#### 11/02/2017 504625221

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4671939

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JAMES T. DALTON	01/09/2014
THOMAS G. BIRD	01/31/2014
TAI AHN	01/10/2014
DAVID A DICKASON	01/30/2014
SEOUNG-SOO HONG	01/13/2014

#### **RECEIVING PARTY DATA**

Name:	GTX, INC.
Street Address:	175 TOYOTA PLAZA
Internal Address:	7TH FLOOR
City:	MEMPHIS
State/Country:	TENNESSEE
Postal Code:	38103

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15361049

# **CORRESPONDENCE DATA**

Fax Number: (646)878-0801

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 646-878-0800

USPTO@pearlcohen.com Email:

PEARL COHEN ZEDEK LATZER BARATZ LLP **Correspondent Name:** 

Address Line 1: 1500 BROADWAY Address Line 2: 12TH FLOOR

Address Line 4: NEW YORK, NEW YORK 10036

ATTORNEY DOCKET NUMBER:	P-70249-US5	
NAME OF SUBMITTER:	MICHELLA EBURNE	
SIGNATURE:	/Michella Eburne/	
DATE SIGNED:	11/02/2017	

**PATENT** REEL: 044023 FRAME: 0315 504625221

# Total Attachments: 11 source=P-70249-US3-EXECUTED-ASSIGNMENT#page1.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page2.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page3.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page4.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page5.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page6.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page7.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page8.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page9.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page10.tif source=P-70249-US3-EXECUTED-ASSIGNMENT#page11.tif

PATENT REEL: 044023 FRAME: 0316

Docket No.:

P-70249-US3

A S S I G N M E N T

In consideration of One Dollar (1.00), and other good and valuable consideration, the

Receipt of which is hereby acknowledged, I the undersigned, James T. DALTON residing at residing at

9896 Rue Bienville Place, Lakeland, TN 38002; BIRD, Thomas G. residing at 11040 Silsbe Lane, Eads,

TN 38028; AHN, Tai residing at 5316 Knobby Pine Lake, Lakeland TN 38002; DICKASON, David A.

residing at 1730 Waldeck Cv, Cordova, TN 38016; HONG, Scoung-Soo residing at 1920 N. Almadale

Ct. # 202, Collierville, TN 38017;

Hereby sell, assign and transfer to GTx, Inc., located 175 Toyota Plaza, 7th Floor,

Memphis, TN 38103 at its successors, assigns and legal representatives (hereinafter, the "Assignee"), the

entire right, title and interest for all countries, in and to any and all inventions which are disclosed and

claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed

in the application for United States Patent U.S. Serial No. 14/058,896 filed October 21, 2013 and is

entitled :

SOLID FORMS OF SELECTIVE ANDROGEN RECEPTOR MODULATORS

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other

applications for U.S. Letters Patent or other related property rights in any and all foreign countries which

have been or shall be filed on any of said inventions disclosed in said application; and in and to all

original and reissued patents or related foreign documents which have been or shall be issued on said

inventions; and including the Assignor's right in and to all revenue, income, royalties, damages and

payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and

in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover-

for past, present and future infringement of the rights assigned or to be assigned under this Assignment;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee,

the corporation above named, its successors, assigns and legal representatives, in accordance with this

assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said

application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other

kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or

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PATENT REEL: 044023 FRAME: 0317

other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the under-signed or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

**COVENANT** with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

FULL NAME OF INVENTOR:	 FULL NAME OF WITNESS:
James T. DALTON	Michael Lee Mobile & Francis
SIGNATURE OF INVENTOR	SIGNATURE OF WITNESS:
DATE: 09/01/2014 (day / month / year)	 ADDRESS OF WITNESS: 6472 Patmore Rd
(day / month / year)	 Memplys TN 38134

FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Thomas G. BIRD	Barbara M. Birl
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
Dumas Waid	Bus 5
DATE 31 January 204	ADDRESS OF WITNESS:
DATE: 3/ VONGARY 2014	11040 Silske lane
(day / month / year)	E.d., TN 38028
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Tai AHN	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS:
DATE:	
(day / month / year)	
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
David A. DICKASON	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS:
DATE:	
(day / month / year)	
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Seoung-Soo HONG	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS:
DATE:	
(day / month / year)	

# **ASSIGNMENT**

In consideration of One Dollar (1.00), and other good and valuable consideration, the Receipt of which is hereby acknowledged, I the undersigned, James T. DALTON residing at residing at 9896 Rue Bienville Place, Lakeland, TN 38002; BIRD, Thomas G. residing at 11040 Silsbe Lane, Eads, TN 38028; AHN, Tai residing at 5316 Knobby Pine Lake, Lakeland TN 38002; DICKASON, David A. residing at 1730 Waldeck Cv, Cordova, TN 38016; HONG, Seoung-Soo residing at 1920 N. Almadale Ct. # 202, Collierville, TN 38017;

