# 504586889 10/10/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4633601

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JEFF BRADLEY BEAL	01/16/2014
SUMEDHA ARVIND KSHIRSAGAR	01/16/2014
NISHANT KUMAR	01/16/2014
AJAY GOPALAKRISHNAN	01/16/2014
KEVIN ROBERT CHARTER	01/16/2014

#### **RECEIVING PARTY DATA**

Name:	RAWLES LLC
Street Address:	103 FOULK ROAD
City:	WILMINGTON
State/Country:	DELAWARE
Postal Code:	19803

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15663514

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 509-324-9256

**Email:** michelinac@leehayes.com

Correspondent Name: LEE & HAYES PLLC

Address Line 1: 601 WEST RIVERSIDE AVENUE

Address Line 2: SUITE 1400

Address Line 4: SPOKANE, WASHINGTON 99201

ATTORNEY DOCKET NUMBER:	S076-0244USC1	
NAME OF SUBMITTER:	MICHELINA SERRES	
SIGNATURE:	/Michelina Serres/	
DATE SIGNED:	10/10/2017	
	This document serves as an Oath/Declaration (37 CFR 1.63).	

# Total Attachments: 8 source=1O74242#page1.tif source=1O74242#page3.tif source=1O74242#page4.tif source=1O74242#page5.tif source=1O74242#page6.tif source=1O74242#page7.tif source=1O74242#page8.tif

U.S. Patent Appln. No. 14/109,738 Filing Date <u>December 17, 2013</u> Attorney Docket No. <u>\$076-0244US</u> Client Docket No. <u>P12143-US</u>

#### PARTIES TO THE ASSIGNMENT

## Assignor(s):

## JEFF BRADLEY BEAL

103 Foulk Road, Suite 100 Wilmington, DE 19803

# SUMEDHA ARVIND KSHIRSAGAR

103 Foulk Road, Suite 100 Wilmington, DE 19803

#### NISHANT KUMAR

103 Foulk Road, Suite 100 Wilmington, DE 19803

#### AJAY GOPALAKRISHNAN

103 Foulk Road, Suite 100 Wilmington, DE 19803

## KEVIN ROBERT CHARTER

103 Foulk Road, Suite 100 Wilmington, DE 19803

## Assignee:

Rawles LLC 103 Foulk Road, Suite 100 Wilmington, DE 19803

## <u>AGREEMENT</u>

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled

"OUTCOME-ORIENTED DIALOGS ON A SPEECH RECOGNITION PLATFORM" (Invention) for which a non-provisional application for United States Letters Patent

| was filed on December 17, 2013 and accorded U.S. application no. 14/109,738; or | will be filed concurrently with the submission of this executed PATENT ASSIGNMENT for recordation.

ASSIGNOR(S) hereby authorizes and requests ASSIGNEE'S legal representatives, of

Page 1 of 8

U.S. Patent Appln. No. 14/109,738 Filing Date December 17, 2013 Attorney Docket No. <u>S076-0244US</u> Client Docket No. <u>P12143-US</u>

Lee & Haves PLLC, associated with Customer No. 29150, to insert in the header above and here in

parentheses (U.S. application no. , filed ) this application's U.S.

application number and filing date, when known.

WHEREAS, ASSIGNEE, a corporation of the State of Delaware, is desirous of acquiring the

entire right, title and interest in and to the Invention and in and to any letters patent that may be granted

therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is

hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE its successors

and assigns, the entire right, title and interest in and to said Invention and any improvements thereto, said

Application and any and all letters patent which may be granted for said Invention in the United States of

America and its territorial possessions and in any and all foreign countries, and in any and all provisional,

divisions, reissues, re-examinations and continuations thereof, including the right to file foreign

applications directly in the name of ASSIGNEE and to claim priority rights deriving from said application

to which said foreign applications are entitled by virtue of international convention, treaty or otherwise,

said Invention, application and all letters patent on said Invention to be held and enjoyed by ASSIGNEE

and its successors and assigns for their use and benefit and of their successors and assigns as fully and

entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer

and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and

Trademarks to issue all letters patent on said Invention to ASSIGNEE. ASSIGNOR(S) agree to execute

all instruments and documents required for the making and prosecution of applications for United States

and foreign letters patent on said Invention, for litigation regarding said letters patent, or for the purpose

of protecting title to said Invention or letters patent therefor.

Page 2 of 8

U.S. Patent Appln. No. 14/109.738 Filing Date December 17, 2013 Attorney Docket No. 8076-0244US Client Docket No. P12143-US

AND ASSIGNOR(S) DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its

successors, legal representatives, and assigns all claims for damages and all remedies arising out of any

violation of the rights assigned hereby that may have accrued prior to the date of assignment to

ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain

damages for past infringements of said letters patent before or after issuance.

AND ASSIGNOR(S) DOES HEREBY covenant and agree that ASSIGNOR(S) will

communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to

ASSIGNOR respecting the Invention or said application, and testify in any legal proceeding, assist in the

preparation of any other patent property relating to the application and the Invention or any improvements

made thereto, sign/execute all lawful papers, provide all requested documents, execute and make all

rightful oaths and/or declarations in connection with the application and the Invention including any

improvements made thereto, any patent applications filed therefrom, and any continuing application filed

from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the

Invention in all countries. These provisions are binding upon our heirs, legal representatives,

administrators, and assigns.

CORRESPONDENCE ADDRESS

I hereby direct all correspondence and telephone calls in connection with this application be

addressed to the number associated with the customer number listed below, which is:

Customer No.

