## 504633742 11/08/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4680460

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
SEACHAID PHARMACEUTICALS, INC	05/30/2014

## **RECEIVING PARTY DATA**

Name:	K2 THERAPEUTICS, INC.	
Street Address:	WALTHAM WOODS CORPORATE CENTER	
Internal Address:	890 WINTER STREET, SUITE 140	
City:	WALTHAM	
State/Country:	MASSACHUSETTS	
Postal Code:	02451	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15371333

#### **CORRESPONDENCE DATA**

**Fax Number:** (617)428-7045

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (617) 428-0200

**Email:** patentadministrator@clarkelbing.com

Correspondent Name: CLARK & ELBING LLP
Address Line 1: 101 FEDERAL STREET

Address Line 2: 15TH FLOOR

Address Line 4: BOSTON, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER:	50945-006004	
NAME OF SUBMITTER:	MICHAEL J. BELLIVEAU, PH.D.	
SIGNATURE:	/Michael J. Belliveau, Ph.D./	
DATE SIGNED:	11/08/2017	

## **Total Attachments: 7**

source=Assignment Seachid to K2#page1.tif source=Assignment Seachid to K2#page2.tif source=Assignment Seachid to K2#page3.tif source=Assignment Seachid to K2#page4.tif

PATENT 504633742 REEL: 044075 FRAME: 0733

source=Assignment Seachid to K2#page5.tif
source=Assignment Seachid to K2#page6.tif
source=Assignment Seachid to K2#page7.tif

PATENT REEL: 044075 FRAME: 0734

#### PATENT ASSIGNMENT

This PATENT ASSIGNMENT (the "Assignment"), is made and entered into as of May 30, 2014 by SEACHAID PHARMACEUTICALS, INC., a Delaware corporation (the "Assignor") in favor of K2 THERAPEUTICS, INC., a Delaware corporation (the "Assignee").

WHEREAS, the Assignee and Assignor are parties to that certain Asset Purchase Agreement, dated of even date herewith (the "Purchase Agreement"), pursuant to which the Assignor has, among other things, agreed to assign, transfer, convey, and deliver to the Assignee all of the Assignor's right, title, and interest in and to the Assigned Patents (defined below).

**NOW, THEREFORE,** in consideration of the promises and covenants set forth in the Purchase Agreement and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties hereto agree as follows:

- 1. Conveyance. The Assignor hereby assigns, transfers, conveys, and delivers to the Assignee all of the Assignor's right, title and interest in and throughout the United States of America, its territories and all foreign countries, in, to and under all of its issued patents and patent applications listed on Schedule A hereto, including all reissues, divisionals, continuations, continuations-in-part, revisions, reexaminations, extensions and counterparts (whether foreign or domestic) claiming priority to or based on any of the foregoing items, together with all patents issuing therefrom, all inventions and improvements claimed or described in any of the foregoing, all rights to collect royalties, products and proceeds in connection with any of the foregoing (collectively, the "Assigned Patents"), and all rights to sue and bring other claims for past, present and future infringement, misappropriation or other violation of any of the foregoing and all rights to recover damages (including attorney's fees and expenses) or lost profits in connection therewith.
- 2. Recordation. The Assignor hereby requests the United States Patent and Trademark Office Commissioner for Patents and any other applicable governmental entity or registrar (including any applicable foreign or international office or registrar) to record the Assignee as the assignee and owner of the Assigned Patents. The Assignor further authorizes the respective patent office or governmental agency in each other jurisdiction to issue any and all patents or certificates of invention which may be granted upon any of the Assigned Patents in the name of the Assignee, as the assignee to the entire interest therein, it being understood that any expense in connection with the execution of such recordation shall be borne by the Assignee.

#### 3. Information and Assistance.

- 3.1 Upon the Assignee's reasonable request and without further compensation, the Assignor shall execute, acknowledge and deliver all such other instruments and documents and shall take all such other actions reasonably necessary or required by law to consummate and make fully effective the transaction contemplated by this Assignment.
- 3.2 If the Assignor fails to timely comply with Section 3.1 (regardless of fault) and the Assignee is therefore unable to secure the Assignor's signature to any document required to file, prosecute, register or memorialize the assignment of any rights under any Assigned Patents

1 of 4

as provided under this Assignment, the Assignor hereby irrevocably designates and appoints the Assignee and the Assignee's duly authorized officers and agents as the Assignor's agents and attorneys-in-fact to act for and on the Assignor's behalf solely for the purpose of taking all lawfully permitted acts to further the filing, prosecution, registration, memorialization of assignment, issuance and enforcement of rights under such Assigned Patents, all with the same legal force and effect as if executed by the Assignor. The foregoing is deemed a power coupled with an interest and is irrevocable.

