

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4686803

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
OERLIKON TRADING AG, TRÜBBACH	02/18/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	OERLIKON SURFACE SOLUTIONS AG, PFÄFFIKON
<b>Street Address:</b>	CHURERSTRASSE 120
<b>City:</b>	PFÄFFIKON
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	8808
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14075085
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	melanie@setterroche.com
<b>Correspondent Name:</b>	SETTER ROCHE LLP
<b>Address Line 1:</b>	14694 ORCHARD PKWY
<b>Address Line 2:</b>	BUILDING A, SUITE 200
<b>Address Line 4:</b>	WESTMINSTER, COLORADO 80023
<b>ATTORNEY DOCKET NUMBER:</b>	339.0156D1
<b>NAME OF SUBMITTER:</b>	MELANIE I. RAUCH
<b>SIGNATURE:</b>	/Melanie I. Rauch/
<b>DATE SIGNED:</b>	11/13/2017
<b>Total Attachments: 3</b>	
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source=Commercial Register#page2.tif	
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## COMMERCIAL REGISTER OF THE CANTON OF SCHWYZ

Company number <b>CHE-108.812.396</b>	Legal nature <b>Stock corporation</b>	Entry 21 DEC 1995	Deletion	Transfer CH-320.3.040.000-8 From: To:	<b>1</b>
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All entries

Ent	Del	Company name	Ref	Headquarters
0	1	Oerlikon Surface Solutions AG, Trübbach	0	Previously: Wartau
0	1	Oerlikon Surface Solutions Ltd, Trübbach (Oerlikon Surface Solutions SA, Trübbach)	1	Freienbach
1		<b>Oerlikon Surface Solutions AG, Pfäffikon</b>		
1		Oerlikon Surface Solutions Ltd, Pfäffikon (Oerlikon Surface Solutions SA, Pfäffikon)		

Ent	Del	Share capital (CHF)	Paid up capital (CHF)	Share denomination	Ent	Del	Company address
0		10,000,000.00	10,000,000.00	10,000 registered shares at CHF1,000.00 ea	0	1	Hauptstrasse 53 9477 Trübbach
					1		Churerstrasse 120 8808 Pfäffikon SZ

Ent	Del	Purpose	Ref	Del	Other address
0		Purchase, sale, development, use, and exploitation of physical and chemical methods and the manufacture and sale of appliances, devices, and machines of all kinds. The company can establish domestic and foreign subsidiaries and branch offices, can buy, sell, and manage real properties and investments of all kinds, can buy, sell, manage, and exploit industrial property rights, can issue free or interest-bearing loans or other financing arrangements to its direct or indirect parent companies and to their direct or indirect subsidiaries and can create securities of all kinds for the liabilities of such group companies, including guarantees, liens, and fiduciary assignments of shares in the company.			

Ent	Del	Remarks	Ref	Status date
0		The communications from the company to the shareholders are to take place by means of registered letter.	0	20 JUN 1968
0		The restriction on the transferability of registered shares is eliminated.	0	19 DEC 1995
0		The facts that have been deleted prior to the entry in the Canton of St. Gallen, as well as any prior status dates or citations from the day book or the Swiss Official Gazette of Commerce [Schweizerisches Handelsamtsblatt (SHAB)] can be inspected in the register excerpt of the prior headquarters, which is part of the commercial register documentation on deposit.	0	18 JUN 1997
0			0	13 OCT 1999
			0	23 AUG 2006
			0	05 SEP 2006
			0	08 JAN 2007
			0	10 DEC 2014
			0	12 FEB 2015
			0	12 FEB 2016

Ent	Del	Special facts	Ref	Publication medium
0		Merger: Take-over of the assets and liabilities of Oerlikon Metco Management AG, Winterthur, in Winterthur (CHE-102.564.426) in accordance with the merger agreement of 10 JUN 2015 and balance sheet of 31 DEC 2014. Assets of CHF 6,750,072.00 and liabilities (outside capital) of CHF 4,021,099.00 are transferred to the acquiring company. Since the same shareholder holds all of the shares of the companies involved in the merger, neither a capital increase nor a share allocation are taking place.	0	SHAB
0		Contribution: With the capital increase of 02 DEC 2015 in accordance with the agreement of 02 NOV 2015, the company accepts from OC Oerlikon Corporation AG, Pfäffikon, in Freienbach (CHE-103.488.578) all of the shares in Oerlikon Balzers Coating (Suzhou) Co. Ltd., in Suzhou (CH), in the value of CHF 7,723,101.00, for which 2,000 registered shares of 1,000.00 each are being issued.		

