#### 11/02/2017 504624447

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT4671165

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JINMING GAO	05/30/2013
DAVID BOOTHMAN	05/30/2013
KEJIN ZHOU	05/30/2013
XIAONAN HUANG	07/19/2013
YIGUANG WANG	05/30/2013

### **RECEIVING PARTY DATA**

Name:	THE BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM
Street Address:	210 WEST 7TH STREET
City:	AUSTIN
State/Country:	TEXAS
Postal Code:	78701

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15662184

## **CORRESPONDENCE DATA**

Fax Number: (512)334-2999

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (512) 334-2900

Email: inorman@phiplaw.com

PARKER HIGHLANDER PLLC **Correspondent Name:** Address Line 1: 1120 S. CAPITAL OF TEXAS HWY.

Address Line 2: **BUILDING ONE, SUITE 200** Address Line 4: AUSTIN, TEXAS 78746

ATTORNEY DOCKET NUMBER:	UTSD.P2380US.C2
NAME OF SUBMITTER:	JENNIFER NORMAN-WOLFE
SIGNATURE:	/Jennifer Norman-Wolfe/
DATE SIGNED:	11/02/2017

**Total Attachments: 8** 

**PATENT** REEL: 044020 FRAME: 0174

504624447

## ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to The Board of Regents of the University of Texas System (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 13/825,518, filed as International Application No. PCT/US2011/001418, filed on August 11, 2011, and is entitled "NOVEL BLOCK COPOLYMER AND MICELLE COMPOSITIONS AND METHODS OF USE THEREOF," which claims priority to Provisional Application Nos. 61/470,441, filed March 31, 2011, 61/471,054, filed April 1, 2011, and 61/385,422, filed September 22, 2010, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignce;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

(00061250) 1 USSN 13/825,518

and extend to the successors, assigns and nominees of the Assignee. The assignment of said invention is effective as of the earlier of (1) the date of execution shown below or (2) the filing date of the first filed of said patent applications. Date: 5/30/13 Signature: ///////
Name: Jinming/GAO STATE OF TEXAS

COUNTY OF DAMAS BEFORE ME, the undersigned authority, on this  $\frac{36}{20}$  day of  $\frac{1}{20}$ personally appeared Jinming GAO, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed. Charles May Colors
Notary or Consular Officer CHARLENE MAY COLORADO horary Public, State of Texas My Commission Expires July 09, 2013 SEALI Signature: Name: David-BOOTHMAN 1 EXAS STATE OF COUNTY OF BEFORE ME, the undersigned authority, on this  $30^{44}$  day of  $190^{44}$ personally appeared David BOOTHMAN, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed. Notary or Consular Officer CHARLENS MAY COLORADO Motory Public, State of Texas My Commission Express

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July 09, 2013

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TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned

USSN 13/825,518

Signature:/	1 Examination	with a winder.	Date:	5/30/2013	
Name: Ke	jin ZHOU		The state of the s		
STATE OF 7 COUNTY OF 1	XAS 20/10 5				
BEFORE personally appeare the foregoing instraction free will for the pu	ME, the undersigned d Kejin ZHOU, kno	edged to me that he	s/she execu	lay of May, 20 whose name is subscribed uted the same of his/her of the same of his/her of the lay local and lay l	u co Wr
Signature:			Date:		
Name: Xia	onan HUANG	***************************************		***************************************	******
STATE OF					
personally appeare to the foregoing in	d Xiaonan HUANG,	known to me to be wledged to me the	e the perso at he/she e	ay of, 20 on whose name is subscribe executed the same of his/	bed
[SEAL]		Notary or Co	nsular Off	icer	
{00061250}		.3		USSN 13/825,	518

Signature: Date: 5/30/13 Name: Yiguang WANG
STATE OF TEXAS
COUNTY OF Dallas
BEFORE ME, the undersigned authority, on this 30 day of 4ay. 2013 personally appeared Yiguang WANG, known to me to be the person whose name is subscribed
to the foregoing instrument and acknowledged to me that he/she executed the same of his/her
own free will for the purposes and consideration-therein expressed.
SEAU Superior State of Taxas  Notary or Consular Officer  Seau Superior State of Taxas  Superior Super
PARKER HIGHLANDER PLLC 1120 S. Capital of Texas Highway
Building One, Suite 200 Austin, TX 78746

(00061250)

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USSN 13/825,518

## ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to The Board of Regents of the University of Texas System (the "Assignee"), having a place of business at 201 West 7<sup>th</sup> Street, Austin, Texas 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 13/825,518, filed as International Application No. PCT/US2011/001418, filed on August 11, 2011, and is entitled "NOVEL BLOCK COPOLYMER AND MICELLE COMPOSITIONS AND METHODS OF USE THEREOF," which claims priority to Provisional Application Nos. 61/470,441, filed March 31, 2011, 61/471,054, filed April 1, 2011, and 61/385,422, filed September 22, 2010, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

PATENT 13/825,518

**REEL: 044020 FRAME: 0180** 

{00061250}

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

The assignment of said invention is effective as of the earlier of (1) the date of execution shown below or (2) the filing date of the first filed of said patent applications.

Signature:	Date:	
Signature:Name: Jinming GAO		
STATE OF		
personally appeared Jinming GAO, k	need authority, on this day of nown to me to be the person whose name is ledged to me that he/she executed the same of ration therein expressed.	subscribed to
	Notary or Consular Officer	······································
[SEAL]		
Signature: Name: David BOOTHMAN	Date:	<del>in de la companya de</del>
STATE OF COUNTY OF  BEFORE ME, the undersign personally appeared David BOOTH	ted authority, on this day of IMAN, known to me to be the person what and acknowledged to me that he/she executed and consideration therein expressed.	hose name is
TOTAL T	Notary or Consular Officer	
[SEAL]		

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PATENT 13/825,518 **REEL: 044020 FRAME: 0181** 

Signature:	Date;
Name: Kejîn ZHOU	
STATE OF	
STATE OFCOUNTY OF	
petsonally appeared Kejin ZHOU	rsigned authority, on this day of, 2013 U, known to me to be the person whose name is subscribed to mowledged to me that he/she executed the same of his/her own isideration therein expressed.
[SEAL]	Notary or Consular Officer
	£ .
Signature: Xhaww Name: Xiaonan HUANG	Mry Date: 07/19/2013
personally appeared Xiaonan HU to the foregoing instrument and	ersigned witness, on this the day of the person whose name is subscribed acknowledged to me that he/she executed the same of his/her d consideration therein expressed.
	Witness

Signature:	Dat	e:
Name: Yiguang WANG		
STATE OF		
COUNTY OF		
BEFORE ME, the undersigned a personally appeared Yiguang WANG, known to the foregoing instrument and acknowled own free will for the purposes and consider	own to me to be the edged to me that he	person whose name is subscribed she executed the same of his/her
[SEAL]	Notary or Consula	r Officer

PARKER HIGHLANDER PLLC 1120 S. Capital of Texas Highway Building One, Suite 200 Austin, TX 78746

PATENT 13/825,518
REEL: 044020 FRAME: 0183

RECORDED: 11/02/2017

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