# 504601376 10/19/2017

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4648088

SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT OF JOINT AND UNDIVIDED INTEREST		
CONVEYING PARTY	DATA			
		Name	Execution Date	
VANDERBILT UNIVE	RSITY		09/25/2017	
RECEIVING PARTY	ΟΑΤΑ			
Name:	VANDERBILT UNIVERSITY			
Street Address:	305 KII	305 KIRKLAND HALL		
Internal Address:	2201 V	2201 WEST END AVENUE		
City:	NASH	NASHVILLE		
State/Country:	TENNE	TENNESSEE		
Postal Code:	37240	37240		
Name:		THE UNITED STATES AS REPRESENTED BY THE DEPARTMENT OF VETERANS AFFAIRS		
Street Address:	810 VE	810 VERMONT AVE, NW		
City:	WASH	WASHINGTON		
State/Country:	D.C.	D.C.		
Postal Code:	20420	20420		
PROPERTY NUMBER	RS Total: 1			
Property Type		Number	7	
Patent Number:		8300220		
CORRESPONDENCE				
Fax Number:				
Correspondence will		o the e-mail address first; if that is un I; if that is unsuccessful, it will be se	,	
Phone:	-	617-239-0100		

Phone:	617-239-0100	
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Correspondent Name:	LOCKE LORD LLP	
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ATTORNEY DOCKET NUMBER:	0114506.054US2
NAME OF SUBMITTER:	TIM TINGKANG XIA
SIGNATURE:	/Tim Tingkang Xia/
DATE SIGNED:	10/17/2017

### **Total Attachments: 2** source=0114506054US2JointInterestAssignment#page1.tif source=0114506054US2JointInterestAssignment#page2.tif

PATENT REEL: 044230 FRAME: 0752

#### ASSIGNMENT OF JOINT AND UNDIVIDED INTEREST

WHEREAS, the undersigned, VANDERBILT UNIVERSITY (hereinafter, "VANDERBILT"), having its place of business at 305 Kirkland Hall, 2201 West End Avenue, Nashville, Tennessee 37240, United States of America, is the owner at least by way of assignment from inventor ANITA MAHADEVAN-JANSEN ("INVENTOR") of right, title, and interest in "TRIMODAL HANDHELD PROBE BASED ON RAMAN SPECTROSCOPY AND CONFOCAL IMAGING FOR CANCER DETECTION" (VU08104) (hereinafter, the "INVENTION"), for which a U.S. non-provisional application was filed on February 25, 2010, and assigned Application No. 12/713,071, which claims the benefit of priority to U.S. Provisional Application, filed on February 25, 2009, and assigned Application

No. 61/155,404; and

#### WHEREAS, THE UNITED STATES AS REPRESENTED BY THE

**DEPARTMENT OF VETERANS AFFAIRS** (hereinafter, the "VA"), having its place of business at 810 Vermont Ave, N.W., Washington, D.C. 20420, United States of America, has asserted an ownership interest in the **INVENTION** pursuant to 37 C.F.R. § 501.6(a)(1);

WHEREAS, the INVENTOR has assigned her entire right, title, and interest in the INVENTION to VANDERBILT pursuant to the INVENTOR's employment agreement with VANDERBILT or any explicitly signed assignment;

**NOW, THEREFORE**, for good and valuable consideration, the sufficiency and adequacy and receipt of which are hereby acknowledged:

VANDERBILT hereby sells, assigns and transfers a share of its right, title and interest in the INVENTION unto the VA to create a joint and undivided interest between the VA and VANDERBILT in the entire right, title and interest in the INVENTION and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application;

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The VA agrees that each INVENTOR has discharged any obligation that the INVENTOR might have to assign his or her rights in the INVENTION to the VA;

VANDERBILT hereby binds itself, its heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made;

VANDERBILT hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

VANDERBILT hereby authorizes and requests the issuing authority to issue any and all United States and foreign patents granted on the INVENTION to VANDERBILT UNIVERSITY and THE UNITED STATES AS REPRESENTED BY THE DEPARTMENT OF VETERANS AFFAIRS;

THIS ASSIGNMENT is binding on VANDERBILT, its heirs, legal representatives. administrators and assigns and extends to the successors, assigns and nominees of the VA.

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Janes Eloner Vanderbilt University

By: JANIS ELSNER

Director of Commercial Operations Center for Technology Transfer Title: and Commercialization

Maryam Azarion The United States as represented by

the Department of Veterans Affairs

By: Maryam Azarion

Title: Deputy Chief Counsel

<u>Sept 25, 2017</u>

September 26, 2017 Date

PATENT REEL: 044230 FRAME: 0754

**RECORDED: 10/19/2017**