Ent	Del	Branch office(s)	Ent	Del	Branch office(s)
0		Winterthur (CHE-223.644.787)			

[Official seal:] Continued on other side:

Schwyz, 19 Feb 2016 1:12 pm



## COMMERCIAL REGISTER OF THE CANTON OF SCHWYZ

CHE-108.812.396	Oerlikon Surface Solutions AG, Pfäffikon	Freienbach	2
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All entries

Abbr	Ref	DR no.	DR date	SHAB	SHAB date	Page/ID	Abbr	Ref	DR no.	DR date	SHAB	SHAB date	Page/ID
SZ	0	Registered office change		Registered office change									
SZ	1	868	18 Feb 2016	(Approval by the EHRA)									

Ent	Chg	Del	Personal information	Function	Type of signature
0			Fedler, Jürg, from Silenen, in Richterswil	president	joint signature authority
0			Mauerhofer, Dr. Mark, from Kölliken, in Thalwil	member	joint signature authority
0			Niggli, Wolfgang, from Zurich, in Uerikon (Stäfa)	member	joint signature authority
0			Bussinger, Paul André François, from Zurich, in Zurich	managing director	joint signature authority
0		1m	<del>KPMG AG (CH-020.3.001.933-9) (GHE), in Zurich</del>	<del>Auditing department</del>	
0			Alvarez, Carmen, from Dübendorf, in Dübendorf		joint signature authority
0			Appel, Erik, German citizen, in Diepoldsau		joint signature authority
0			Baur, Jörg, German citizen, in Sax (Sennwald)		joint signature authority
0			Böhlke, Dr. Horst Uwe, German citizen, in Pfäffikon		joint signature authority
0			Bruggmann, Albert, from Lütisburg, in Winterthur		joint signature authority
0			Desrayaud, Marc, French citizen, in Würenlos		joint signature authority
0			Dostmann, Volker Bernhard, from Muri AG, in Winterthur		
0			Fischer, Bernhard Helmut, German citizen, in Grabs		joint signature authority
0			Gutzwiller, Thomas Otto, from Horgen, in Horgen		joint signature authority
0			Hafner, Prisca, from Holderbank SO, in Horgen		joint signature authority
0			Heine-Kempkens, Claus, German citizen, in Maienfeld		joint signature authority
0			Herb, Dr. Roland, German citizen, in Triesen (LI)		joint signature authority
0			Heusser, Markus Jürg, from Russikon, in Greifensee		joint signature authority
0			Kaiser, Harry, from Gams, in Oberschan (Wartau)		joint signature authority
0			Latif, Amer Khalid, British citizen, in Staad SG (Thal)		joint signature authority
0			Rudigier, Helmut, Austrian citizen, in Bad Ragaz		joint signature authority
0			Scherrer, Barbara from Buchs SG, in Murg (Quarten)		joint signature authority
0			Schmitz, Dr. Wolfgang Josef, German citizen, in Meilen		joint signature authority
0			Wassgren, Hans, Finnish citizen, in Pfäffikon SZ (Freienbach)		joint signature authority
	1		KPMG AG (CHE-106.084.881), in Zurich	Auditing department	

Schwyz, 19 Feb 2016 1:12 pm MK

This excerpt from the cantonal commercial register is not valid without the accompanying original certification. It contains all present entries that are current for this company as well as any deleted entries. On special request, an excerpt can also be provided, which only contains all present, current entries. The latest, as yet unpublished entry has been submitted by authority of the Swiss Office of the Commercial Registry pursuant to Art. 11 (2) of the Swiss Commercial Register Ordinance [Handelsregisterverordnung (HRegV)].

Certified excerpt

6430 Schwyz, 19 Feb 2016

Commercial Register of Schwyz




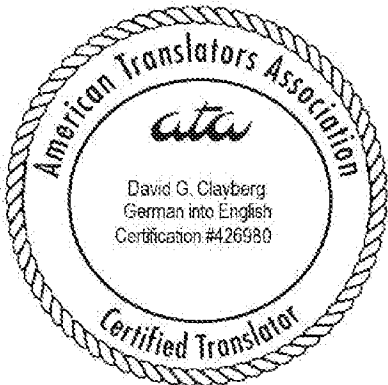
*[Handwritten signature]*

## Certification Statement

I, David Clayberg, residing at 948 Fifteenth Street, Suite 4, Santa Monica, California 90403-3134, hereby declare that I am conversant with the German and English languages and that I am the translator of the document attached and certify that to the best of my knowledge and belief, the following is a true and correct English translation of the entry for company number CHE-108.812.396 for **Oerlikon Surface Solutions AG** in the Commercial Register of the Swiss Canton of Schwyz as of February 19, 2016.

Date March 20, 2017

  
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David Clayberg



Verify at [www.atanet.org/verify](http://www.atanet.org/verify)