Hereby sell, assign and transfer to GTx, Inc., located 175 Toyota Plaza, 7th Floor, Memphis, TN 38103 at its successors, assigns and legal representatives (hereinafter, the "Assignee"), the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed in the application for United States Patent U.S. Serial No. 14/058,896 filed October 21, 2013 and is entitled

# SOLID FORMS OF SELECTIVE ANDROGEN RECEPTOR MODULATORS

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions; and including the Assignor's right in and to all revenue, income, royalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this Assignment;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or

- 1 -

other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the under-signed or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

**COVENANT** with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
James T. DALTON	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS:
DATE:	
(day / month / year)	

FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Thomas G. BIRD	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS
DATE:	
(day / month / year)	
FULL NAME OF INVENTOR;	FULL NAME OF WITNESS:
Tai AHN	Michael Lee Miller
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS
TO THE	Male V Am Mil VIII
	ADDRESS OF WITNESS:
DATE: 10/01/14	6477 Patriove M.
(day / month / year)	Memphis, TN38134
	MANUADAY EN POLIT
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
David A. DICKASON	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
17、日本・著述書の書類では、建設を基める方数としている。といるから、 17、日本の書の表現の書類がは、編集の表別は、日本のものものできます。	ADDRESS OF WITNESS:
DATE:	
(day / month / year)	
(day / month / year)	
FULL NAME OF INVENTOR:	FILL NAME OF WITNESS
	FULL NAME OF WITNESS:
Seoung-Soo HONG	· 100 · 100
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS

DATE:

(day / month / year)

# ASSIGNMENT

In consideration of One Dollar (1.00), and other good and valuable consideration, the Receipt of which is hereby acknowledged, I the undersigned, James T. DALTON residing at residing at 9896 Rue Bienville Place, Lakeland, TN 38002; BIRD, Thomas G. residing at 11040 Silsbe Lane, Eads, TN 38028; AHN, Tai residing at 5316 Knobby Pine Lake, Lakeland TN 38002; DICKASON, David A. residing at 1730 Waldeck Cv, Cordova, TN 38016; HONG, Seoung-Soo residing at 1920 N. Almadale Ct. # 202, Collierville, TN 38017;

Hereby sell, assign and transfer to GTx, Inc., located 175 Toyota Plaza, 7th Floor, Memphis, TN 38103 at its successors, assigns and legal representatives (hereinafter, the "Assignee"), the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed in the application for United States Patent U.S. Serial No. 14/058,896 filed October 21, 2013 and is entitled

# SOLID FORMS OF SELECTIVE ANDROGEN RECEPTOR MODULATORS

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Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or

1.

FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Thomas G. BIRD	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
DATE:	ADDRESS OF WITNESS:
(day / month / year)	
FULL NAME OF INVENTOR: Tai AHN	FULL NAME OF WITNESS:
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
DATE:	ADDRESS OF WITNESS:
(day / month / year)	
	•
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
David A. DICKASON	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
DATE:	ADDRESS OF WITNESS:
(day / month / year)	
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Seoung-Soo HONG	Michael Lee Mohler
SIGNATURE OF INVENTOR:	SIGNATURE OF WEINESS: Michael La Mobile
DATE: 01/13/2014	6472 Patmore Rd.
(day / month / year)	1 Memphis, TN >81>4

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other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the under-signed or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

COVENANT with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
James T. DALTON	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
DATE:	ADDRESS OF WITNESS:
(day / month / year)	

FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Thomas G. BIRD	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
MONVICEE OF THE PORCE	SIGIVATORE OF WITNESS:
	ADDDESC OF WEDDESC
DATE:	ADDRESS OF WITNESS:
(day / month / year)	
ETH I MARKE OF TRUE PROPERTY	
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Tai AHN	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS:
DATE:	
(day / month / year)	
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
David A. DICKASON	Thula A. Ryde
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	Tunta A Ryde
£ ¥	ADDRESS OF WITNESS:
DATE: 30/01/2014	- 54 Cloyd Ave, Malvern Pa 1985
(day / mônth / year)	3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3
FULL NAME OF INVENTOR:	FULL NAME OF WITNESS:
Seoung-Soo HONG	
SIGNATURE OF INVENTOR:	SIGNATURE OF WITNESS:
	ADDRESS OF WITNESS:
DATE:	
(day / month / year)	