- 4. Successors and Assigns. This Assignment and all the provisions hereof shall be binding upon and shall inure to the benefit of the parties hereto and their respective successors and permitted assigns and nothing herein express or implied shall give or be construed to give to any person, other than the parties hereto and their respective successors and permitted assigns, any legal or equitable rights hereunder.
- 5. Counterparts. This Assignment may be executed and delivered (including by facsimile or electronic transmission) in two or more counterparts, each of which when executed and delivered shall be deemed to be an original but all of which taken together shall constitute one and the same agreement.
- 6. Section Headings. The section headings contained in this Assignment are for reference purposes only, and shall not in any way affect the meaning or interpretation of this Assignment.
- 7. Purchase Agreement Controls. This Assignment is provided pursuant to the Purchase Agreement, to which reference is made for a further statement of the rights and obligations of the Assignor and the Assignee with respect to the Assigned Patents. Nothing contained in this Assignment shall be deemed to modify, supersede, enlarge, limit or affect the rights of any person under the Purchase Agreement. If any provision of this Assignment is inconsistent or conflicts with the Purchase Agreement, the Purchase Agreement shall control.
- THIS ASSIGNMENT SHALL BE GOVERNED BY, AND 8. Governing Law. CONSTRUED AND ENFORCED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF DELAWARE OTHER THAN CONFLICT OF LAWS PRINCIPLES THEREOF DIRECTING THE APPLICATION OF ANY LAW OTHER THAN THAT OF DELAWARE. COURTS WITHIN THE STATE OF DELAWARE WILL HAVE JURISDICTION OVER ALL DISPUTES BETWEEN THE PARTIES HERETO ARISING OUT OF OR RELATING TO THIS ASSIGNMENT AND THE AGREEMENTS, INSTRUMENTS AND DOCUMENTS CONTEMPLATED HEREBY. THE PARTIES HEREBY CONSENT TO AND AGREE TO SUBMIT TO THE JURISDICTION OF SUCH COURTS. EACH OF THE PARTIES HERETO WAIVES, AND AGREES NOT TO ASSERT IN ANY SUCH DISPUTE, TO THE FULLEST EXTENT PERMITTED BY APPLICABLE LAW, ANY CLAIM THAT (I) SUCH PARTY IS NOT PERSONALLY SUBJECT TO THE JURISDICTION OF SUCH COURTS, (II) SUCH PARTY AND SUCH PARTY'S PROPERTY IS IMMUNE FROM ANY LEGAL PROCESS ISSUED BY SUCH COURTS OR (III) ANY LITIGATION COMMENCED IN SUCH COURTS IS BROUGHT IN AN INCONVENIENT FORUM.

[Signatures appear on next page]

IN WITNESS WHEREOF, the undersigned have caused this Patent Assignment to be executed, effective as of the date first written above.

ASSIGNOR:

Seachaid Pharmaceuticals, Inc.
By: Stave The
Name: Steve Elns Title: CFO
Acknowledged and Accepted:
ASSIGNEE:
K2 Therapeutics, Inc.
By:
Name:

[Signature Page to Patent Assignment]



#### NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA		)
STATE OF New York	: ss.:	
CITY/COUNTY OF New york		_}

I, Allow that Steve Elms, as Chief Executive Officer of Seachaid Pharmaceuticals, Inc., a Delaware corporation, who signed the foregoing Assignment document, was authorized on the Again day of Mandal to execute the foregoing Assignment document on behalf of Seachaid Pharmaceuticals, Inc., and to me acknowledged that he did sign the said document.

Notary Public

YOLANDA ROLDAN
Notary Public, State of New York
No. 03-4980654
Qualified in Bronx County
Cerificate Filed in New York County
Commission Expires April 22, 20

[Signature Page to Patent Assignment]

Je SE

PATENT REEL: 044075 FRAME: 0738 IN WITNESS WHEREOF, the undersigned have caused this Patent Assignment to be executed, effective as of the date first written above.

ASSIGNOR:

Seachaid Pharmaceuticals, Inc.
By: Name: Title:
Acknowledged and Accepted:
ASSIGNEE:
K2 Therapeutics, Inc.
By: Name: Service Sizik

## NOTARIAL CERTIFICATE

UNITED STATES OF		(CA )
STATE OF Californ	(a	: ss.:
CITY/COUNTY OF	San	Dieso)

I, How Victoria Questana, the undersigned Notary Public do hereby certify that Jeffrey Stein, as Chief Executive Officer of K2 Therapeutics, Inc., a Delaware corporation, who signed the foregoing Assignment document, was authorized on May 28, 2014, to execute the foregoing Assignment document on behalf of K2 Therapeutics, Inc., and to me acknowledged that he did sign the said document.

ALMA VICTORIA QUINTANA
Commission # 1973864
Notary Public - California
San Diego County
My Comm. Expires Mar 31, 2016

A. Vole Col

DM\_US 52327688-3.094870.0011

## SCHEDULE A TO PATENT ASSIGNMENT

## **Patents**

Patent No.	Issue Date	Title of Invention
U.S. 8,722,619 U.S.S.N. 13/886,972	May 13, 2014	Antifungal Agents and Uses Thereof

# Patent Applications

Country	Patent Application No.	Date Filed	Title of Invention
WO	PCT/US12/27451	Mar. 2, 2012 (deadline for late entry into Canada is Sep. 3, 2014)	Antifungal Agents and Uses Thereof
US	U.S.S.N. 14/242,192	Apr. 1, 2014	Antifungal Agents and Uses Thereof
China	CN201280021321.9	Mar. 2, 2012 (Nov. 1, 2013 Nat'l Phase Entry)	Antifungal Agents and Uses Thereof
Europe	EP12751994.0	Mar. 2, 2012	Antifungal Agents and Uses Thereof
Japan	JP2013-556894	Mar. 2, 2012 (Sept. 2, 2013 Nat'l Phase Entry)	Antifungal Agents and Uses Thereof
India	7055/CHEN/2013	Mar. 2, 2012 (Sept. 2, 2013 Nat'l Phase entry)	Antifungal Agents and Uses Thereof
US	PCT/US2013/31678	May 14, 2013	Dosing Regimens for Echinocandin Class Compounds

DM\_U\$ 52327688-3.094870.0011

PATENT REEL: 044075 FRAME: 0741

**RECORDED: 11/08/2017**