

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4692703

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	SCOTT JOHNSTON	09/25/2003
	JOHN FALEK	09/26/2003
	CHARLIE TROXEL JR	09/26/2003
	JAMES W FARRELL	09/29/2003
	ARJUNA ARIATHURAI	09/25/2003
	AGNES SHANTHI THIRUTHUVADOSS	09/25/2003
	DAVID SALVADORI	09/25/2003
RECEIVING PARTY DATA		
Name:	CHICAGO MERCANTILE EXCHANGE INC.	
Street Address:	30 S. WACKER DRIVE	
City:	CHICAGO	
State/Country:	ILLINOIS	
Postal Code:	60606	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	13182178	
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NAME OF SUBMITTER:	ASEET PATEL	
SIGNATURE:	/Aseet Patel/	
DATE SIGNED:	11/16/2017	

PATENT

Total Attachments: 2

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ASSIGNMENT

WHEREAS, we,

Scott Johnston
1031 Woodbine
Oak Park, Illinois 60302

John Falck
1109 West Webster, #2
Chicago, Illinois 606014

Charlie Troxel, Jr.
1516 Cascade Court
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Agnes Shanthi Thiruthuvadoss
33 West Huron, #314
Chicago, Illinois 60613

David Salvadori
176 Dallas Drive
Bartlett, Illinois 60103

(ASSIGNORS), are the inventors of certain inventions and improvements described in a U.S. patent application and having the title

ORDER RISK MANAGEMENT SYSTEM

which application was

executed on 9/25/03, 9/26/03 and 9/29/03 or

filed on _____ and assigned application serial number _____;

and WHEREAS

Chicago Mercantile Exchange, Inc.
30 South Wacker Drive
Chicago, Illinois 60606

ASSIGNEE, desires to acquire the entire right, title and interest in and to the said application, inventions and improvements and any patents that may be granted on or as a result thereof in the United States of America (U.S.) and in any and all foreign countries;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged,

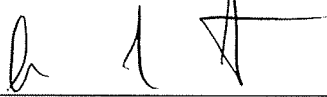
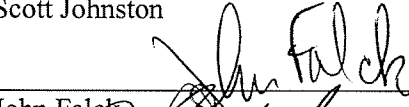
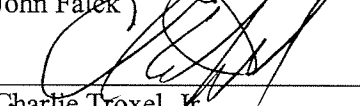
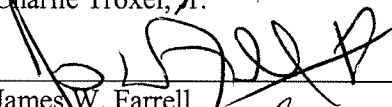
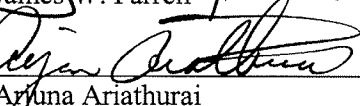
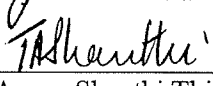
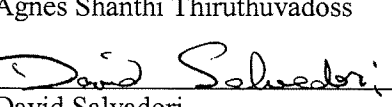
We hereby assign, to the above named ASSIGNEE, its successors, assigns and legal representatives (NOMINEES) the entire right, title and interest in and to said application, inventions and improvements throughout the world and to any and all patents, petty patents and utility model patents which may be granted therefor, and all continuations, continuations-in-part, divisions, reissues, extensions, renewals, and any non-provisional based on a provisional thereof (LETTERS PATENT).

And we covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement or do anything in conflict herewith;

And we further covenant and agree that we will without undue delay execute without further consideration all such papers as may be necessary to perfect the title to said application, inventions and improvements, and LETTERS PATENT in the ASSIGNEE or its NOMINEES, and we agree to communicate to said ASSIGNEE or its NOMINEES all known facts respecting said application, inventions, improvements, and LETTERS PATENT, to testify in any legal proceedings, to sign all lawful papers, and generally to do all things necessary to aid ASSIGNEE or its NOMINEES to obtain and enforce for their own benefit patent protection for said application, inventions and improvements in any and all countries, all at the expense, however, of the ASSIGNEE or its NOMINEES;

And we authorize the ASSIGNEE or its NOMINEES to file in our names or their own, as appropriate, application for LETTERS PATENT in any and all countries of the world, and we authorize and request the Commissioner of Patents and Trademarks of the United States, and any proper official of any country, to issue to said ASSIGNEE or its NOMINEES any and all LETTERS PATENT for said application, inventions and improvements, for their sole use, to the full end of the term for which such LETTERS PATENT may be granted;

And we hereby grant the attorneys at the law firm of Banner & Witcoff the power to insert into this document information sufficient to identify the patent application to which this assignment pertains.

Date: <u>9/25/03</u>	Inventor: <u></u> Scott Johnston
Date: <u>9/26/03</u>	Inventor: <u></u> John Falek
Date: <u>9/26/03</u>	Inventor: <u></u> Charlie Troxel, Jr.
Date: <u>9-29-03</u>	Inventor: <u></u> James W. Farrell
Date: <u>9/25</u>	Inventor: <u></u> Arjuna Ariathurai
Date: <u>9/25/03</u>	Inventor: <u></u> Agnes Shanthi Thiruthuvadoss
Date: <u>9/25/03</u>	Inventor: <u></u> David Salvadori

Note: No legalization or other witness required.

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