

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4678437

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PAUL S. WEISS	05/22/2015
ANNE M. ANDREWS	10/19/2016
ANDREA BERTOZZI	05/23/2015
STANLEY OSHER	05/23/2015
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15308049
CORRESPONDENCE DATA	
Fax Number:	(949)852-0004
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9498520000
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Correspondent Name:	KPPB LLP
Address Line 1:	2190 S. TOWNE CENTRE PLACE
Address Line 2:	SUITE 300
Address Line 4:	ANAHEIM, CALIFORNIA 92806
ATTORNEY DOCKET NUMBER:	R4-03476
NAME OF SUBMITTER:	AGNES POON
SIGNATURE:	/Agnes Poon/
DATE SIGNED:	11/07/2017
Total Attachments: 2	

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Paul S. Weiss; Anne M. Andrews; Andrea Bertozzi;
Stanley Osher

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

May 22, 2015; Oct 19, 2016, May 23, 2015
Execution Date(s) May 23, 2015

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: Twelfth Floor

Street Address: 1111 Franklin Street

City: Oakland

State: CA

Country: US Zip: 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

15/308,049

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: KPPB LLP

Internal Address: Suite 300

Street Address: 2190 S. Towne Centre Place

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number: R4-03476

Email Address: pair@kppb.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-4407

Authorized User Name _____

9. Signature:

/John W. Peck/
Signature

11/7/2017
Date

John W. Peck
Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

UC Case No. 2014-886-1-LA

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Paul S. Weiss, Anne M. Andrews, Andrea Bertozzi, Stanley Osher

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5203, and the successors, assigns and legal representatives of the ASSIGNEE of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

DETERMINING OIL WELL CONNECTIVITY USING NANOPARTICLES

and which is found in

(a) U.S. patent application filed herewith and listing the above named persons as inventors

(b) U.S. Provisional Application Serial No. _____, filed on _____

(c) U.S. Patent Application Serial No. _____, filed on _____

(d) U.S. Patent No. _____, issued _____

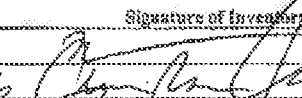
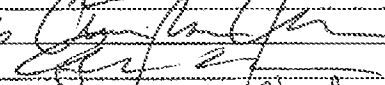
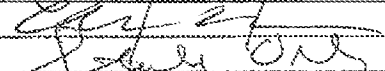
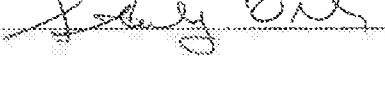
(e) International Patent Application Serial No. PCT/US2015/029173, filed on May 5, 2015

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all international Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known;

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Inventor Name	Executed this Date	Signature of Inventor
Paul S. Weiss	22 May 2015	
Anne M. Andrews	Oct. 19, 2016	
Andrea Bertozzi	23 May 2015	
Stanley Osher	23 May 2015	

This box is for office use only

Assignee hereby accepts this Assignment:

John W. Peck

Title: Partner, KPPB LLP

11/13/17

Date

PATENT

RECORDED: 11/07/2017

REEL: 044057 FRAME: 0880