#### 504645602 11/16/2017

# PATENT ASSIGNMENT COVER SHEET

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**SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT** 

### **CONVEYING PARTY DATA**

Name	Execution Date
GORDON YIU KON NG	05/15/2014
PETER WING YIU CHAN	05/12/2014
GRANT RAYMOND WICKMAN	05/16/2014

# **RECEIVING PARTY DATA**

Name:	ZYMEWORKS INC.
Street Address:	540-1385 WEST 8TH AVENUE
City:	VANCOUVER
State/Country:	CANADA
Postal Code:	V6H 3V9

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14888580

# CORRESPONDENCE DATA

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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**Correspondent Name: KEVIN KABLER** 

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Address Line 4: MOUNTAIN VIEW, CALIFORNIA 94041

ATTORNEY DOCKET NUMBER: 24689 **NAME OF SUBMITTER:** KEVIN KABLER, REG. NO. 63,090 SIGNATURE: /Kevin Kabler/ **DATE SIGNED:** 11/16/2017

## **Total Attachments: 6**

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> PATENT REEL: 044150 FRAME: 0227

# ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to <u>Zvmeworks Inc.</u>, a <u>private entity</u>, having a place of business at <u>540-1385 West 8th Ave. Vancouver, BC, Canada, V6H 3V9</u> ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

- 1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the following provisional application filed under 35 U.S.C. § 111(b), non-provisional application filed under 35 U.S.C. § 111(a), international application filed according to the Patent Cooperation Treaty (PCT), or U.S. national phase application filed under 35 U.S.C. § 371 ("APPLICATION"):
  - International Patent Application No. PCT/US2014/037401, entitled "BISPECIFIC HER2 AND HER3 ANTIGEN BINDING CONSTRUCTS," filed on May 8, 2014, which claims priority from U.S. Provisional Patent Application No. 61/821,197, filed on May 8, 2013.
- 2. The entire worldwide right, title, and interest in and to: (a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and other applications related thereto that have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) that may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATION and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not

1 of 6

30712/24689/DOCS/3394431.1

Application No. 14/888,580 Attorney Docket No. 30712-24689/US

Tide: BISPECIFIC HER2 AND HER3 ANTIGEN BINDING CONSTRUCTS

Filed: May 8, 2014

Application No.: PCT/US2014/037401 Attorney Docket No.: 24689/PCT Client Ref.: ZYME015PCT (BSPOA)

hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other authority for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

By signing below, INVENTOR further attests to the following:

- The APPLICATION was made or authorized to be made by INVENTOR.
- INVENTOR believes that INVENTOR is the original inventor or an original joint inventor of a claimed invention in the APPLICATION.

INVENTOR hereby acknowledges that any willful false statement made in this document is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

2016

30712/24689/EXXCS/3394431-1

Title: BISPECIFIC HER2 AND HER3 ANTIGEN BINDING CONSTRUCTS

Filed: May 8, 2014

Application No.: PCT/US2014/037401 Attorney Docket No.: 24689/PCT Client Ref.: ZYME015PCT (BSPOA)

Name and Signature of INVENTOR 1

Gordon Yiu Kon Ng Date of Signature

Signature of Witness for INVENTOR 1

Typed or handwritten name of Witness: Date of Signature

Alexandra TUFF

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Application No.: PCT/US2014/037401 Attorney Docket No.: 24689/PCT Client Ref.: ZYME015PCT (BSPOA)	
Name and Signature of INVENTOR 2	
	12May 2014
Peter Wing Yiu Chan	Date of Signature
Signature of Witness for INVENTOR 2	
apadalal.	Nay 12, 2014
Typed or handwritten name of Witness:	Date of Signature
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Title: BISPECIFIC HER2 AND HER3 ANTIGEN BINDING CONSTRUCTS

Filed: May 8, 2014

30712/24689/DOCS/3394431.1

Name and Signature of INVENTOR 3

Way 16, 2014

Grant Raymond Wickman

Date of Signature

Signature of Witness for INVENTOR 3

Way 16, 2014

Typed or handwritten name of Witness:

Date of Signature

Title: BISPECIFIC HER2 AND HER3 ANTIGEN BINDING CONSTRUCTS

Filed: May 8, 2014

Application No.: PCT/US2014/037401 Attorney Docket No.: 24689/PCT

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30712/24689/DOCS/3394431.1

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Filed: May 8, 2014

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**RECORDED: 11/16/2017** 

Signature	of Duly	Authorized	Representative	of A	LSSIGNEE

717/	MAY 16, 2014
NEIL'A. KLOMPAS CHIEF FINANCIAL OFFICER, ZYMEWORKS INC.	Date of Signature
Signature of Witness for ASSIGNEE	
WWW. OF THE	No416, 204
Typed or handwritten name:	Date of Signature

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