Patent No. _____ issued _____.
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.


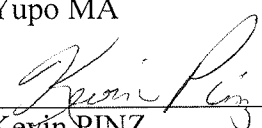
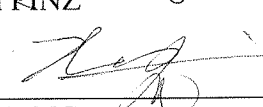
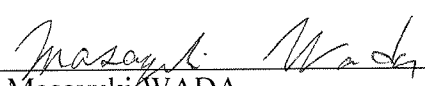

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set our hands and seal this Assignment of Invention on

WARNING: Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

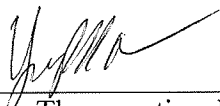
ASSIGNORS:

Date: <u>10/3/2017</u> Date of signing	<u></u> Yupo MA
Date: <u>10/3/2017</u> Date of signing	<u></u> Kevin PINZ
Date: <u>10/18/2017</u> Date of signing	<u></u> Xun JIANG
Date: <u>10/18/2017</u> Date of signing	<u></u> Masayuki WADA
Date: <u>10/18/17</u> Date of signing	<u></u> Kevin CHEN

ASSIGNEE:

Date:

10/3/2017
Date of signing


iCell Gene Therapeutics, LLC

Name: Yupo MA

Title:

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

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