29150

Page 3 of 8

PATENT

REEL: 044169 FRAME: 0041

U.S. Patent Appln. No. <u>14/109.738</u> Filing Date <u>December 17, 2013</u> Attorney Docket No. <u>S076-0244US</u> Client Docket No. <u>P12143-US</u>

<u>DECLARATION</u>	
As a below named inventor, I hereby declare that:	
This declaration is directed to:	
the attached application; or	
United States application number <u>14/109.7</u>	38 filed on <u>December 17, 2013;</u> or
PCT international application number	filed on
The above-identified application was made or authoriz	ed to be made by me.
I believe that I am the original inventor or an original japplication.	oint inventor of a claimed Invention in the
I have reviewed and understand the contents of the about to disclose to the US Patent & Trademark Office patentability as defined in 37 CFR 1.56.	
I hereby acknowledge that any willful false statement i	made in this declaration is punishable under 18
USC 1001 by fine or imprisonment of not more than fi	ve (5) years, or both.
Legal name of inventor: JEFF BRADLEY BEAL	
Date	Inventor Signature
witnessed by:	Witness (Signature)
	<u>いらん レギマスペン</u> Witness (Printed Name)

Page 4 of 8

U.S. Patent Appln. No. 14/109,738 Filing Date <u>December 17, 2013</u>

Date

Attorney Docket No. <u>8076-0244US</u>
- Client Docket No. <u>P12143-US</u>

<u>DECLARATION</u>	
As a below named inventor, I hereby declare the	hat:
This declaration is directed to:	
the attached application; or	
☑ United States application number 1	14/109,738 filed on <u>December 17, 2013;</u> or
PCT international application num	berfiled on
The above-identified application was made or	authorized to be made by me.
I believe that I am the original inventor or an oapplication.	original joint inventor of a claimed Invention in the
	f the above-identified application, and acknowledge the Office all information known to me to be material to the
I hereby acknowledge that any willful false sta USC 1001 by fine or imprisonment of not mor	ntement made in this declaration is punishable under 18 ethan five (5) years, or both.
Legal name of inventor: SUMEDHA ARVIN	D KSHIRSAGAR
<u>01/16/2014</u> Date	Inventor Signature
<u>witnessed by:</u> Jan 16-14	

Page 5 of 8

Witness (Signature)

Witness (Printed Name)

U.S. Patent Appln. No. 14/109,738 Filing Date December 17, 2013 Attorney Docket No. <u>\$076-0244US</u> Client Docket No. <u>P12143-US</u>

DECLARATION	
As a below named inventor, I hereby declare that:	
This declaration is directed to:	
the attached application; or	
United States application number 14/109,738 file	d on December 17, 2013; or
PCT international application number	
*.	
The above-identified application was made or authorized to be	e made by me.
believe that I am the original inventor or an original joint in application.	ventor of a claimed Invention in the
have reviewed and understand the contents of the above-ide duty to disclose to the US Patent & Trademark Office all infopatentability as defined in 37 CFR 1.56.	
hereby acknowledge that any willful false statement made it USC 1001 by fine or imprisonment of not more than five (5)	
Legal name of inventor: NISHANT KUMAR	
	V S Nos ∓ entor Signature
WITNESSED BY:  Sala /6,/4  Date  With	ness (Signature)

Page 6 of 8

Witness (Printed Name)

U.S. Patent Appln, No. <u>14/109,738</u> Filing Date <u>December 17, 2013</u>

**DECLARATION** 

Attorney Docket No. <u>8076-0244US</u> Client Docket No. <u>P12143-US</u>

As a	pelow named inventor, I hereby declare that:	
Tiole.	Endougling to Monday for	

tron coton transca intention, i transca, accidio ator-	
This declaration is directed to:	
the attached application; or	
United States application number 14/109.	.738 filed on <u>December 17, 2013;</u> or
PCT international application number	filed on
The above-identified application was made or author	ized to be made by me.
I believe that I am the original inventor or an original application.	joint inventor of a claimed Invention in the
I have reviewed and understand the contents of the alduty to disclose to the US Patent & Trademark Office patentability as defined in 37 CFR 1.56.	William Control of the Control of th
I hereby acknowledge that any willful false statemen	t made in this declaration is punishable under 18
USC 1001 by fine or imprisonment of not more than	five (5) years, or both.
Legal name of inventor: AJAY GOPALAKRISHN  1 / 16 / 2 0 (4  Date	AN    Company
WITNESSED BY:  Date	Witness (Signature)

Page 7 of 8

Witness (Printed Name)

U.S. Patent Appln. No. 14/109,738 Filing Date December 17, 2013

**RECORDED: 10/10/2017** 

Attorney Docket No. S076-0244US Client Docket No. P12143-US

rung Date December 17, 2015	CHERI LACKEI NO. <u>F12143-6/3</u>
<u>DECLARATION</u>	
As a below named inventor, I hereby declare that:	*
This declaration is directed to:	
the attached application; or	
☑ United States application number 14/10	9,738 filed on <u>December 17, 2013;</u> or
PCT international application number _	filed on
The above-identified application was made or authorized application was application and application application and application	orized to be made by me.
I believe that I am the original inventor or an origin application.	al joint inventor of a claimed Invention in the
I have reviewed and understand the contents of the duty to disclose to the US Patent & Trademark Offi patentability as defined in 37 CFR 1.56.	above-identified application, and acknowledge the ce all information known to me to be material to the
I hereby acknowledge that any willful false stateme USC 1001 by fine or imprisonment of not more tha	
Legal name of inventor: <b>KEVIN ROBERT CHA</b> )  Date	RTER  Inventor Signature
WITNESSED BY: San 16,14 Date	Witness (Signature)

Page 8 of 8

<u>いらい。</u> Witness (Printed Name)